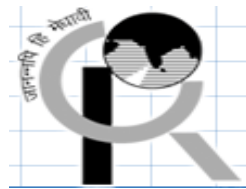


Annual Report

2008 - 2009



CENTRE FOR POLICY RESEARCH
Dharma Marg , Chanakyapuri
New Delhi 110021 (INDIA)

VISION STATEMENT

*** VISION** To be a leader among the influential national and international think tanks engaged in the activities of undertaking public policy research and education for moulding public opinion.

*** OBJECTIVES** The main objectives of the Centre for Policy Research are:

1. to promote and conduct research in matters pertaining to
 - a) developing substantive policy options;
 - b) building appropriate theoretical frameworks to guide policy;
 - c) forecasting future scenarios through rigorous policy analyses;
 - d) building a knowledge base in all the disciplines relevant to policy formulation;
2. to plan, promote and provide for education and training in policy planning and management areas, and to organise and facilitate Conferences, Seminars, Study Courses, Lectures and similar activities for the purpose;
3. to provide advisory services to Government, public bodies, private sector or any other institutions including international agencies on matters having a bearing on performance, optimum use of national resources for social and economic betterment;
4. to disseminate information on policy issues and know-how on policy making and related areas by undertaking and providing for the publication of journals, reports, pamphlets and other literature and research papers and books;
5. to engage the public sphere in policy debates; produce policy briefs to liaise with legislatures; and
6. to create a community of researchers.

*** LIST OF ACTIVITIES/SUBJECTS PURSUED**

1. Political Issues and Governance;
2. International Relations and Foreign Policy/Diplomacy;
3. Economic Policy Issues, National, Bilateral, Regional, and Global;
4. Security - Internal and External;
5. Public Services Delivery Policies;
6. Institutional Design;
7. Civil Society;
8. Regulation of Capitalism;
9. Population, Public Welfare Services, and Sustainable Development;
10. Constitutional and Legal Theory;
11. Institutional and Administrative Capacity Building for delivering Macro-Management of Reforms;
12. Educational Testing and Personnel Policy Research;
13. Dialogues with Strategic Partner Countries for Enhancing Engagement with Focus on South Asian and other Asian Countries;

14. Sectoral Policies for Infrastructural Development (Energy including Electric Power, Telecommunications, Roads, Ports, Airports etc.); and
15. Regional Development among States with Special Reference to Northeast India and Kashmir.

For general enquiries, please contact:

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CENTRE FOR POLICY RESEARCH, NEW DELHI –110021 (INDIA)

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CPR GOVERNING BOARD
(As on 31 March 2009)

- | | | |
|----|---|--------------------------------|
| 1. | Prof. K C Sivaramakrishnan, IAS (Retd.)
Former Secretary, Government of India and
Senior Advisor, World Bank
24, Aradhana Enclave
Sector 13, R K Puram
New Delhi – 110 066 | Chairman |
| 2. | Prof. T.C.A. Anant
Member-Secretary
Indian Council of Social Science Research
Aruna Asaf Ali Marg
New Delhi 110 067 | Member
(up to January 2009) |
| 3. | Dr. Sanjaya Baru
Visiting Professor
Lee Kuan Yew School of Public Policy and
Institute of South Asian Studies
National University of Singapore Campus
469C Bukit Timah Road
Oei Tiong Ham, Singapore 259772 | Member |
| 4. | Dr. (Mrs) Sneh Bhargava
Former Director,
All India Institute of Medical Sciences
A-103 New Friends Colony,
New Delhi - 110 065 | Member |
| 5. | Mr. Subodh Bhargava
Chairman, VSNL
A-15/1, DLF City Phase-1
Gurgaon – 122 001 | Member |
| 6. | Mr. Eric Gonsalves
Former Secretary, Government of India
C-52, IFS Coop.Group Housing Society
Mayur Vihar, Phase – I,
Delhi – 110 091 | Member |
| 7. | Dr. (Ms.) Meenakshi Gopinath
Principal
Lady Shri Ram College for Women
Lajpat Nagar, New Delhi – 110 024 | Member |

- | | | |
|-----|---|-----------------------------|
| 8 | Prof. P V Indiresan, <i>Padma Bhushan</i>
Former Director, IIT Madras
B-57, Hillview Apartments
Vasant Vihar, New Delhi – 110 057 | Member |
| 9. | Mr. Nimesh Kampani
Chairman & Managing Director
JM Financial
141, Maker Chambers III
Nariman Point, <u>Mumbai – 400 021</u> | Member |
| 10. | Mrs. Vibha Parthasarathi
Formerly Chairperson
National Commission for Women
First Floor, Building No. B-260
Greater Kailash-I, New Delhi 110048 | Member
(up to July 2008) |
| 11. | Mr. D A Prasanna
Vice Chairman and Managing Director
Manipal AcuNova Ltd.
Mobius Towers, SJRI-Park
EPIP, Whitefield, Bangalore 560037 | Member |
| 12. | Mrs. Krishna Singh, IAS (Retd.)
Former Member-Secretary
National Commission on Population
Farm No. 17, Avenue Amaltas
West End Greens, Rajokri
New Delhi – 110 038 | Member |
| 13. | Dr. Arvind Virmani
Chief Economic Advisor
Ministry of Finance
North Block
New Delhi-110 011 | Member |
| 14. | Mr.R K P Shankardass
Senior Advocate
Supreme Court of India
B-12 , Maharani Bagh
New Delhi 110065 | Member |
| 15. | Dr Pratap Bhanu Mehta
President and Chief Executive
Centre for Policy Research
Dharma Marg, Chanakyapuri
New Delhi - 110 021 | Member-Secretary |

CPR EXECUTIVE COMMITTEE
(As on 31 March 2009)

- | | | |
|----|--|------------------|
| 1. | Prof. K.C. Sivaramakrishnan, IAS (Retd.)
Former Secretary to Government of India and
Senior Advisor, World Bank
24, Aradhana Enclave
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New Delhi – 110 066 | Chairman |
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Mayur Vihar, Phase – I
New Delhi – 110 091 | Member |
| 3. | Prof. P.V. Indiresan, <i>Padma Bhushan</i>
Former Director, IIT, Madras
B-57, Hillview Apartments
Vasant Vihar
New Delhi – 110 057 | Member |
| 4. | Dr. Pratap Bhanu Mehta
President and Chief Executive
Centre for Policy Research
Dharma Marg, Chanakyapuri
New Delhi– 110 021 | Member Secretary |

PRESIDENT'S REPORT

During the year 2008-2009, CPR continued its steady progress towards institutional consolidation, academic excellence and effective intervention in policy debates. This was, in some ways, a year marked by a great paradox. On the one hand the CPR greatly expanded its intellectual capacity, created a number of new programmes, and deepened some existing ones. On the other hand the uncertainties in the world at large and their financial implications mean that CPR will have to be continually vigilant to maintain its institutional eminence.

Intellectually 2008-2009 was an extraordinarily interesting year at CPR. The range, scope and diversity of faculty publications were very encouraging, as was the fact that many of them set new benchmarks in scholarship and public engagement. It remained true to its core mission: to sustain and nurture talented individuals in broad areas of public policy to do the best they can.

In terms of programme areas, CPR consolidated its place as one of the premier institutions dealing with the relationship between law and the social sciences in the country. Dr. Subhash Kashyap's monumental commentary on the Indian Constitution once again demonstrated that his detailed knowledge of our founding document is unsurpassed. Shyalsri Shankar's book *Scaling Justice*, is an extraordinarily innovative study on patterns of judging in Indian Courts and will virtually create a new field. Lavanya Rajamani continued to demonstrate, through a series of papers, why she is one of the preeminent scholars in international environmental law. Her participation in the UNFCCC process, in the Copenhagen process and advisory role to several important governments and organisations has given a CPR faculty member an unprecedented seat in the legal negotiations over climate change. Bibek Debroy continued to produce hard data on institutional infirmities in the judicial system, some of which was used by the Finance Commission. He continued to cement his reputation as one of the leading authorities on legal reform. The President continued to write on various normative aspects of the law and delivered the prestigious Branigin Lectures at Indiana University on "The Construction of Judicial Authority." One of our younger fellows, Nicholas Robinson produced impressive data on various aspects of the legal system, including a much neglected area: public prosecution. Our Chairman's book *Nagarpalikas, Panchayats and Courts* was a signal contribution to the way in which law structures and often impedes participatory democracy. Ramaswamy Iyer finished his monumental edited volume on water and the laws. Taken together, this body of work is a truly extraordinary contribution to our understating of the relation between law, society and politics. Although it is always awkward to trumpet one's own achievements it is fair to say that CPR has become one of the premier institutions in thinking about law in unconventional ways. A small testament to this is the fact that we were heavily represented in the activities of LASSNET (Law and Social Sciences Network); we are inundated with demands for internships by students from the National Law School system. We hope CPR will continue this work. CPR also now has several intellectual links with leading centres of legal scholarship. CPR has done joint conferences with NYU Law School and University College London. It is at the early stages of a three institution partnership with NYU Law School and Tsinghua University. It was also a significant presence in an intense workshop on Legal Theory organised at the Wissenschaftt Kolleg in Berlin at which some of the leading European scholars of law were present. CPR is also hosting a small network of people working on international law.

In International Relations our public presence was as robust as ever. Brahma Chellaney, Bharat Karnad and G. Parthasarthy continued, in their own different ways, to inundate the print space with a realist vision of India's interests and were heavily in demand. Bharat Karnad continued his

engagement with the Armed Forces in different ways, while G. Parthasarthy remained one of the few knowledgeable observers on Afghanistan. George Verghese remained active in tracking and participating in more foreign policy debates than one can list. Although it has to be said that many of the CPR faculty were on the losing side of the argument on the big foreign policy issue of the year, the 123 Agreement, it can be argued that their intense scrutiny helped build the public pressure for a better deal. Bharat Karnad also published a major new book on India's nuclear strategy in the post 123 Age. Nimmi Kurian participated in a number of intellectual ventures that dealt with some of the less discussed sides of international relations: borderland development and water sharing. The President participated in and prepared papers for a number of international initiatives, including the L-14 network (a precursor to the G-20) and several other track two initiatives and high level consultations. While there is no doubt the CPR has a number of senior realist strategists, it is important that we deepen our scholarly base in international relations. In particular we need more people who can look beyond the headlines to bring more depth and clarity to India's strategic thinking. To this end, the President devoted considerable time and energy to secure a major grant from the MacArthur Foundation under their Asia Security Initiative. This grant will allow CPR to get new faculty and launch a research programme on regional security. CPR was given a considerable boost by the fact that it secured the grant. We hope that this grant is just the beginning of our path towards claiming some eminence in the field of international relations. This grant has enabled us to offer Dr. Srinath Raghavan a position. He is a scholar of extraordinary accomplishment and promise at a young age. His arrival will allow us to take this initiative forward.

CPR continued to work on a number of other initiatives. Our presence in the field of urban affairs is spearheaded by the Chairman who has worked tirelessly to bring urban issues to our attention in a variety of different ways from assessing JNNURM to urban governance. A major joint study of the SEZs, done by Partha Mukhopadhyay, S.N. Menon and the Chairman was published, and some of the data contained in the study assessing the business plans of proposed SEZs has become a benchmark for how to evaluate them. Our Chairman continued his work on urban reform with indefatigable energy on a number of issues: JNNURM, mega city governance, delimitation and many other issues. This work is being furthered by a collaboration between CPR and CHRS, in which one of our faculty members is participating. These will result in a number of papers and workshops that will deepen our understanding of the sociology of urbanisation. The initiative on Urban Futures, funded by the Google Foundation was a little slow to get off the ground, in part because there was a need for widespread networking to make the portal sustainable. But the basic template of www.theindiancity.net is online. CPR has also identified a number of studies on best practices that will be undertaken in 2009. Partha Mukhopadhyay and Aromar Revi produced a major paper on Urbanisation and Climate Change issues. In addition a couple of significant data bases on SEZs and JNNURM were put online and these are serving as focal points for discussion. They provide material for the most rigorous independent assessment of these programmes. Partha Mukhopadhyay was also involved with some very technical work on urban areas, including designing contract systems for bus services in Delhi, and various papers on energy.

In the health field CPR landed one very significant project. Under the leadership of Jishnu Das, a major project to study choices in the health sector is being housed at CPR. This project headed by three of the best development economists of their generation, Jishnu Das, Karthik Muralidharan and Micahel Kremmer has placed CPR at the centre of survey research in an important area. It will involve one of the largest surveys of health decision making by patients in rural India. The initial

survey agencies have been identified and pilots have been conducted in two states. Dr. Badri Saxena continued with ICMR funded projects.

Building on the presence of Lavanya Rajamani, CPR has managed to position itself as a major institution on debates in Climate Change. CPR, in collaboration with CASI (and supported by the Nand and Jeet Khemka Foundation), University of Pennsylvania, organised a major conference on India's Negotiating Options, attended by prominent policy makers and academics. The papers in the conference were, for the most part, interesting, provocative, well argued and managed to upset several assumptions in the debate. They led to heated discussions between Special Envoy Shyam Saran, Kirit Parikh, Prodipto Ghosh and key policy makers and several prominent academics including E. and Rohini Somanathan, Arvind Subramanian, Vijay Joshi and Navroz Dubash. These papers will be published in a Special Issue of the *Economic and Political Weekly* in the middle of 2009. In addition to Lavanya, several of our faculty have been participating in significant climate change related research. Partha Mukhopadhyay has participated in several research projects on energy and climate change organised by IDDRI, Europe's leading think tank. NYU, another leading institution in this area has approached CPR to participate in a trilateral partnership between CPR, NYU and Tsinghua University, China's leading institution. The intensity and quality of our engagement on this issue is now attracting a number of projects and top class people to CPR. CPR successfully applied for a seed grant from the Ford Foundation to create a programme on climate change. This grant has allowed us to make an offer to Navroz Dubash, one of the leading authorities on the subject to join CPR. Some of the work CPR has done in this area covers traditional subjects in unconventional ways (urban and energy), as is evidenced in a joint paper produced by Partha Mukhopadhyay and Aromar Revi. But CPR has also found a very important niche in looking at the legal, regulatory and governance aspects of climate change. What is exciting about the work being developed under the Ford Foundation grant is the fact that it allows CPR to contribute to some of the big questions that may impact the future of the planet. But we can now take some satisfaction in the fact that we have some of the most innovative scholarship on this subject coming out of CPR. In short, our presence in this field is an example of what CPR can achieve: deep foundations in research, with seats at policy discussion, coupled with a presence in the most influential network of institutions that can shape this debate.

CPR continued its work in the broad area of governance. CPR is housing, in collaboration with ANU, a major project on assessing NREGA in four states. Each of our faculty members has, in some way, contributed papers to this effort. But 2008-2009 saw the consolidation of the "Accountability Initiative". Under the leadership of Yamini Aiyar, this initiative has launched a working paper series. Yamini Aiyar herself participated in and developed several papers that independently assess the Right to information Act. A paper series entitled "Engaging with Accountability", focuses on understanding the role of civil society in generating public pressure for accountability. In particular, it explores the changing nature of state- society relationships in this context. Three working papers have been prepared and disseminated under this series: The state of Accountability: Evolution, practice and emerging questions in public accountability in India; A framework for social accountability; Transparency and Accountability in NREGA: A case study of Andhra Pradesh

In addition, two new working papers have been commissioned. The first paper examines the changing role of middle class activism and its new forays into mainstream politics and the second examines the effect that greater access to information on performance of elected representatives can have on citizens' political choices. But the Accountability initiative is also a distinctive experiment in creating new tools for Accountability. To achieve this end, the initiative formulated the following activities.

1. **Policy Paper series:** Entitled ‘Accountable Governance’, this series aims to contribute to debates on administrative reforms in India from the perspective of strengthening accountability. Thus far, one policy paper has been prepared and disseminated:
 - **Requiring Indian Utilities to Report:** Harnessing disclosure legislation to improve water and sanitation services
2. **Tool-kits:** The Accountability Tool Kit series is an effort to disseminate knowledge and enhance the capacity and use of accountability tools to civil society and government. It offers a series ‘how-to’ manuals that serve as practical guides for stakeholders who are interested in implementing accountability tools and instruments. In 2008, one tool kit was published:
 - **Public Expenditure Tracking Surveys (PETS):** A Tool-kit
3. **Case studies:** To document and disseminate interesting innovations in accountability as well as generate a body of best practices, the initiative has begun to develop case-studies based on experience in civil society and government. Two case studies are currently underway. The first documents efforts by the Delhi state government to improve school education in secondary schools in Delhi. The second documents efforts by the municipal officials in Rajkot, Gujarat to develop an SMS-based complaint system for citizens. This case study is being conducted in partnership with the Urban Governance Project at CPR.

Projects: PAISA

In addition to its research activities, the initiative is also engaged in developing innovative mechanisms to strengthen citizen engagement in governance processes. In this context, the initiative has embarked on a project entitled PAISA: Planning, Allocations and Expenditures, Institutions-Studies in Accountability, with the objective of strengthening transparency and accountability in social sector expenditure management. This project aims to develop tools and mechanisms to collect information on micro level expenditures and through a capacity building exercise, make this information available to local communities that depend on core government services both to generate public pressure for better expenditure and also to strengthen the role of citizens in developing plans and determining how money ought to be spent. The first phase of this project is being undertaken in partnership with the ASER centre and the National Institute for Public Finance Policy (NIPFP). The focus is on elementary education. The first round of data has been collected and analysed from Nalanda district, Bihar. The data has been presented to the education department in the Government of Bihar and has been well received. The capacity building initiative is now underway in Sehore District, Madhya Pradesh.

Know Your Budget: Know your Budget is an initiative of the PAISA project. It is an attempt to create a one-stop shop for citizens, civil society organizations, policy makers and academics to identify data sources on social sector budgets in India. Under this project, four budget briefs have been prepared on elementary education, health, rural development and urban development. The briefs are being circulated to the media for use in the pre budget debate and will be circulated to policy makers, members of parliament and others once the budget is announced in July 2009.

In addition to the Accountability Initiative, the Parliamentary Research Service continued its stellar work, producing a number of important legislative briefs, session alerts, and session analysis. This initiative is getting more and more attraction amongst MPs and the media. The Unit held a very successful conference on making legislatures more effective, in which a number of MPs participated.

In association with the Indian Express Group, the unit also produced a very useful volume on “Know Your MPs” just before the 2009 election. In conjunction with IIM Ahemdabad, PRS also conducted a survey of MPs research needs and usage patterns. It is fair to say that PRS has, in a short time established itself as a wonderful resource for MPs.

In addition CPR established strong research links with China. The India China Programme, sponsored by the New School for Social Research, successfully held a residency for the Chinese and Indian Fellows in Delhi. Dr. Shubagato Dasgupta led this initiative. A set of conference papers on India and China are being published as part of a three-way collaboration between CPR, SAIS and the East Asian Institute of the National University of Singapore. Rajeshwari Raina is directing a major research project on innovation systems in India and China, particularly in the area of agriculture; several other faculty members have been involved in presentations and collaborations with Tsinghua University, China’s leading university.

These broad trends do not even begin to give a measure of the range of scholarly output produced by CPR faculty. Bibek Debroy was indefatigably active producing a range of studies on SAARC, legal reform, trade, economy and a landmark study of Dogs in Indian Mythology. A paper co-authored by him on West Bengal received widespread attention and is being credited with consolidating the intellectual case on the Left Front’s performance in West Bengal. Michael Walton produced three remarkable papers on Inequality in India and raising the spectre of whether India could go the Latin American way, where inequality became an impediment to growth. Shylashri Shankar is now undertaking a significant study of medical jurisprudence in India, building on her earlier work on law. Although not based at CPR, Prof. Dipankar Gupta chose to locate an innovative project studying the effects of changes in Cotton farming at CPR. This project involves collaboration with several important state level researchers. Pratap Mehta and Partha Mukhopadhyay also produced a number of papers on Higher Education and Skills Development. Dr. Indiresan also wrote extensively on the subject. One will be able to discern the range of faculty publications from their individual bibliographies.

Our seminars and conferences remained vibrant. In addition to Climate Change, Environmental law, SEZs, IBSA, the effectiveness of Parliament, CPR organised a major workshop on Indian Intellectual History and hosted more than forty other talks featuring distinguished speakers.

In terms of grants CPR did remarkably well. This year it secured major grants from the Google Foundation, IKEA Foundation, Gates Foundation, Ford Foundation, MacArthur Foundation, IDRC, Warburg Foundation etc. In short, CPR enjoys endorsement from and the confidence of leading research funders in the world. These project grants have allowed CPR to produce more research and attract some remarkable faculty and research associates. However, CPR is still vulnerable in one respect. Corpus grants that are not related to projects are still scarce, and this is an area on which CPR will have to concentrate. There have been considerable uncertainties generated by the financial liability that will devolve upon CPR as a result of the Sixth Pay Commission. We will need a strategy for financial consolidation.

The year 2008-2009 also saw, as always, fantastic work from our staff. The ET&PPR unit went beyond the call of duty to complete several challenging assignments. Several staff members especially in the President’s Office, did incredible amounts of work to make several conferences possible. And each staff member, under the able guidance and leadership of Mr. Ravi, contributed to making CPR a success. I would also like to thank our Chairman, Mr. Sivaramakrishnan, whose wit, wisdom and

substantive insight kept us on track and members of the EC, Dr. Indiresan and Mr. Gonsalves, who provided great support. We look forward to their continuing stewardship of CPR.

RESEARCH PUBLICATIONS

The following research studies were brought out during the year 2008-09:

A. Major Books Published

i. **The Crossover: Public Sector in Globalized India**

By Bibek Debroy
The Times Group, 2008

ii. **India's Nuclear Policy**

By Bharat Karnad
[Westport, CN, & London: Praeger Security International, October 2008]
Special South Asian edition, now in its second print, published by the New Delhi-based Pentagon Press in November 2008 and again in February 2009.

- iii. **Courts, Panchayats and Nagarpalikas: Background and Review of the Case Law**
By K.C. Sivaramakrishnan
New Delhi; Academic Foundation, 2009
- iv. **Scaling Justice: India's Supreme Court, Anti-Terror Laws, and Social Rights**
By Shylashri Shankar
Oxford University Press, 2009
- v. **Beyond Counter-insurgency: Breaking the Impasse in Northeast India**
By Sanjib Baruah
Oxford University Press, 2009

B. CPR Occasional Paper Series

The following four papers as a compilation were published titled **Special Economic Zones: Promise, Performance and Pending Issues** as Occasional Paper Nos.16,17,18 and 19 respectively under CPR Occasional Paper Series by the Centre for Policy Research.

- i **Special Economic Zones: The Rationale**
By S Narayan Menon and Soumya Kanti Mitra
(Occasional Paper No.16) March 2009.
- ii. **The Promised Land of SEZs**
By Partha Mukhopadhyay
(Occasional Paper No.17) March 2009
- iii. **Location of SEZs : What does the Data Say?**
By Partha Mukhopadhyay and Kanhu Charan Pradhan
(Occasional Paper No.18) March 2009.
- iv. **Special Economic Zones : Issues of Urban Growth and Management**
By K C Sivaramakrishnan
(Occasional Paper No.19) March 2009.

C. Articles Published by CPR Faculty

About 300 articles were also published by CPR Faculty Members in major national/international dailies and popular journals during the year.

**DISCUSSIONS, MEETINGS AND SEMINARS/WORKSHOPS
(Organised by CPR)**

During the year under review, the CPR organised several seminars and special discussion meetings in addition to weekly faculty meetings with distinguished experts as also some Workshops-cum-Conferences. These are listed below:

CONFERENCES/DIALOGUES

- i. Jointly organised along with Asia-Pacific Foundation of Canada (APFC), the Fourth India-Canada Policy Dialogue with special emphasis on “Bilateral and Business Cooperation” on 2-3 April 2008 at Centre for Policy Research.
- ii. 3rd IBSA (India, Brazil, South Africa) Editors’ Conference jointly organised by CPR and XP Division Ministry of External Affairs, Government of India on 13-14 October 2008 at Vigyan Bhawan Annexe, New Delhi.
- iii. Two-day Conference on “India’s Options in Climate Change Negotiations” jointly organised by the Center for the Advanced Study of India, University of Pennsylvania, USA and the Centre for Policy Research, New Delhi at the Taj Ambassador Hotel on 6-7 March 2009
- iv. Two-day Conference on “Regulation, Enforcement, and Governance in Environmental Law: Contemporary Developments in India and the UK” jointly organised by the Centre for Law & Environment, University College London and the Centre for Policy Research, New Delhi at the Taj Ambassador Hotel on 27-28 March 2009.

TALKS/SEMINARS/WORKSHOPS

- i. Talk on “The Quality of Medical Advice in Low Income Countries” by Dr. Jishnu Das, Visiting Fellow at CPR on 7 April 2008.
- ii. Presentation on “Politics of Recognition: Rethinking Nepal” by Prof. Ashok Gurung, Director, Indian China Institute, New School University, US at CPR on 21 May 2008.
- iii. Half-a-day Workshop on “Water Related Development Challenges in India” led by Prof. Upmanu Lall, Alan & Carol Silberstein, Professor of Engineering and Prof. Vijay Modi, Professor of Mechanical Engineering at Columbia University, US at CPR on 30 June 2008.
- iv. Talk by Prof. Sanjoy Chakraborty, Chair of Geography and Urban Studies at Temple University, Philadelphia on *Critical Issues in Urban Research in India* on Tuesday, 1 July 2008.
- v. Presentation by Prof. Santiago Levy, Vice-president for Sectors and Knowledge at the Inter-American Development Bank on *Conditional Cash Transfers as an Alternative Form of Poverty Reduction Strategy: Lessons from International Experience* on 18 July 2008.
- vi. Talk by Mr. Li Bo, Fellow, India China Institute, The New School, New York on *Emerging Civil Society Debates in China on Hydro-power Development*, on Monday, 11 August 2008.
- vii. Hosted a Symposium entitled "Precocious India" on Tuesday, 26 August 2008. The main speaker was Dr. Arvind Subramanian, Senior Fellow, Peterson Institute, followed by remarks

from Dr. Vijay Kelkar, Chairman Thirteenth Finance Commission. Dr. Subramanian spoke on his new book *India's Turn: Understanding the Economic Transformation*.

- viii. Talk by Prof. Nili Cohen, Member of the Israeli Academy of Science and Humanities and of the American Law Institute, on *Public Values in Private Sphere* on Monday, 1 September 2008.
- ix. Talk by Dr. Neil Joeck, Sr. Fellow at the Center for Global Security Research (CGSR) at Lawrence Livermore National Laboratory (LLNL), US and Dr. Zachary Davis, Research Professor, Department of National Security Affairs at the Naval Post Graduate School, Monterey, US respectively on *Nuclear Learning in South Asia*, on Tuesday, 23 September 2008.
- x. Talk on "China's New Security Policy" by Prof. Jonathan Holslag, Director of Brussels Institute of Contemporary China Studies and Expert on EU-China Academic Network on 3 November 2008 at CPR.
- xi. Talk on Assam, North-East and his work in Health etc. by Sanjoy Hazarika, Managing Trustee, Centre for North-East Studies and Consulting Editor, *The Statesman* on 10 November 2008.
- xii. Talk on "A Historical View of Chinese Reforms", by Prof. Prasenjit Duara, Director of Research, Humanities and Social Sciences, National University of Singapore on 17 November 2008 at CPR.
- xiii. Talk on "United States: Changing Views on Irregular Warfare and the Need to Understand the Human Terrain" by Prof. Peter Lejeune of Potomac Institute, Arlington, USA on 18 November 2008 at CPR.
- xiv. Talk on "Does India's Long-term Growth Require Resolution of Structural Inequalities?" by Prof. Michael Walton, Senior Fellow at CPR on 8 December 2008 at CPR.
- xv. Talk on "Multi Polar India in a Multi Polar World" by Dr. Sanjaya Baru, Member, Governing Board of CPR; Visiting Professor, Lee Kuan Yew School of Public Policy and Institute of South Asian Studies, Singapore; and, Consulting Senior Fellow on International Economics and Diplomacy, International Institute of Strategic Studies, London on 16 December 2008 at CPR. Mr. K.C. Sivaramakrishnan, Chairman of CPR chaired the session.
- xvi. Two-day meeting on Indian Intellectual History, at Hill Fort Kesroli, Rajasthan from 3-5 January 2009 organised by the CPR.
- xvii. Talk on 'Understanding Accountability: The Role of Social Cohesion and Local Community Dynamics in Strengthening Public Accountability- A Case Study of the Mid-day Meal Scheme in Delhi', by Ms. Araddhya Mehtta, on, 7 January 2009 at CPR.
- xviii. Talk on "Fair Trade in Nepal: A study of the Association of Craft Producers (ACP) and its implications for theory and practice" by Stephen Biggs, Research Fellow, University of East Anglia, Norwich, UK on 9 January 2009 at CPR.
- xix. Talk on "Law as Resistance: Modernism, Imperialism, Legalism", by Prof. Peter Fitzpatrick, currently Anniversary Professor of Law at Birkbeck, University of London, Honorary

Professor of Law in the University of Kent, and Visiting Fellow in the Institute of Advanced Studies, University of Warwick on 13 January 2009 at CPR.

- xx. Talk on “Is India a Flailing State?” by Prof. Lant Pritchett, Prof. of the Practice of Intl. Development, Faculty Chair of MPA/ID Programmeme, Harvard Kennedy School of Government on 19 January 2009 at CPR
- xxi. Talk on “Global Climate Policy in the New Obama Administration: Yes We Can?” by David Michael, Research Fellow at the Henry L. Stimson Center, Washington D.C. at CPR on 4 February 2009.
- xxii. Talk on “Obama's Stunning Victory: Winning and Losing in an Internet Age” by Prof. Daniel Drache, Professor of Political Science and Associate Director, Roberts Centre for Canadian Studies York University, Toronto on 9 February 2009 at CPR.
- xxiii. Talk on “The Grammar of Gram Sabhas: Understanding the Anatomy of Deliberative Democracy in Rural India” by Dr. Vijayendra Rao, Lead Economist, Development Research Group, The World Bank and Discussant was Professor Niraja Gopal Jayal, Chairperson, Centre for the Study of Law and Governance, Jawaharlal Nehru University on 10 February 2009 at CPR.
- xxiv. Interaction Session with Mr. George Black, Articles Editor, *OnEarth Magazine*, National Resources Defence Council (NDRC), New York on 19 February 2009 at CPR.
- xxv. Two-day Stanford Workshop on Law and Economy in India on 12-13 March 2009 at CPR
- xxvi. CPR hosted an Informal Discussion led by a Team of University College London on “Carbon Capture and Storage” at CPR on 26 March 2009.

RESEARCH PROJECTS COMPLETED

1. Special Economic Zones

The project on Special Economic Zones addresses different aspects of a contentious issue. It has so far produced one published paper in *Seminar*, four occasional papers, a number of opinion pieces in newspapers and a database of SEZs that has been made publicly available and is being continuously updated.

The first paper, by S N Menon and Soumya Kanti Mitra, provides an overview of the rationale underpinning the SEZ policy. The second paper, by Partha Mukhopadhyay, raises a number of questions about this approach and questions the seriousness and rigour of the approval process. The experience on the ground seems to indicate that SEZs are not proceeding in a manner expected in the paper by Menon and Mitra, i.e., they are not promoting manufacturing

nor are they mitigating regional imbalances. The location of SEZs is more fully explored in the third paper by Partha Mukhopadhyay and Kanhu Charan Pradhan. It reinforces the concerns expressed in Mukhopadhyay's paper. The final paper by K C Sivaramakrishnan focuses on the governance of the SEZs. It argues that the SEZ, as conceptualized, is not only a production centre; it is also an urban centre. The emerging model of governance for this urban centre, as expressed in various state policies, appears to be non-municipal; a fact that exploits a constitutional loophole, viz. the proviso to Article 243Q. It addresses the implications of this approach in the context of the findings, by Mukhopadhyay and Pradhan, that most SEZs are located close to existing urban centres and are too small to be viable units of governance. A number of key issues remain unaddressed, among which two are perhaps especially critical, viz. (a) the fiscal and macroeconomic implications of SEZs and (b) the manner of land acquisition involved in assembling the land for SEZs.

2. Promoting Safe Delivery Practices and Newborn Care at Home Level – A Pilot Study by CPR in Rajasthan and MP (Project funded by the ICMR)

The persistence of the high maternal and neonatal mortality rates from most parts of the country especially M.P. and Rajasthan indicate that the public sector governmental run health care services have been deficient in this regard due to (1) lack of trained human resources at the governmental health centres and (2) unavailability of proper equipment as well as necessary infrastructure. From August 2006 till August / October 2008, the Indian Council of Medical Research had approved an interventionist research study to explore the model of Public Private Convergence (PPC) for reduction in maternal and neonatal deaths in Bari Sadari block of Chittorgarh district in Rajasthan and Nateran block of Vidisha district of M.P.

The main focus has been on promoting safe delivery practices and neonatal care at the home level. The strategies employed to achieve these objectives consisted of (i) Improving the community awareness for diminishing unsafe practices, after doing Community Needs Assessment (CNA) and (ii) improving antenatal and neonatal care as well as safe delivery practices at the home level after doing the Training Needs Assessment (TNA) and capacity building activities by conducting training programmes for the different categories of health functionaries (like TBA, ASHA, Anganwari Workers, ANMs, Private Practitioners).

The preliminary analysis of the data from the above completed ICMR Public Private Partnership study for the delivery of MCH care at the home level under field conditions, has been completed. The project was carried out in the study blocks of these two different states. The results have indicated that a multiple set of factors was responsible for people not availing themselves of the required health care services. The most common factor was the economic inability of people to afford quality health care with non availability of skilled and qualified health personnel within physical access limits. It was also observed that even where services were available especially in public institutions, since the providers behaved insensitively towards the predicaments of the rural poor, people did not seek their services.

Dr. B.N. Saxena, Honorary Research Professor was incharge of this project.

3. The Political Economy of Equity, Competition and Growth in Mexico

Professor Michael Walton has completed the above research project and brought out a co-edited book along with Santiago Levy – A co-publication of Palgrave Macmillan and the World Bank published in 2009

CONTINUING RESEARCH PROJECTS

CPR faculty continue to publish in a variety of areas. Listed below are projects formally under the auspices of CPR:

1. PRS Legislative Research

The dissolution of the 14th Lok Sabha in February 2009 is a significant milestone for the work of PRS. Over the last three years, PRS staff has closely interacted with about 120 MPs, from over 20 different political parties. These interactions have focused on providing research support on legislative matters, and key debates on national policy. Further, continuing to work towards its objective of making the legislative process more transparent, accessible and informed, PRS has closely tracked the legislative business in Parliament through the session.

PRS continues to engage with various forums to promote citizens' engagement in the legislative process. Expanding on its current programmes, in December 2008, PRS held its first **Annual Conference on Effective Legislatures**. In view of the general elections to be held a few months later, the conference brought together MPs, along with public policy practitioners and civil society networks. The Conference focused on two broad themes – **Financing of Election Campaigns** and **Measuring Effectiveness of Parliament**.

Research and Publications:

- i. **A Compendium of Legislative Briefs** for Legislation in 2008 was published, a compilation of briefs on all the significant Bills passed during the year. The third in the series of PRS compendiums, it contains Bills that are likely to come up for debate in the first year of the 15th Lok Sabha.
- ii. **Legislative Briefs** on the following key Bills were published during the year:
 - The Drugs and Cosmetics (Amendment) Bill, 2005 and 2007
 - The Paramedical and Physiotherapy Central Councils Bills, 2007
 - The Compensatory Afforestation Fund Bill, 2008
 - The Constitution (One Hundred and Eighth Amendment) Bill, 2008
 - The Representation of the People (Second Amendment) Bill, 2008
 - The Prevention of Money Laundering (Amendment) Bill, 2008
 - The Right to Free and Compulsory Education Bill, 2008
 - The Companies Bill, 2008
- iii. On request from MPs, to help prepare for parliamentary debates, **backgrounders** on various issues of national importance were brought out. Some topics included, the National Rural Health Mission, terrorism, water resources, tobacco usage in India, global warming, among others.
- iv. One-page **Bill Summaries** were produced for all Bills that were introduced in Parliament. Summaries of all reports produced by the departmentally related **Standing Committees** were also published.
- v. **Vital stats**, highlighting key statistics about the functioning of Parliament and legislative activities of its members were produced. These Vital stats examined topics including:

Parliamentary debates (2004-08), debates on local issues, vote on account, Lok Sabha in 2008, Public Accounts Committee, women in Parliament and state legislatures, and working of Parliament in the Budget session 2008.

- vi. As part of the efforts of PRS to comprehensively track Parliament, **Session Alerts** were produced prior to each session, and **SessionWraps**, on the conclusion of the session. In addition, a **Plan vs. Performance** reviewing the legislative business planned and conducted was also produced for all three parliamentary sessions through the year - **Budget Session 2008**, **Monsoon Session 2008** and **Budget Session 2009**.
- vii. A **summary of business** for the entire term of the 14th Lok Sabha was published, serving as a ready reckoner indicating all the Bills pending, lapsed and passed in the five-year term. During its term in office, the UPA government passed a total of 172 Bills (including amendment Bills). 39 Bills were pending in the Rajya Sabha, and 40 Bills lapsed on account of the dissolution of the Lok Sabha.
- viii. **Activity reports on the parliamentary performance** of all 543 MPs in the 14th Lok Sabha were collated and disseminated. This comprised detailed data on key metrics including attendance in Parliament, debate participation, questions raised and private member Bills introduced. These analytics were extensively used by civil society and the media to explore MPs' roles in Parliament as a measure for accountability before the general elections.
- ix. To demystify some key processes and institutions, to help enhance citizens' engagement, **Primers** on MP Local Area Development Scheme, Making Governments Accountable: An Introduction to CAG Reports, and The Union Budget were produced. A two-page checklist for candidates on the permitted procedures for election campaigning before the election was also published.

Outreach and dissemination:

- i. A new **PRS website** (www.prsindia.org) has been launched. The website is increasingly being seen as a significant resource for anyone interested in Parliament and its activities. All PRS documentation continues to be freely available in the public domain. PRS has also begun disseminating its work and reaching out to constituents of young people and other citizens groups through social forums on the internet such as Facebook and Twitter.
- ii. The PRS team has regularly been called upon by various new organisations to author columns and participate in television debates on matters of parliamentary affairs and legislation. These include news organisations such as NDTV, UTVi, Lok Sabha TV, *The Times of India*, HT Mint, *The Indian Express*, *The Financial Express*, *Hindustan Times*, among others.
- iii. Increasing engagement with journalists across the country is resulting in greater reliance by the media on PRS for data about Parliament and its Members. In the last year, over 300 articles have been published in all major English national dailies, international websites and regional language newspapers, citing data and research provided by PRS.
- iv. **Legislative Alerts** are emailed to all registered users on the PRS website. These alerts, sent twice every month, summarise the main legislative news and provide links to the original news item. This information is also archived and made accessible on the PRS website.

Events and Programmes

- i. The first **PRS Annual Conference on Effective Legislatures** was organised on 5 December 2008. Leading practitioners such as N. Gopalaswami, Nikhil Kumar, Jaya Jaitley discussed the issue of Campaign Finance, and opinion leaders such as Arun Shourie, Nandan Nilekani, and Bimal Jalan explored the question of Measuring the Effectiveness of Parliament.
- ii. Building on engagement with journalists, with the objective of providing a context for knowledge-sharing where they are familiarised with sources that help them report on legislative business both at the centre and at the level of the states. The PRS workshop series for journalists on **Tracking the work of Legislators** has been successfully organised in various cities across the country. Until the end of this year, workshops were held in Goa, Ranchi, Ahmedabad, Lucknow, Patna, Guwahati, Jaipur, Bhubaneswar, Cochin and Hyderabad.
- iii. PRS also participated in various forums and conducted training sessions with non-governmental organisations in Delhi and other cities, to help them build on points of engagement with Parliament.
- iv. **ANALYSIS 2008**, the all-India competition for students pursuing law and post graduate degrees in other disciplines was organised for the second year running. The participants analysed draft legislation to highlight key issues and implications. The competition received entries from students of leading institutions in law and social sciences across the country. The entries were judged by an eminent panel including a former Supreme Court judge Ruma Pal, a sitting MP Senthil Raman and a leading journalist and public policy expert BG Verghese.
- v. Roundtable discussions and closed-door MP interactions were held, facilitating exchange of ideas with stakeholders. Topics included the Women's Reservation Bill, among others.
- vi. An important initiative of PRS, focusing on compiling and digitising laws of all the states since their inception, has progressed a great deal. PRS aims at making a database for **state laws**, making them accessible to the public. The project has required extensive efforts that involve locating the laws in various state institutions, filing Right to Information (RTI) applications to get hard copies of the laws. This year the project has progressed a great deal with the collection of hard copies of laws from 16 states and indexes from 21 states.
- vii. A member of the PRS team presented a paper on 'Measuring Effectiveness of the Indian Parliament' at a workshop on 'Legislative Indicators and Benchmarks' organised by the World Bank Institute and Griffith University in Brisbane, Australia.
- viii. PRS was also invited to deliver a lecture on 'Indian Parliament @ 60', as part of an India lecture series organised by IDRC in Ottawa, Canada.

Other Projects

- i. PRS engaged the **Indian Institute of Management (Ahmedabad)** to conduct a comprehensive survey of MPs to understand their research needs and current practice to access research on issues. The survey also sought to understand the usage and perception of MPs about the research work of PRS. The study was based on oral interviews of about 8 per cent of all MPs. The results pointed out that over half the MPs surveyed were aware of PRS, about a

quarter had used its research in preparing for parliamentary debates, and there was a broad coverage across the government and opposition benches.

- ii. PRS continues with its research project for the Population Foundation of India to track and collate policy initiatives on sectors related to health, population and children.
- iii. The research project for the Confederation of Indian Industry tracking legislative business in Parliament for each session is also being carried out.

OTHER RESEARCH PROJECTS

1. Margins and Mainstreams: India-China Regional Imaginaries

The study looks at discourses within India and China that are beginning to look beyond their boundaries to integrate their respective peripheries with the extended neighbourhood. It also looks at India-China subregional visions and debates to check whether these are likely to coincide or not. It focuses on their respective conceptions of peace and the challenges of legitimacy and participatory governance in their peripheries with special reference to Tibet and the Northeast. The study is expected to be completed by December 2009.

Dr. Nimmi Kurian, Associate Research Professor is working on the above study

2. Technology and Security – India’s Long-Term Interests

This study is being conducted by Professor Brahma Chellaney.

3. Future of Nuclear Deterrence

This study is being conducted by Professor Brahma Chellaney

4. Optimising Forces for Conventional Wars and Low-Intensity Conflicts in a Nuclearised Southern Asia

Professor Bharat Karnad is working on the Research Project on “Optimising Forces for Conventional Wars and Low-Intensity Conflicts in a Nuclearised Southern Asia”.

5. A Role for India in the Israeli-Arab Conflict

Work is in progress on the Research Project on “A Role for India in the Israeli-Arab Conflict”.

Professor Bharat Karnad is working on this Research Project.

6. Policy Issues of Urbanisation, in particular, those relating to Land, Water, Migration, Energy and Governance and how far these issues are being addressed in the Jawaharlal Nehru National Urban Renewal Mission

The JNNURM project, at CPR, moves in two directions. First, to make the JNNURM information easily accessible to the public domain which consolidates information from different official sources into a user-friendly database format, with documentation. Second, a

series of ongoing studies on JNNURM which evaluates the performances of JNNURM against its stated objectives.

Two databases, one Project based and the other Reform based, have been prepared. They are being uploaded on the newly designed website exclusively dedicated to Indian cities viz. <http://www.theindiancity.net> . This website is regularly updated with the recent database on JNNURM which is cleaned and organised in a common spreadsheet format. The database on projects covers both submissions of JNNURM i.e. Urban Infrastructure and Governance (UIG) and Basic Services to Urban Poor (BSUP). The database on Reforms refers to the analysis of various reform progress reports at State and Urban Local Bodies Levels. These databases are for public access.

The study on JNNURM is ongoing and the first set of reports is expected to be completed in the next few months.

Mr. K C Sivaramakrishnan, Honorary Visiting Professor, Dr. Partha Mukhopadhyay, Senior Fellow, Mr. Shubhagato Dasgupta, Senior Fellow, Ms. A. Maiti and Mr. K.C. Pradhan are working on this study.

7. The Urban Futures Initiative

The Urban Futures project is now proceeding in two complementary directions. At one level, it is engaged in developing an urban network - <http://www.theindiancity.net> which is to be a multi-disciplinary network of scholars engaged in urban studies. This project envisages a web library, regular meetings among researchers and support for research ideas generated by network members. The second level is specific studies that are being conducted by CPR in areas such as urban economy, demographics, urban transport, water supply and sanitation, environment and urban society. Initially, these are to be base papers reflecting the state of research in the respective fields.

Although this platform is still in its fledgling stages, our vision is that participation will soon reach a critical mass which will enable us to provide not only access to resources, but also organize seminars and workshops that address core urbanisation issues. This activity will evolve into a more central research community, which can reinforce some of the analytical work that has already been done on urban issues. This confluence of research, data and archives will help local actors provide better feedback to current projects and will also help bridge the gap that often exists between monitoring systems and evaluative realities.

It is our expectation that out of this initiative will emerge a self-regenerative and interlocking community of institutions, researchers, policymakers, practitioners and concerned citizens; a womb that would give birth to a broader conversation of what self-governing cities, in charge of their destiny, could do to craft a new urban India.

Prof. Partha Mukhopadhyay, Senior Fellow and Shri K C Sivaramakrishnan, Honorary Visiting Professor are coordinating this initiative.

8. Situational Analysis of Cotton Farming in India

The study is nearing completion. The report is expected to be ready by July 2009

The study is being coordinated by Prof. Dipankar Gupta.

9. Innovation Systems for Inclusive Development: Lessons from Rural China and India 2009-2012), Sponsored by IDRC, Canada
(In collaboration with Centre for Development Studies, Trivandrum, Gujarat Institute of Development Research, Ahmedabad, and the Central University of Hyderabad, and Chinese collaborators headed by Zhejiang University, Hangzhou).

Chinese and Indian scholars initiated a research project endorsing some of the crucial development concerns expressed by both the Chinese and Indian Governments. The unprecedented economic growth enjoyed by China and India for over a decade has provided numerous opportunities and benefits to these countries. But this growth has left out a large proportion of rural people who are unable to access or benefit from these opportunities. At a time of extraordinary growth of these two emerging economies, the leaders and planners of these two countries are trying to find ways and means to contain the growing rural-urban inequality and ensure 'inclusive' growth.

This project, approved formally by the two Governments, seeks to understand how innovation can help these two giant economies achieve inclusive growth. How is the design and application of knowledge for inclusive growth different from the use of technology for economic growth? What are the ways in which scientific and technological knowledge and market and human resource development ensure that all sections of the population and all regions in these countries participate in and share the benefits of economic development? Given that significant shares of the Chinese and Indian populations depend on agricultural-and-rural industries-based livelihoods, what are the innovations in these sub-sectors that are important for inclusive growth? Designed as a research and learning project, this endeavour is expected to enable pro-active learning among several development organizations, research, policy making and market agencies in China and India .

This collaborative project sponsored by the International Development Research Centre (IDRC, Canada), on "Innovation Systems for Inclusive Development: Lessons from Rural China and India" is being co-ordinated by the National Institute for Innovation Management (NIIM), Zhejiang University, Hangzhou, and the Centre for Policy Research (CPR), New Delhi. Besides scholars from these two centres, those who will be involved in the project are from India's Centre for Development Studies (Thiruvananthapuram), University of Hyderabad (Hyderabad) and Gujarat Institute of Development Research (Ahmedabad) and China's Institute of Policy and Management (Chinese Academy of Sciences), Centre for Agricultural and Rural Development (Zhejiang University, Hangzhou) and the Centre for Chinese Agricultural Policy (Beijing).

Dr. Rajeswari S Raina, Senior Research Fellow is working on this project

10. Modes of Knowledge Production and Utilization: An Analysis of Agricultural Research in India, in-house project (manuscript for a book, 2008-09), CPR, New Delhi.

Dr. Rajeswari S Raina, Senior Research Fellow is working on this project

11. The Impact of Read India, a Randomized Experiment and Institutional Analysis of the Read India Programmeme, that is a Collaborative Effort, between State Education Services and the NGO Pratham, to improve the Educational Skills of Rural Children; with the Abdul Lateef Jamil Poverty Action Lab

Professor Michael Walton is working on this project.

12. The Relationship between Inequalities, Institutions and Economic Processes in India.

Professor Michael Walton, Senior Visiting Fellow, is working on this project.

13. The Political Economy of Urban Development in India.

Professor Michael Walton, Senior Visiting Fellow, is working on this project.

14. Accountability and Representation in Policy Contexts: The Politics of Accountability in India: MKSS, RTI and NREGA, Ford Foundation Project on Accountability and Systemic Change, Harvard Business School, Boston, April 2008.

The comparative study will come out in the form of a book. Dr. Shylashri Shankar, Fellow, is working on this project.

15. AUSAID NREGA Study: Working on a series of papers based on the findings of data collected from five states (AP, Rajasthan, MP, Karnataka and Tamil Nadu).

The research findings will be brought out as papers and as a book. Dr. Shylashri Shankar, Fellow, is working on this project.

16. Medical Jurisprudence of Torture: The courts, the right to silence and the use of scientific techniques on suspects.

Dr. Shylashri Shankar, Fellow, is working on this project.

NEW RESEARCH PROJECTS UNDERTAKEN

1. Accountability Initiative

The accountability Initiative was set up in May 2008 with the objective of strengthening public sector accountability by undertaking research, creating networks of stakeholders, and strengthening citizen engagement in governance processes through the identification of innovative mechanisms to collect and disseminate data on the processes and quality of service delivery in India. The Accountability Initiative is supported by google.org.

The initiative has two core activities:

Research: The initiative's research work aims to fill the analytical gap in current, conceptual understandings of accountability, document on-going innovations led by civil society and government and study their strengths, weaknesses and impact.

Research Publications:

i. Working Paper Series: Entitled 'Engaging with Accountability', this series focuses on understanding the role of civil society in generating public pressure for accountability. In particular, it explores the changing nature of state- society relationships in this context. Three working papers have been prepared and disseminated under this series:

- The State of Accountability: Evolution, practice and emerging questions in public accountability in India
- A Framework for Social Accountability
- Transparency and Accountability in NREGA: A Case Study of Andhra Pradesh

In addition, two new working papers have been commissioned. The first paper examines the changing role of middle class activism and its new forays into mainstream politics and the second examines the effect that greater access to information on performance of elected representatives can have on citizens' political choices.

ii. Policy Paper Series: Entitled 'Accountable Governance', this series aims to contribute to debates on administrative reforms in India from the perspective of strengthening accountability. Thus far, one policy paper has been prepared and disseminated:

- Requiring Indian Utilities to Report: Harnessing disclosure legislation to improve water and sanitation services

iii. Tool-kits: 'The Accountability Tool-kit' series is an effort to disseminate knowledge and enhance the capacity and use of accountability tools to civil society and government. It offers a series of 'how-to' manuals that serve as practical guides for stakeholders who are interested in implementing accountability tools and instruments. In 2008, one tool kit was published:

- Public Expenditure Tracking Surveys (PETS): A Tool-kit

iv. Case Studies: To document and disseminate interesting innovations in accountability as well as generate a body of best practices, the initiative has begun to develop case-studies based on experience in civil society and government. Two case studies are currently underway. The first documents efforts by the Delhi state government to improve school education in secondary schools in Delhi. The second documents efforts by the municipal officials in Rajkot, Gujarat to develop an SMS-based complaint system for citizens. This case study is being conducted in partnership with the Urban Governance Project at CPR.

Projects: PAISA

In addition to its research activities, the initiative is also engaged in developing innovative mechanisms to strengthen citizen engagement in governance processes. In this context, the initiative has embarked on a project entitled PAISA: Planning, Allocations and Expenditures, Institutions-Studies in Accountability, with the objective of strengthening transparency and

accountability in social sector expenditure management. This project aims to develop tools and mechanisms to collect information on micro level expenditures and through a capacity building exercise, make this information available to local communities that depend on core government services both to generate public pressure for better expenditure but also the strengthen the role of citizens in developing plans and determining how money ought to be spent. The first phase of this project is being undertaken in partnership with the ASER centre and the National Institute for Public Finance Policy (NIPFP). The focus is on elementary education. The first round of data has been collected from Nalanda district, Bihar and Sehore District, Madhya Pradesh. In Sehore, a six month capacity building project has also been initiated.

Know Your Budget: Data on public expenditures in India is extremely difficult to access for the ordinary citizen. Information sources are scattered and not easily identifiable. Available government data is complex and hard to unravel. Know your Budget is an initiative of the PAISA project. It is an attempt to create a one-stop shop for citizens, civil society organizations, policy makers and academics to identify data sources on social sector budgets in India. Under this project, regular budget briefs for social sector budgets of the Centre will also be available. Thus far, one budget brief on education has been prepared. Three more on health, rural development and urban development will be prepared by July 2009.

Other Activities

Website and Logo: The website www.accountabilityindia.org was formally launched in March 2009. The website is designed as a comprehensive source on the state of accountability in India with information on civil society experiments, accountability toolkits and relevant research and analytical work. A key component of the portal includes a document library which offers a collection of conceptual, analytical and empirical literature on the subject from India and around the world. The objective is to make the website a one-stop source of information for researchers, academics, practitioners and policy makers working on accountability issues.

Seminars and Workshops: The Accountability Initiative has started a regular seminar series on the subject of accountability. The objective of the series is to bring together academics, policy makers and civil society activities to debate key issues related to strengthening public sector accountability. Thus far, three seminar events have been organised:

- Understanding Accountability: The role of social cohesion and local community dynamics in strengthening public accountability. Speaker: Ms. Araddhya Mehtta
- The Grammar of Gram Sabhas: Understanding the anatomy of deliberative democracy in rural India. Speaker: Dr. Vijayendra Rao
- The School Governance Environment in Uttar Pradesh: Implications for Teacher Accountability. Speaker: Dr. Geeta Kingdon

Ms. Yamini Aiyar, Senior Research Fellow, is incharge of this study.

2. Rethinking Borders

The co-authored book project under the India China Institute Fellowship Grant on Prosperity and Inequality in India and China begins by examining the interaction between mainstream and alternative discourses/activities on borders in specific areas/cases. Among other factors, the book will consider the following: the role of history, institutions, actors, and extra-territorial factors (e.g., world politics) in the shaping of the mainstream security discourse and its protracted resistance to and acceptance of alternative discourses/activities.

The study is expected to be completed by March 2010.

Dr. Nimmi Kurian, Associate Research Professor is one of the co-authors of the above research study.

3. **India China Institute**

CPR joined in partnership with the India China Institute, of the New School, New York, to act as its academic partner in India. The primary area of CPR's support is in anchoring the India China Fellowship programme, an innovative fellowship programme which brings together five scholars from India, China and the New School to work on collaborative comparative research projects. The two year fellowship programme, brings the scholars together in three residency programmes in China, India and New York.

During the year CPR, other than participating in the Beijing residency undertook the following activities under the CPR-ICI partnership.

- i. The India Residency for the 2nd Cohort of ICI India China Fellows
- ii. The public conference on "Debating Inclusive Futures: Prosperity and Inequality in India and China"
- iii. Capacity Building Knowledge and Capacity Building Initiative – with ICI and Kolkata University
- iv. A public lecture series on China was developed for implementation.

Mr. Shubhagato Dasgupta, Senior Fellow and Dr. Pratap Bhanu Mehta, President are anchoring this work.

FACULTY NEWS¹

1. During the year under review, the President, **Dr. Pratap Bhanu Mehta** was involved in the following research and allied activities:

Conferences/Seminars/Talks

- i. Attended and spoke on "Diversity and Representation" in a Seminar on "Representing Diversity: Ideas and Institutions" organised by Centre for Political Studies, JNU in collaboration with Indian Institute of Advanced Studies, Shimla on 3-4 April 2008 at JNU.
- ii. Attended and spoke on "Constitutional Theory and Indian Law" in a Workshop on "Law and Democracy in the 21st Century: European and Indian Experiences" held from 11 to 13 June 2008 in Berlin, Germany.

¹ We regret that we are not able to report the activities of all Faculty Members owing to non-availability of information in some cases.

- iii. Panelist in a Panel Discussion on “India & South Asia: Does it Matter?” Opened by Mr. Jairam Ramesh on 25 July 2008 at IIC, New Delhi.
- iv. Presenter at “Space Conversation about India, Literature and Modernity” at IIC, New Delhi.
- v. Attended a meeting on “India’s National Security” at Business Centre, The Oberoi, New Delhi on 9 August 2008.
- vi. Presenter in a meeting on “The Institutional Environment in India” organised by Johnson & Johnson at The Oberoi Hotel, New Delhi on 8 September 2008.
- vii. Delivered a Branign Lecture on “Constitutionalism and Judicial Review in Divided Societies” at University of Indiana, Bloomington on 24 September 2008.
- viii. Spoke on “The Rule of Law and the Representation Process” in a Conference entitled Justice and the Law at University of California, Berkley from 26-27 September 2008.
- ix. Delivered a talk on “Gandhi & Trusteeship” at Centre for Corporate Governance & Citizenship, IIM Bangalore on 2 October 2008.
- x. Delivered a talk on “Judicial Reform in India” at the Annual Meeting of J.S. Sagar Associates in Hyderabad on 3 October 2008.
- xi. Delivered a talk on “Indian Higher Education Reform” at Zakir Hussain Centre for Educational Studies, JNU on 15 October 2008.
- xii. Made a presentation on “Do Arguments from Culture Work” in a Cultural Change Institute Conference Programme on the theme “Cultural Continuity and Change”, held from 24-26 October in Boston, USA.
- xiii. Delivered a talk on “Global Governance in Risk” in a “Summit on the Global Agenda” in Dubai, UAE from 7-9 November 2008 organised by World Economic Forum.
- xiv. Made a Presentation on “New Institutionalism in India” at Canadian High Commission on 20 November 2008.
- xv. Delivered a Plenary Lecture on “The Idea of Inclusion” at Sociology Association, Dr. B.R. Ambedkar Open University, Hyderabad on 29 November 2008.
- xvi. Spoke on “Structures of Power in Asia” in a Conference on “Governance of a Globalising World: Whither Asia and the West?” in Singapore from 3-6 December 2008, organised by Centre on Asia and Globalisation, Lee Kuan Yew School of Public Policy, NUS, Singapore.
- xvii. Attended a Conference on “China and India in the 21st Century” from 15-19 December 2008 in Beijing (China), jointly organised by CAIFC, Beijing and Rajiv Gandhi Foundation, New Delhi
- xviii. Attended the meeting of Directors of ICSSR funded Research Institutes in Kolkata on 29-30 December 2008.

- xix. Attended and presented a paper on the theme “The Idea of Politics” in a two-day meeting on Indian Intellectual History, at Hill Fort Kesroli, Rajasthan from 3-5 January 2009.
- xx. Addressed in the Seminar on “Administrative Reforms and Ethics in Governance” at FICCI, New Delhi on 6 January 2009.
- xxi. Delivered a plenary lecture on “The Crisis in Rule of Law” at the LASSNET Conference on 8 January 2009.
- xxii. Delivered a talk on “Finance and Politics” at Department of Economic Affairs, Ministry of Finance, Government of India on 10 January 2009.
- xxiii. Spoke on “Rethinking Secularism” in a Conference on “Rethinking Religion in India-II” organised by CSDS on 13 January 2009 .
- xxiv. Delivered a talk on “Hierarchy and Reform in Government” at IIM Ahmedabad on 16 January 2009.
- xxv. Delivered a talk on “India as a Rising Power” organised by The Sri Lanka - India Society, Colombo, Sri Lanka on 3 February 2009.
- xxvi. Conducted a special Seminar on “Society and Politics in India” at Department of Sociology, University of Mumbai on 20 February 2009.
- xxvii. Delivered the 8th Prof. A.R. Desai Memorial Lecture on “Social Basis of Indian Citizenship” at Department of Civics and Politics, University of Mumbai on 20 February 2009.
- xxviii. Delivered a lecture on “The Relationship Between Religion and Democracy in Tocqueville” at Harvard University during his visit to USA from 16-20 March 2009
- xxix. Delivered a lecture on “Is Religious Pluralism Possible?” at Michigan State University during his visit to USA from 16-20 March 2009
- xxx. Delivered a lecture on “Bows without Arrows: The State and Development” at McGill University during his visit to Canada from 16-20 March 2009

Articles

- i. The Good War, *Outlook*, 18 August 2008
- ii. Hand in Hand, *Outlook Business*, 23 August 2008
- iii. Identity Vs Identification *Himal: South Asian*, 31 August 2008
- iv. Obstacles to a New Revolution, *Seminar*, 1 October 2008
- v. China’s Pakistan Test, *The Wall Street Journal*, 16 December 2008
- vi. ‘Book of Blunders’, *Outlook*, 2 March 2009
- vii. ‘Creating a Credible State’, Center for the Advanced Study of India (CASI), University of Pennsylvania

Papers

- i. “Passion and Constraint: Regulation of Religious Speech in India” in Rajeev Bhargava (ed.) *Moral and Political Philosophy of Indian Constitution* (OUP)
- ii. “Institutional Challenges to India’s Democracy” in R. Basrur (ed.) *Challenges to India’s Democracy* (Oxford, 2009)
- iii. “Under Nehru’s Shadow: India’s Foreign Policy in Crisis”, Working Paper, Center for the Advanced Study of India (CASI), University of Pennsylvania, USA.

Book Review

Reviewed a book entitled *Problem of Plenty* by Chris Patten/Allen Lane, *The Indian Express*, 19 October 2008.

2. During the year under review, **Dr. Bibek Debroy**, Research Professor was involved in the following research and allied activities:

Papers

- i. “Zoning Development – Foreword”, in *Special Economic Zones in India, Myths and Realities*, Amitendu Palit and Subhomoy Bhattacharjee, Anthem South Asian Studies, Anthem Press, 2008.
- ii. “India’s Attempts at Regional Integration with South Asia and East Asia,” *ISAS Working Paper No. 45*, 26 May 2008.
- iii. “Making Indian Manufacturing Competitive,” in Rajiv Kumar and Abhijit Sen Gupta edited, *India and the Global Economy*, ICRIER and Academic Foundation, 2008.
- iv. “Adjusting to a Knowledge Economy,” in *Beyond Degrees*, edited by Ira Pande, IIC Winter 2007/Spring 2008 Quarterly, 2008
- v. “Justice Delivery in India – A Snapshot of Problems and Reforms,” *ISAS Working Paper No. 47*, 31 July 2008.
- vi. “State of the States,” *India Today*, jointly with Laveesh Bhandari, 22 September 2008.v
- vii. “Doha – Lame Duck more than Dead Duck,” *MEDC Economic Digest*, 29 September – 28 October 2008.
- viii. “Justice Delivery in India: A Snapshot of Problems and Reforms,” jointly with Aditya Singh, in *Economic Developments in India*, Vol. 125, edited by Raj Kapila and Uma Kapila, Academic Foundation, 2008.
- ix. “Doha – Lame Duck more than Dead Duck: An Indian Perspective,” *Indian Foreign Affairs Journal*, Vol.3, No. 4, October-December 2008
- x. “Indian Bureaucracy: Dismantling the Steel Frame”, *ISAS Insights No.44*, 9 January 2009.
- xi. “The Global Financial Crisis and Indian Responses,” *Eternal India*, Vol.1, No.4, January 2009.
- xii. “Dismantling the Steel Frame,” *Seminar*, No. 594, February 2009.

- xiii. “Atma vishleshana, atma darshana o Gita,” *Desh*, Vol.76, No.7, 2 February 2009.
- xiv. “Legal Empowerment for the Poor (LEP), An Agenda for Asia,” *Discussion Paper No. 5*, UNDP Oslo Governance Centre, February 2009.
- xv. “India’s Vicious Downward Cycle,” *Far Eastern Economic Review*, March 2009
- xvi. “We the people – once every five years here,” in *India’s Elected, Our MPs, A Report Card, 14th Lok Sabha, 2004-09*, edited by Seema Chishti, *Indian Express*, 2009.

Edited Books

- i. *India’s Liberalization Experience, Hostage to the WTO?* Jointly edited with Suparna Karmakar and Rajiv Kumar, Sage Publications and ICRIER, 2007.
- ii. *The Crossover: Public Sector in Globalized India*, The Times Group, 2008.

Authored or co-authored books or monographs

- i. *Handbook of Transformation to Market Economy*, liberal Verlag GmbH, Berlin , 2008, translated into Indonesian as *Buku Panduan Transformasi ke Perekonomian Pasar*, 2008.
- ii. *Habits of Highly Effective Countries, Lessons for India*, jointly with with Leon Louw and Laveesh Bhandari, Konark Publishers, 2008.
- iii. *Sarama and Her Children, The Dog in Indian Myth*, Penguin, 2008.
- iv. *Transforming West Bengal, Changing the Agenda for an Agenda for Change*, jointly with Laveesh Bhandari, Indicus Analytics, February 2009.
- v. *Equality (Samya)*, Bankimchandra Chattopadhyay, translated from the Bengali, Liberty Institute, Classics Revisited series, Delhi , 2009.
- vi. *Indian Labour Report 2008 – The Right to Rise: Making India’s Labour Markets Inclusive*,” jointly with Laveesh Bhandari, TeamLease Services, 2009.

3. During the year under review, **Mr Bharat Karnad** , Research Professor in National Security Studies was involved in the following research and allied activities:

Publications

India’s Nuclear Policy [Westport, CN, & London: Praeger Security International, October 2008]; Special South Asian edition, now in its second print, published by the New Delhi-based Pentagon Press in November 2008 and again in February 2009.

Conferences

Presented a Paper on “Cold Start’ and the Nuclear Tripwire” at the International Conference on “ ‘Cold Start’: India’s New Strategic Doctrine and its Implications” at the US Naval Post-Graduate School, Monterrey, California, 29-30 May, 2008.

Discussions

- i. Stakeholders' critique of the Ministry of External Affairs-funded National Interest Project paper on "India's Nuclear Deterrence", ICRIER, 10 November 2009.
- ii. "India-US Relations" with a team from the Centre for American Progress, Washington, 13 December 2008.
- iii. "Indian Expectations of the Obama Administration", Working Lunch of European Union Ambassadors, at the residence of the Ambassador of Netherlands, 16 January 2009.
- iv. Stakeholders' critique of the Ministry of External Affairs-funded National Interest Project paper on "US and India's National Interest", ICRIER, 21 January 2009.
- v. "India's China Policy", India International Centre Study Group, 23 February 2009.
- vi. "Nuclear Weapons and Policy", National Security Advisory Board, National Security Council, Government of India, 26 February 2009.

Talks/Lectures

- i. "Indian National and Military Strategy: Nuclear Aspects", Naval Higher Command Course, College of Naval Warfare, Mumbai, 16 April 2008
- ii. "Nuclear Security", 10th Joint Course on National Security, IAS Academy, Mussoorie, May 23
- iii. "India's Nuclear Strategy, Preparedness, and Future", Senior Officers' Study Week, Army II Corps, Subathu, HP, 6 June 2008.
- iv. "Challenges of Nuclear Proliferation", National Defence College, 13 June 2008
- v. "Nuclear Security", National Defence College, 4 July 2009
- vi. "The Strategic Environment and Military Modernization", Air Marshal Y.V. Malse Memorial Lecture, Centre for Advanced Strategic Studies, Pune, 8 July 2009
- vii. "Indo-US Nuclear Deal: What It Means To Us", HQrs. Naval Aviation, Goa, 10 July 2008
- viii. "National Security of India", Vivekananda Vichar Manch. Gurgaon, 3 August 2008
- ix. "Impact of India's Nuclear Policy on Strategic and Energy Dimensions", Army Higher Command Course, Army War College, Mhow, 10 September 2008
- x. "Nuclear Security", National Police Academy, Hyderabad, 22 September 2008
- xi. "Resurgent Russia and India's Foreign Policy", First International Seminar on India's Foreign Policy in the 21st Century, Jawaharlal Nehru University, New Delhi, 17 October 2008
- xii. "Indo-US Relations: The Changing Perspective", Centre for Advanced Strategic Studies, Pune, 22 October 2008

- xiii. “India’s Emerging Role in Regional and Global Scenario”, Indian Military Academy, Dehradun, 8 November 2008
 - xiv. “National Security: Nuclear Dimension”, College of Materials Management, Army Ordnance Corps, Jabalpur, 2 December 2008
 - xv. “Trans-Atlantic Developments and the Future of NATO”, Defence Intelligence Agency, 12 December 2008
 - xvi. “India’s Nuclear Energy Future and the Indo-US Nuclear Deal”, Defence Services Staff College, Wellington (Nilgiris), 15 December 2008
 - xvii. “India’s Nuclear Strategy”, Air Force Higher Command Course, College of Air Warfare, Secunderabad, 18 December 2008
 - xviii. “Indo-US Nuclear Deal”, Foreign Service Institute, IFS batch of new entrants, 4 February 2009
 - xix. “India-US Nuclear Deal”, Course for Foreign Diplomats, Foreign Service Institute, 19 March 2009
 - xx. “Pakistani Nuclear Capability, Strategy and Programmes”, Defence Intelligence Agency, 27 March 2009.
4. During the year under review, **Dr. Nimmi Kurian**, Associate Research Professor was engaged in the following research and allied activities:

Papers presented at Conferences

- i. Presented paper on “A Glass Half Empty: Challenges to a Subregional Water Dialogue” at the International Conference on the Third TERI-KAS Conference on Resource Security: The Governance Dimension, Goa, 3-4, October 2008.
- ii. “Embedding Sustainability along Fungible Borders: Rethinking the India-China Subregion”, draft chapter presentation of co-authored book on *Rethinking Borders* at the Second Residency of the India China Institute Fellowship Programme, Beijing, 22-30 November 2008.
- iii. “Periphery as Hub: The Discourse on the Northeast as Gateway” for the International Workshop on The Southern Silk Route : Historical Links and Contemporary Convergences, organised by the University of Calcutta and the India China Institute, New York, 1-4 August, 2008.
- iv. “A Road Less Travelled: Towards Cross Border Partnerships in Tourism” at the International Conference on Subregionalism organised by Sikkim University, Gangtok, 18-21 December 2008.
- v. Chaired Panel discussion on “Rethinking Borders”, at the India Residency of the India China Institute Fellowship Programme, IIC, New Delhi, 17 March 2009.

- vi. Chaired Panel discussion on “Bridging the Divide: Inequality in Access to Resources and Environmental Security”, at the India Residency of the India China Institute Fellowship Programme, IIC, New Delhi, 18 March 2009.
- vii. “Riding the Tiger: China’s Land Reform Challenge”, at the National Seminar on Revisiting Land Reforms in Kerala, organised by the Achutha Menon Foundation, Thiruvananthapuram, in April 2008.
- viii. Panelist in the session on ‘Thematic Considerations: Current Issues and Future Directions in India China Relations’ at the Brainstorming Session on the ORF’s India- China Centre in Kolkata, 17 May 2008.
- ix. Panelist on Transboundary Water Governance Issues at a Workshop on Climate Change and Water organised by The Energy Resources Institute, New Delhi and Stimson Centre, Washington, 5 February 2009.

Publications

“Kosi as Metaphor: Learning to Unlearn on Water”, India China Institute Newsletter, (New York), vol.1, no.1, 11 October 2008.

Other Distinctions

- i. Awarded the India China Institute Fellowship (2008-2010) by the India China Institute, The New School, New York on the theme Prosperity and Inequality in India and China.
- ii. Member of Expert Group on the India China Knowledge and Capacity Building Initiative (ICKCBI), to develop a new course on India China Interactions to be taught in partner universities in India, China and the United States, held in Kunming, China, 9-11 January 2009.
- iii. Member of Expert Panel on Curriculum Development on International Relations, Peace and Security and Regional Cooperation for the Central University of Sikkim, Gangtok, 28th July 2008.

Book Review

i. “Challenging China Caricatures” a book review of *Smoke and Mirrors: An Experience of China*, by Pallavi Aiyar, Fourth Estate, 2008 in *The Sunday Pioneer*, 7 September 2008.

5. During the year under review, **Dr. Rajeswari S Raina**, Senior Research Fellow was engaged in the following research and allied activities:

Papers presented in Seminars / Conferences

- i. “Conceptual Challenges for Socially Inclusive Innovation in India’s Drylands,” lead paper at the Innovation Asia Pacific Symposium, Kathmandu, Nepal, organised by ProLInova, Li-BIRD, CIAT, Practical Action, RIUP (DFID), IDRC and ICIMOD, 4-7 May 2009.

http://www.innovation-asia-pacific.net/home2/media/Raina-conceptual_challenges_for_inclusive_innovation-for_web.pdf (<http://www.innovation-asia-pacific.net/home2/media/digest/Impression%20Day%204.pdf>)

- ii. “Building Capacities for ERP: Lessons from India,” Workshop on Education for Food Security: The Contribution of Education for Rural People to ESD, (presented in absentia by the IAASTD co-authors, Prof. Jiggins and Prof. Plencovich), UNESCO World Conference on Education for Sustainable Development, Bonn. 31 March-2 April 2009.
- iii. “Institutional Reform for Inclusive Growth: Enabling the Move from Biotechnology to Bio-innovation”, paper presented at the 11th International Conference on Technology, Policy and Innovation (ICTPI'08), 13-15 March 2009 India Habitat Centre, New Delhi.
- iv. “Equality and Conservation Agriculture: Messages in the IAASTD,” presentation made in the session on “Equity and Gender Issues”, at the 4th World Congress on Conservation Agriculture, at the National Agricultural Science Complex, 6 February 2009.
- v. Presented on “Specific Governance Aspects of Biotechnology and Nanotechnology in India”, as part of a panel of experts, at the TERI-DSDS special event on Emerging Technologies in Developing Countries: Capabilities and Governance Issues, India Habitat Centre, New Delhi, 4 February 2009.
- vi. “Lessons from the IAASTD – How do we Take the Agenda Forward?” Presentation made at the IAASTD Press launch event, organised by Island Press, Washington, D.C., at the National Agricultural Science Complex, 6 February 2009, New Delhi.
- vii. Lectures on (i) “Innovation in India’s Agricultural and Rural Sector,” (2 February) and (ii) “Supporting and Enabling Rural Innovation in India,” (4 February) as part of the course on Design and Evaluation of Innovation Policy (DEIP) organised by UNU-MERIT, CDS, and NISTADS, in NISTADS, New Delhi, 2 to 7 February 2009.
- viii. “Risks, Uncertainties and the Institutions of Agricultural Knowledge,” paper presented at the Knowledge Society Debates, organised by IDS, Sussex – STEPS Centre, 12 January 2009, India Habitat Centre, New Delhi.
- ix. “Learning from Cases of Rural Innovation,” presented at the Workshop on Rural Innovation: Constraints and Possibilities, organised by the Department of Science and Technology (Division of Science and Society) and Gujarat Institute of Development Research (GIDR), in Ahmedabad, 26 November 2009.
- x. “Towards a New Economics of Knowledge: The Case of Agricultural Sciences and Local Agro-ecological Knowledge Systems,” presented at the International Conference on The Commercialisation of Local Knowledge, organised by the University of Warwick and the French Institute, 5-6 November 2008, French Institute, Pondicherry.
- xi. “The Agricultural Production-environment Interface: Lessons from the IAASTD for Indian Agricultural Policy,” paper presented at the International Seminar on Environmental

Degradation and Food Crisis – Lessons for India, organised by Greenpeace India, India International Center, 24 October 2008.

- xii. “Modern Agricultural Technologies- Ethics, Policy Choices and the IAASTD Findings,” Presentation made to the European Group on Ethics in Science and New Technologies, Roundtable on Ethical Aspects of Modern Developments in Agriculture Technologies, European Commission, Brussels: June 18 2008.
- xiii. “Fostering Bio-innovation in Asia: Knowledge Gaps and Challenges,” seminar at the Resource Economics Colloquium, FoKo, Division of Natural Resource Economics, Humboldt University, Berlin. 27 May 2008.

Publications

Papers in Journals

Rajeswari S. Raina and Archita Bhatta (2008) “Policy Bungling: Incentives for Public Sector S&T in India”, *Economic and Political Weekly*, Vol. 43 (43): 16-19.

Papers in Books/ Conference Proceedings

- i. Rajeswari S. Raina (2009) “Innovation for Eco-friendly Development – Towards Institutional Reform in Scientific Research and Policy-making,” in Guimaraes-Perreira and Funtowicz (Eds.) *Science for Policy*, Oxford University Press: London and New Delhi.
- ii. Mirza, M., Raina, R.S., Matysek, A. and Ma, S. (2009) Agricultural Change and its Drivers: A Regional Outlook, Chapter 4 in *Report of the International Assessment of Agricultural Knowledge, Science and Technology for Development (IAASTD), the East-South-Asia and Pacific (ESAP)*. Island Press: Washington, D.C.
- iii. Rajeswari S. Raina (2008) “Interactive Policy Research for Rural Innovation,” in Wickremasinghe, S. I. and Gupta, V. K. (Eds.) *Science and Technology Policy and Indicators for Development: Perspectives from Developing Countries*, NAM S&T Center: New Delhi. Pp. 230-253.

Reports

- i. Feldman, S., Nathan, D., Raina, R. S., and Hong, Y. (2008) *Summary for Decision Makers, East-South-Asia and Pacific (ESAP) report of the International Assessment of Agricultural Knowledge, Science and Technology for Development (IAASTD)*, Island Press: Washington, D.C.
- ii. Synthesis Report Team, *Synthesis Report of the International Assessment of Agricultural Knowledge, Science and Technology for Development (IAASTD)*, Island Press: Washington, D.C.

Services/Assignments

Lecture

Lectured on (i) Agricultural Innovation Systems in India, and (ii) Supporting and Enabling Rural Innovation, as part of the week long course on Design and Evaluation of Innovation Policy (DEIP),

offered by UNU-MERIT, Maastricht, CDS, Thiruvananthapuram and NISTADS, New Delhi, to mid-career S&T management and innovation professionals, from 2-7 February 2009, New Delhi.

New directions in R&D/Education/CSOs

- i. Member, Advisory Board, for the project on “Enabling Bio-innovations for Poverty Reduction in Asia” co-ordinated by the Asian Institute of Technology, Bangkok (2008-2011)
- ii. Resource person and expert at the National Consultation on Conservation Agriculture, organised by the National Centre for Agricultural Economics and Policy Research (NCAP) and the Professional Alliance for Conservation Agriculture (PACA), at the National Agricultural Science Centre, New Delhi, 12 December 2008.

Advisory/professional roles

Governing Board member- Centre for Advancement of Sustainable Agriculture, National Agricultural Science Complex, New Delhi

6. During the year under review, **Dr. Lavanya Rajamani**, Associate Research Professor was involved in the following research and allied activities:

Meetings/Conferences where written papers were presented

- i. Presented a paper on The Climate Negotiations: The Challenges on the Road to Copenhagen, at a Climate Symposium, University of Copenhagen, April 2008.
- ii. Participated in a Panel Discussion on Beyond the Carbon Economy at the International Bar Association, Section on Energy, Environment, Resources and Infrastructural Law Meeting, April 2008
- iii. Presented a Paper on International Law and Democratic Legitimation at the Law and Politics Group: First Meeting, Law and Democracy in the 21st Century: European and Indian Experiences, Wissenschaftskolleg zu Berlin, 11-13 June 2008,
- iv. Presented a Paper titled Towards a New International Agreement on Climate Change: From Bali to Copenhagen, Institute of Policy Studies, Wellington, New Zealand, 28 July 2008
- v. Presented a Paper titled An Indian Perspective on Burden Sharing, at the Post-2012 Burden Sharing Symposium, Wellington, New Zealand, 29 July 2008
- vi. Presented a paper on The Role of Developing Countries in the Structuring of the Climate Regime, at the IUCN Academy of Environmental Law conference, Climate Law in Developing Countries, University of Ottawa, Canada, 26-28 September 2008

Chapters in Books, Articles and Papers

- i. *Climate Change, Human Rights and International Law: Mapping the Edge*, World Bank, DC (December 2008)
- ii. From Berlin to Bali and Beyond: Killing Kyoto Softly? *57(3) International and Comparative Law Quarterly* 909 (October 2008)

- iii. India's Approach to Climate Change, in Elvire Fabry and Damien Tresallet (ed), *Greening Economic Growth: Towards a Global Strategy for Europe 98* (Fondation Pour l'innovation Politic 2008)
- iv. Re-thinking India's International Climate Policy, *Aus Politik und Zeitgeschichte (Politics and Contemporary History*, in German, May 2008)
- v. The Indian Way: Exploring Synergies between Development, Energy and Climate Goals, in Barrera-Hernandez, Omorogbe, Redgwell and Zillman (eds), *Beyond the Carbon Economy*, (Oxford University Press, UK, 2008)
- vi. China and India on Climate Change and Development, in Bernstein, Brunnée, Duff and Green (eds), *A Globally Integrated Climate Policy for Canada 104* (University of Toronto Press, Canada, 2008)

Also Working on the following Publications

- i. With Sandrine Maljean-Dubois, Implementation of International Environmental Law: State of the Research, Center for Studies and Research in International Law and International Relations, Hague Academy of International Law, Martinus Nijhoff Publishers, The Netherlands.
- ii. With Sandrine Maljean-Dubois (editors), Essays on the Implementation of International Environmental Law, Center for Studies and Research in International Law and International Relations, Hague Academy of International Law, Martinus Nijhoff Publishers, The Netherlands.
- iii. With Jutta Brunnee and Meinhard Doelle (editors), POST-2012 Compliance in the Climate Regime, Cambridge University Press, UK.

Honours

Nominated as the Rapporteur for the International Law Association's Committee on Legal Principles Relating to Climate Change.

- 7. During the year under review, **Dr. B N Saxena**, Honorary Research Professor was involved in the following research and allied activities:

Participation in Scientific Meetings

- i. Participated in the discussions sessions of the " Annual Meeting of the Microbicides Trial Network of National Institutes of Health (NIH) USA for HIV Prevention " & " Annual Meeting of the Alliance for Microbicides Development (AMD) for HIV Prevention " at Arlington, Virginia USA 11-14 May, 2008.
- ii. Participated in the discussion sessions of the International Symposium on the " Accessibility of the Microbicidal Products for HIV Prevention " organised by the International Programme

on the Microbicides (IPM) & ‘ The International Aids Conference ‘ at Mexico City, Mexico 1-4 August, 2008.

- iii. Participated during the discussions and chaired the sessions on the contraceptive researches update during the International Symposium of the Diczfakusy Foundation on the “Reproductive Health & other Related Health Issues of the Elderly “ at Szeged , Hungary, 1-3 October, 2008.
- iv. Gave two talks on the “ Current Status of the HIV / AIDS in India” and “Regulatory Aspects of the Microbicidal Products in India”, during the “WHO – Nanjing University International Workshop on the Guidelines and Regulatory Requirements of the Microbicidal Products” at Najing, Peoples Republic of China, 2-7 November, 2008.
- v. Gave a talk on the “Current Status of Microbicides Research in India” during the ICMR CONRAD Workshop on the “In vitro Screening Methods / Techniques for Evaluating the Microbicidal Products for HIV Prevention”, at the National AIDS Research Institute, Pune 1-4 February, 2009
- vi. Chaired the session on the “ Immunological Approaches to Contraception at the “ International Conference on Reproductive Immunology “, at National Institute of Immunology at New Delhi 8-11 February, 2009.
- vii. Attended the meeting at Brussels (Belgium) Feb 20 to 24, 2009, as the External Reviewer Expert for the R & D proposals for the Microbicidal products , submitted for the funding by European Union / European Commission.
8. During the year under review, **Mr. K C Sivaramakrishnan**, Honorary Research Professor was involved in the following research and allied activities:

Conferences/Seminars

- i. Participated in a conference on Special Economic Zones organised by the Institute of Advanced Study, Shimla, 18-20 September 2008:
- ii. Participated in a conference on Thirty Years of Panchayati Raj organised by the Institute of Social Sciences and the Indian Institute of Management, Kolkata, 12-13 December 2008:
- iii. Participated in the International workshop on Kathmandu Valley Metropolitan Development and Relevant International Experience organised by the East West Center, Hawaii: 11-13 February 2009
- iv. Participated in the Workshop organised by the Surat Municipal Corporation on the launching of the City Technical Advisory Group under the JNNURM on 19 and 20 January 2009.
- v. Participated in the National Election Watch Conference in Mumbai on 1 February 2009

- vi. Participated in the 'Academics for Panchayati Raj' Conference organised by the Ministry of Panchayati Raj on 25 and 26 February 2009 in Delhi.
- vii. Participated in the Conference on Climate Change Negotiations and Options for India organised by CPR, CASI and Khemka Foundation on 6 and 7 March 2009
- viii. Participated in the Conference on Metropolitan Governance organised by the Association of Municipal Development Authorities in Delhi on 20 March 2009

Publications

- i. *Courts, Panchayats and Nagarpalikas: Background and Review of the Case Law*
New Delhi; Academic Foundation, 2009
343p.

Paper

Special Economic Zones: Issues of Urban Growth and Management
New Delhi, Centre for Policy Research, 2009
(Occasional Paper No.19)

- 9. During the year under review, **Professor Michael Walton**, Visiting Fellow, was involved in the following research and allied activities:

Publications

No Growth without Equity? Inequality, Interests and Competition in Mexico. 2009. (co-edited with Santiago Levy). Palgrave Macmillan and the World Bank.

Honours/Distinctions etc.

VKRV Rao Chair Professor in the Institute of Economic and Social Change, Bangalore

- 10. During the year under review, **Dr. K P Garg**, Consultant, developed / got developed Tests in Reasoning, General Awareness, Numerical Abilities etc. and in various other disciplines like Electronics, Personnel Management, Financial Management, Land Management, Management of Civil Engineering, Law Management, Electrical Engineering, Commercial Management, P&A, Architecture Information Technology, Computer Applications, Commerce, Law etc. for written examinations on behalf of various public sector organizations and educational institutions.

- 11. During the year under review, **Dr. Shylashri Shankar**, Fellow, was involved in the following research and allied activities:

Conferences

- i. Medical Jurisprudence of Torture: Paper presented at the LASSNET conference in January 2009
- ii. Courting Minorities in a Democracy: Paper presented at the Mediterranean Meeting Workshop, Florence, in March 2009

Publications

Scaling Justice: India's Supreme Court, Anti-Terror Laws, and Social Rights (Oxford University Press, 2009)

Papers

- i. "Courts and the Rights to Health and Education in India" co-authored with Pratap Bhanu Mehta in Varun Gauri and Dan Brinks ed. (2008) *Courting Social Justice: Judicial Enforcement of Social and Economic Rights in the Developing World* (Cambridge University Press)
- ii. "National Rural Employment Guarantee Programme in India – A Review," co-authored with Raghav Gaiha and Raghendra Jha, Working Papers in Trade and Development, The Australian National University. Also published in the *Economic and Political Weekly*, March 22, 2008.

LIBRARY AND INFORMATION & DISSEMINATION SERVICES

Library

During the year 2008-09, 195 books were added to the library of the Centre. The acquisition programme of the library was mainly restricted to books relating to subjects such as Policy Sciences, Economic Policy, Banking, Political Science, Futurology, Social Indicators, Foreign Policy, Defence and other fields of relevance to Research Programmes of the Centre.

The CPR library has a collection of a total of 12721 volumes including bound periodicals. The library subscribed to 51 journals and received gratis 40 periodicals. These cover major policy fields of concern to scholars at CPR. In addition to these, 20 daily newspapers are being received in the library.

During the course of the year, CPR continued to use its e-mail account with M/s VSNL for sending and receiving e-mail. The library continued to be a member of the Developing Library Network (DELNET), New Delhi. One fax machine and e-mail service are being used extensively for communication and information retrieval purposes. One Compaq Presario 6800 PC with Internet facility, provided by the ICSSR, is being used by CPR faculty/researchers.

One RICOH AFICIO MP 4000 B Digital Plain Paper Copier with Reverse Automatic Document Feeder, Duplexing for Automatic Back to Back copying, Set making, Sorting, Rotate sorting, 25%- 400% Zoom with A-3 Size Network Laser Printer and Scanner, and 40 GB Hard Disc for document server has been purchased and installed in the library.

With the help of two plain paper copiers, better and efficient reprographic facilities were provided to researchers and other staff of the Centre.

For other material and publications, the CPR library depends upon the services of 20 libraries of various academic and other research institutions in Delhi which have been generous enough to lend their books and journals for the Centre's use on the principle of reciprocity.

COMPUTER UNIT'S ACTIVITIES

During the year under report the following activities were undertaken by the Computer Centre.

- i. In order to provide fast internet services to the Centre, broadband speed was upgraded to 8 mbps.
- ii. For the first time the Centre is conducting a recruitment examination where the applications were received online. On behalf of a leading public sector organization, a system for this purpose was developed.
- iii. To support examination and testing work of ET&PPR Unit, Systems were designed and necessary software was developed for data processing of pre and post examination work of recruitment/admission tests conducted for various posts and categories. In all the computer centre handled ten projects involving about 2,50,585 candidates and scanned about 1,61,335 reflex sheets.
- iv. To support the research work of ET&PPR Unit, statistical analysis of examination data of a public sector organisation was completed and following tables were brought out.
 - a. Test wise, zone wise frequency distribution, mean, median and standard deviation.
 - b. Overall frequency distribution of scores for each zone, mean, median & standard deviation of these distribution.
 - c. Item Analysis of the items of each test on the basis of individual test scores & overall scores.
 - d. Correlation coefficients between different tests and of each test with total scores.
- v. Hardware and Software purchased
 - Six desktop computers (Five, Compaq Presario SR 3560/70 IL model and One Compaq Presario SG 3570 IL model).
 - Three Notebook computers (Two Compaq Presario cq-60-104 TU model and one Compaq Presario C797VU model).
 - Nine MS Windows vista License were purchased for the above hardware.
 - Three Xerox laser printers.
- vi. System support services were provided for presentations related to seminars and conferences held at the centre during the year. Maintenance and upgradation of hardware and software of the Centre's computers were carried out as per requirement. The functioning of mailing services were looked after.

RESEARCH AND ADVISORY SERVICES

Educational Testing and Personnel Policy Research (ET & PPR) Unit

During the year 2008-09, the ET&PPR Unit undertook and completed the following projects:

- i. Assisted the Government of India organization in their recruitment exercises of executive cadres involving 40109 candidates.
- ii. Assisted State Police Organization in their recruitment exercise during the year involving 5443 candidates.
- iii. On behalf of two reputed educational institutions, the Unit conducted the Entrance Tests for their Bachelor and PG Courses involving 6515 candidates. The results were finalized and handed over to them.
- iv. On behalf of two different Government Undertaking insurance companies, the Unit conducted the written examinations for recruitment to the officer cadres involving 194695 candidates. The results were finalized and handed over to them.

GRANTS

The CPR received the following grants from the ICSSR during the financial year 2008-09 (in lakhs):

1.	Recurring grant (Non Plan)	Rs. 35.00	
2.	Recurring grant (Plan)	Rs. 21.00	
3.	Additional Grant (Non-Plan)	Rs. 18.00	
	(Non-Recurring)		
4.	Additional Grant (Plan)	Rs. 4.10	(Non-Recurring)
	Total:	Rs. 78.10	

The CPR gross corpus fund now stands at Rs. 660.82 lakhs. The CPR's gross expenditure (including capital expenditure but excluding depreciation) during the year was Rs. 406.52 lakhs and the total specific project research expenditure during the year including research project expenditure was Rs. 402.13 lakhs. The ICSSR recurring grant is 9.16% of CPR's receipts of Rs. 610.83 lakhs during the year.

INSTITUTIONAL AND NON-INSTITUTIONAL DONORS

A number of agencies and Government departments as named below continued their support to the Centre during the year:

List of Project Grantees

1. Google.org
2. Indian Council of Medical Research (ICMR)
3. JBIC, Japan
4. Ministry of External Affairs, Government. of India

5. UNDP
6. Ford Foundation
7. DIMTS Ltd.

Tax Exemption for Donations to CPR

CPR has been approved u/s 35(1)(iii) of the Income Tax Act 1961 which entitles the Donor under the present Income Tax Laws a weighted deduction @ 125% of the amount of donation w.e.f. April 1, 2005. CPR has also been approved u/s 80G(5) (vi) of the Income Tax Act, 1961 for the period from 1.4.2008 up to 31.3.2011 which entitles the donor 50% tax deduction of the net qualifying amount.

CPR FACULTY AND STAFF
(As on 31 March 2009)

Faculty

	President & Chief Executive	Year of joining
1.	Pratap Bhanu Mehta Ph.D.(Princeton University)	August 2004
	Professors	
2.	Brahma Chellaney Ph.D. (Jawaharlal Nehru University)	July 1993
3.	Bharat Karnad M.A. (University of California)	April 1996
4.	Bibek Debroy M.Sc (Trinity College – University of Cambridge)	March 2007
	Associate Professors	
5.	Nimmi Kurian Ph.D. (Jawaharlal Nehru University)	Research Fellow since October 1996 Associate Professor since January 2002
6.	Lavanya Rajamani D.Phil (University of Oxford)	September 2006
	Senior Fellows/Fellows	
7.	Rajeshwari Raina Ph.D. (Kerala University)	October 2007
8.	Baladas Ghoshal Ph.D. (Jawaharlal Nehru University)	December 2005
9.	Partha Mukhopadhyay Ph.D. (New York University)	February 2006
10.	Shylashri Shankar Ph.D. (Columbia University, New York)	February 2006
11.	Yamini Aiyar	May 2008
12.	Shubhagato Das Gupta	November 2008

13.	Syed Iqbal Hasnain Ph.D (up to April 2008)	January 2007
14.	Jishnu Das Ph.D. (Harvard University)	January 2007
15.	Ardhendu Sen Sr. Visiting Fellow (up to July 2008)	August 2007
16.	Michael Walton Sr. Visiting Fellow	September 2007
	PRS Legislative Research	
17.	C.V. Madhukar Project Director, PRS Legislative Research MPA (John F. Kennedy School of Govt., Harvard University, USA) MBA, University of Houston, USA; B.E., Bangalore University	September 2005
18.	M.R. Madhavan Senior Fellow & Research Head, PRS Legislative Research B.Tech., IIT Madras PGDM, Fellow, IIM, Calcutta	January 2006
	Professor Emeritus	
19.	Charan Wadhva Ph.D (Yale, USA)	September 2005
	Honorary Research/Visiting Professors	
20.	K.C. Sivaramakrishnan, IAS (Retd) M.A., BL (Law) (Madras)	
21.	Ramaswamy R. Iyer, IAAS (Retd) M.A (University of Bombay)	
22.	Subhash C Kashyap M.A., LLB., Ph.D. (Allahabad University)	
23.	Ved Marwah, IPS (Retd)	

24.	Ajit Mozoomdar, IAS (Retd) D.Phil (Oxon), Bar-at-Law
25.	K R G Nair Ph. D. (Delhi School of Economics) University of Delhi
26.	V.K. Nayar Lt. Gen. (Retd) M.Sc. (Defence Studies, University of Madras)
27.	R. Rangachari B.E. (Hons), Anna University
28.	B.N. Saxena M.D (Lucknow University); F.A.M.S.
29.	Sanjib Baruah Ph.D.(University of Chicago)
30.	Sanjoy Hazarika Diploma in Journalism (London School of Journalism)
31.	G. Parthasarathy B.E. (University of Madras)
32.	B.G. Verghese BA (Hons.) (Delhi and Cambridge Universities)
33.	Kavita Sharma Ph.D. (University of Delhi)
34.	Kanta Prasad Garg Consultant Ph.D. (Jamia Milia Islamia)
	Chief Librarian and Programmeme Officer (CL&PO)
35.	Kamal Jit Kumar M.A., M. Lib.Sc (University of Delhi)

	Senior System Analyst and Associate Programmeme Officer (SSA&APO)
36.	Ajay Nayyar M.Sc., PGD in Computer Science (Kurukshetra University)
	Research Associates
37.	Kaushiki Sanyal Senior Research Associate Ph.D. (Jawaharlal Nehru University)
38.	Chakshu Roy Analyst
39.	Priya Parker Consultant (up to April 2008)
40.	Rohan Mukherjee Programmeme and Research Coordinator (up to August 2008)
41.	Sarita Vanka Analyst
42.	Arundhati Maiti Research Associate
43.	Kanhu Charan Pradhan Research Associate
44.	Shikha Bhasin Research Associate (up to June 2008)
45.	Avinash Celestine Senior Analyst
46.	Tonusree Basu Outreach Coordinator
47.	Abhijit Patnaik Researcher
48.	Avani Kapur Co-ordinator

49.	Pallavi Kaushal Research Associate
50.	Bala Posani Researcher
51.	Aniket Kumar Research Assistant

Administration, Accounts & Other Services

1.	L. Ravi B.Sc. (Nagarjuna University) PGDIRPM (Bharatiya Vidya Bhawan) HDSM (NIIT)	Chief, Administrative Services
2.	Jagmohan Chander B.Sc (University of Agra)	Administrative Officer (Admn. & Public Relations)
3.	Pradeep Khanna B.Com (University of Delhi)	Chief Accounts Officer
4.	Vivek Bhargava B.A. (University of Delhi)	Assistant Administrative Officer
5.	M.C. Bhatt B.Com (Kumaon University)	Accounts Officer
6.	V.K. Tanwar M.Sc. (Kurukshetra University)	Assistant System Analyst and Assistant Programmemes (ASA&AP)
7.	Dinesh Chandra	Senior Supervisor
8.	Shiv Charan	Senior Supervisor
9.	Y.G.S. Chauhan Certificate Course in Library Science	Assistant Librarian
10.	Sunil Kumar B.A. (Hons.) (University of Delhi) PGDPR (Bharatiya Vidya Bhawan)	Associate to President

11.	Pramod Kumar Malik B.A. (University of Delhi)	Associate to President
12.	Sonia Bhutani Gulati B.Com (University of Delhi) MBA (University of Pune) B.LIS (Annamalai University)	Public Relations Associate
13.	Vinod Kumar M.A. (Meerut University)	Deputy Supervisor
14.	Kusum Malik B.Com (University of Delhi)	Administrative Assistant (Project based)
15.	Hemlata Bisht	Data Entry Operator (Project Based)
16.	Sarala Gopinathan	Secretarial Assistant
17.	S. Suganthi	Project Assistant (Project Based)
18.	Siba Prasad Mohanty	Admn. Assistant (Project Based)

Other Secretarial Staff

19. Ram Bahadur
20. Ranjit Singh
21. Ramu Dura
22. Poona Ram