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Annual Report 2013-2014



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CENTRE FOR POLICY RESEARCH

Annual Report

2013 - 2014



CENTRE FOR POLICY RESEARCH
Dharma Marg, Chanakyapuri
New Delhi 110021 (INDIA)



VISION STATEMENT

*** VISION** To be a leader among the influential national and international think tanks engaged in the activities of undertaking public policy research and education for moulding public opinion.

*** OBJECTIVES** The main objectives of the Centre for Policy Research are:

1. to promote and conduct research in matters pertaining to
 - a) developing substantive policy options;
 - b) building appropriate theoretical frameworks to guide policy;
 - c) forecasting future scenarios through rigorous policy analyses;
 - d) building a knowledge base in all the disciplines relevant to policy formulation;
2. to plan, promote and provide for education and training in policy planning and management areas, and to organise and facilitate Conferences, Seminars, Study Courses, Lectures and similar activities for the purpose;
3. to provide advisory services to Government, public bodies, private sector or any other institutions including international agencies on matters having a bearing on performance, optimum use of national resources for social and economic betterment;
4. to disseminate information on policy issues and knowhow on policy making and related areas by undertaking and providing for the publication of journals, reports, pamphlets and other literature and research papers and books;
5. to engage the public sphere in policy debates; produce policy briefs to liaise with legislatures; and
6. to create a community of researchers.

*** LIST OF ACTIVITIES/SUBJECTS PURSUED**

1. Political Issues and Governance;
2. International Relations and Foreign Policy/Diplomacy;
3. Economic Policy Issues, National, Bilateral, Regional, and Global;
4. Security - Internal and External;
5. Public Services Delivery Policies;
6. Institutional Design;
7. Civil Society;
8. Regulation of Capitalism;
9. Population, Public Welfare Services, and Sustainable Development;
10. Constitutional and Legal Theory;
11. Institutional and Administrative Capacity Building for delivering Macro- Management of Reforms;
12. Educational Testing and Personnel Policy Research;
13. Dialogues with Strategic Partner Countries for Enhancing Engagement with Focus on South Asian and other Asian Countries;
14. Sectoral Policies for Infrastructural Development (Energy including Electric Power, Telecommunications, Roads, Ports, Airports etc.); and
15. Regional Development among States with Special Reference to Northeast India and Kashmir.

For general enquiries, please contact:

Office of the President
CENTRE FOR POLICY RESEARCH, NEW DELHI -110021 (INDIA)
Telephone: +91-11-2611-4797; Fax: +91-11-2687-2746
E-mail: president.cpr@cprindia.org
Website: <http://www.cprindia.org>

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CPR GOVERNING BOARD

(As on 31 March 2014)

- | | | |
|----|--|-------------------------|
| 1. | Prof. K C Sivaramakrishnan, IAS (Retd.)
Former Secretary, Government of India and
Senior Advisor, World Bank
Sector 13, R K Puram, New Delhi - 110 066 | Chairman |
| 2. | Dr. Sanjaya Baru
Director for Geo-economics and Strategy
The International Institute for Strategic Studies
D-44, 3rd Floor, Panchsheel Enclave
New Delhi - 110 017 | Member |
| 3. | Dr. (Mrs) Sneha Bhargava
Former Director
All India Institute of Medical Sciences
A-103 New Friends Colony,
New Delhi - 110 065 | Member (Till June 2013) |
| 4. | Mr. Subodh Bhargava
Chairman, VSNLA-15/1, DLF City Phase-1
Gurgaon - 122 001 | Member |
| 5. | Mr. Eric Gonsalves
Former Secretary, Government of India
C-52, IFS Coop. Group Housing Society
Mayur Vihar, Phase - I, Delhi - 110 091 | Member |
| 6. | Dr. (Ms.) Meenakshi Gopinath
Principal
Lady Shri Ram College for Women
Lajpat Nagar, New Delhi - 110 024 | Member |
| 7. | Mr. Nimesh Kampani
Chairman & Managing Director
JM Financial Limited
7th Floor, Cnergy, Appasaheb Maratha Marg,
Prabhadevi, Opp. Tata Motors Showroom
Mumbai-400025 | Member |

CENTRE FOR POLICY RESEARCH

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|-----|---|-------------------------|
| 8. | Mr. Nasser Munjee
Chairman
Development Credit Bank Ltd
Peninsula Business Park
Tower 'A', 6th Floor
Senapati Bapat Marg, Lower Parel,
Mumbai 400 013 | Member |
| 9. | Mr. D A Prasanna
Vice Chairman and Managing Director
Manipal AcuNova Ltd.
Mobius Towers, SJRI-Park
EPIP, Whitefield, Bangalore 560037 | Member (Till June 2013) |
| 10. | Mrs. Krishna Singh, IAS (Retd.)
Former Member-Secretary
National Commission on Population
Farm No. 17, Avenue Amaltas,
West End Greens, Rajokri,
New Delhi - 110 038 | Member |
| 11. | Dr. Arvind Virmani
Former Representative International Monetary Fund
4B/2, Ganga Ram Hospital Marg, Old Rajinder Nagar
New Delhi 110 060 | Member |
| 12. | Mr. R.K.P. Shankardass
Senior Advocate
Supreme Court of India
B-12, Maharani Bagh, New Delhi-110065 | Member |
| 13. | Dr. Geeta Piramal
Business Historian
Piramal House, 6th Floor
61, Pochkhanawala Road, Worli, Mumbai-400025 | Member |
| 14. | Mr. Ramesh Dadhich
Member-Secretary
Indian Council of Social Science Research
Aruna Asaf Ali Marg
New Delhi 110 067 | Member |
| 15. | Dr Pratap Bhanu Mehta
President and Chief Executive
Centre for Policy Research
Dharma Marg, Chanakyapuri
New Delhi - 110 021 | Member-Secretary |

CPR EXECUTIVE COMMITTEE

(As on 31 March 2014)

- | | | |
|----|--|------------------|
| 1. | Prof. K.C. Sivaramakrishnan, IAS (Retd.)
Former Secretary to Government of India and
Senior Advisor, World Bank
24, Aradhana Enclave
Sector - 13, R. K. Puram
New Delhi - 110 066 | Chairman |
| 2. | Mr. Eric Gonsalves
Former Secretary to Government of India
C-52, IFS Coop. Group Housing Society
Mayur Vihar, Phase - I
New Delhi - 110 091 | Member |
| 3. | Dr. Sanjaya Baru
Director for Geo-economics and Strategy
The International Institute for Strategic Studies
D-44, 3rd Floor, Panchsheel Enclave
New Delhi - 110 017 | Member |
| 4. | Dr. Pratap Bhanu Mehta
President and Chief Executive
Centre for Policy Research
Dharma Marg, Chanakyapuri
New Delhi- 110 021 | Member Secretary |

PRESIDENT'S REPORT

I am delighted to be able to introduce our Annual Report for 2013-14. This Report is self explanatory and details CPR's myriad activities during the course of the year. As in the recent past, CPR faculty and research associates produced an astonishing array of publications, from scholarly books published by the top university presses in the world to op-eds published in less learned settings. The main highlights of these publications are captured in the thematic reports and the listings of individual faculty.

The year covered by this Report was a year of great uncertainty and eventually momentuous change in India. It is something of a tribute to CPR faculty that, without compromising their independence, many CPR faculty played an active role in these debates. Work done at CPR on institutional decay and transformation, the political economy of corruption, accountability, judicial behaviour, the performance of social sector schemes, the changing dynamics of urbanization, environmental degradation, regulatory issues in energy policy, India's approach to the world, all figured prominently in public debates and arguments. It was also incredibly heartening to see research done by young research associates break new ground in several fields like urbanization and environment.

Our output was recognised in various ways. The Think Tank Initiative has renewed its support to CPR for another four years, providing us with an opportunity to strengthen, amongst other things, more data based analysis. CPR continued to do well in the space of major foundation grants. It also is attracting top class faculty. Very frankly, if we had space and a few more resources we could easily recruit more powerhouse faculty. During the course of the year, we came up with a strategy to address space issue and that process is now underway. Our second big institutional challenge was putting together a communications team. Resources for this team have been identified and will be in place in the coming year.

Before turning to a more substantive account, I wanted to thank all those who supported CPR during the course of the year, particularly, Dr. Gita Piramal, whose support has given us some confidence that we can take on ambitious expansion plans in the near future. I want to record my appreciation of our Chairman, K.C. Sivaramakrishnan, whose intellectual energy and institutional commitment keeps CPR together and reminds

us of what is truly important. Members of the Governing Board have always held us to high standards. CPR's administrative staff, led by Mr.L Ravi, has gone beyond the call of duty on so many occasions. I am particularly grateful to Navroz Dubhash, for taking on the responsibility for being Acting President. It is a measure of our institutional depth now that the President can occasionally try and educate himself with a short sabbatical! And our faculty and research associates have been a constant source of intellectual delight and engagement. I am grateful to them all.

THEMATIC CLUSTERS

I. ECONOMIC POLICY ANALYSIS

CPR's analysis of economic policy is focused on macroeconomic dynamics, the intellectual foundations of service delivery, and issues at the intersection of economics and ranging from trade and finance to health care and infrastructure policy.

In the last year, CPR produced a fair bit of work in the area of economic policy analysis. Economists at CPR are currently working on several projects that are interdisciplinary in nature. These projects include critiques of economic policy and policy recommendations.

The appointment of Senior Fellow Rajiv Kumar added new energy to this area. Under his guidance, the following projects/research units were started in the last year:

- i. The macro-economic research unit - It tracks both global and domestic data and publishes monthly updates on the state of the economy. It also brings out policy oriented briefs on specific macro issues such as inflation, fiscal balances and the state of balance of payments. Some of these are subsequently published in leading economic/financial dailies in an effort to enrich the public debate on macroeconomic issues and provide policy inputs.

The macro-economic team regularly interacts with both Delhi-based economists who work on longer-term policy issues and others in the corporate sector who use high frequency data to make market-based forecasts. The insights gained from these meetings and its own research are used to make policy recommendations. The unit also makes half-yearly presentations on macro-outlook.

- ii. The SARCist—South Asia Regional Cooperation, funded by The Asia Foundation, is an online knowledge portal on issues related to South Asia Regional Cooperation with special focus on trade and investment issues.

The SARCist attempts to create a space for dialogue and discussion on shaping the future of South Asia as an integrated, prosperous economic and regional entity and move the numerous Track II dialogues in the region on to the Track I platform. The SARCist acts as a virtual knowledge portal that brings together the diverse discussions happening among think tanks, universities, and the political class under a common platform. In the process, The SARCist aims to generate a new discourse on constructing South Asia.

- iii. India and her Neighbours – This project focuses on changing the narrative on regional cooperation in India and the neighbouring countries by expanding the set of stakeholders to include the relevant constituencies in the provinces that have international borders. This is a multi faceted programme with the objective of promoting regional cooperation in a region that is fast growing yet one of the least integrated economic regions of the world.

This programme, with the support of the World Bank, is launching a comprehensive programme of research and related activities to promote South Asian regional cooperation.

Additionally, the faculty of CPR produced two major studies last year, one dealing with NREGA and the other with SEZs. Shylashri Shankar co-authored a book titled *Battling Corruption: Has NREGA Reached India's Rural Poor?*, which uses quantitative and qualitative analysis to study the effectiveness of formal and informal mechanisms—political decentralization, community social audits, access to information, membership in networks, political competition—in reducing corrupt practices and enhancing poor people's welfare. Partha Mukhopadhyay co-edited a book titled *Power, Policy, and Protest: The Politics of India's Special Economic Zones*, which is the first book to study the politics of India's Special Economic Zones (SEZs). In this volume, differences in protest movements within and between eleven states where SEZs were proposed were examined. This volume provides a comprehensive picture of the politics behind one of the most controversial reform measures.

Bibek Debroy continued to engage with economic policy making through his contributions to books, his op-eds, and reports. He co-authored a report titled "Economic Freedom of the States of India", which brought out significant differences in economic governance between the states of India. He also contributed chapters to several books which dealt with recommendations for injecting competition in agriculture and thereby improving the performance of the lagging sector, an analysis of second generation reforms,

FDI in retail in particular, the state of India's health sector, and how excessive centralisation hampers economic growth.

CPR faculty were very active in the public sphere in this area contributing more than a hundred articles in the field. The seminar space was lively. These included a panel discussion on "India and South Asian Economies", a talk titled "Does Politics have an Impact on Local Economic Outcomes?", and a talk titled "Why Can't we Govern the International Economy? Lesson from the recent Financial Crisis and Beyond".

II. ENVIRONMENTAL LAW AND GOVERNANCE

CPR has a rich repository of work on Environmental Law and Governance, with a number of researchers and experts working in the area of water, energy, climate change and environmental governance, both independently and as part of larger initiatives and projects.

Water law and policy is one of CPR's long-standing focus areas. This year Honorary Research Professor Ramaswamy was awarded the Padma Shri by the Government of India for his unique contribution to the national debate on water. He also continued to be productive, publishing articles as well as chapters on multiple perspectives on water, the issue of sharing inter-state and international water, the concept of virtual water, the Cauvery river dispute, and the question of Mullapperiyar as an inter-state river. Senior Visiting Fellow Dr. Philippe Cullet published on the human right to water, and union water laws including ground water in India. Honorary Research Professor BG Verghese, is part of three World Bank study groups working on resolving conflicts in the Indus, Ganges and Brahmaputra basins. The independent work of these faculty members has significantly added to research on the politics, law and governance of a resource that is facing serious challenges in terms of availability, access, and its management in the country.

This year, the Climate Initiative (CI) at CPR completed five years of generating research on and examining linkages between domestic climate policies in India and global climate negotiations. CI completed a project on domestic climate strategies in India through a review of state action plans on climate change. In addition, the team co-authored a meta-analysis of climate legislation and strategies in 192 countries. CI held an international workshop on multi-level governance of climate change, which examined the hitherto ignored scope for linkages between three related but separate arenas: national climate plans, transnational climate initiatives and the formal climate negotiations process. CPR faculty actively contributed to shaping the discourse on climate law and policy

through their direct association with important climate bodies. Lavanya Rajamani is an advisor to the United Nations Framework Convention on Climate Change (UNFCCC) and a Rapporteur with the International Law Association. Navroz Dubash is part of the Intergovernmental Panel on Climate Change (IPCC) as a Coordinating Lead Author on the Fifth Assessment Report (AR5), and a member of the core writing team for the Synthesis Report and a participant in the Indo-US Track 2 Dialogue on Climate Change. CI's work has added to the growing body of scholarship on the emergence of national and local climate strategies, while also tracking developments in the international UN-led negotiating process with an eye on a possible climate agreement in Paris in 2015. In particular, CI has drawn attention to an important debate on how domestic strategies and plans could reinforce an overarching climate agreement and vice versa. In the context of rising trade and investments disputes over renewable energy, the Climate Initiative also published on the emerging linkages between climate change and international trade and investment laws.

Ambassador Shyam Saran, former special envoy to the PM on Climate Change and Senior Fellow at CPR was involved in a number of conferences and discussions on climate change, energy and sustainable development apart from his other foreign policy engagements. His talks and presentations in the climate and energy arena include the US India Track II Dialogue on Climate Change, Climate Change and Bhutan, Clean Coal, and Policy Transformation and the Transition to Clean Energy.

CPR has broadened its engagement in the area of energy by bringing on board Radhika Khosla, a Fellow at CPR. Khosla's research includes energy efficiency (with a focus on the built environment), urban climatology, broader energy issues as well as air pollution.

In the broader area of Indian environmental governance, CPR has commissioned papers that will form an *Analytical Lexicon of Principles of Indian Environmental Law*. These papers are an in-depth analysis of various environmental law principles that Indian courts rely on while deciding cases. In the context of growing environmental conflicts and litigation, this project aims to provide some conceptual clarity around the use of these principles, and also fill a significant gap in the literature.

This year, the CPR-Namati Collaborative Programme on Environmental Justice piloted a research project to study the response of administrative agencies at district and state levels to cases of environmental non-compliance. High levels of persistent non-compliance are a significant part of the larger challenge of sustainable development and environmental governance. Though India has a set of environmental legislations, non-compliance enjoys immunity due to vague legal clauses and fragmented responsibilities

to act on complaints. While successive governments have spent time on the question of 'simplifying clearance procedures' there has been almost no attention paid to institutionalising accountability to complaints of project impacts made by affected parties. A team of eight community environmental paralegals trained by Namati in the coastal areas of Gujarat and North Karnataka pursue cases of non compliance of legal clauses and regulatory conditions imposed on projects at the time of clearance. The cases offer valuable lessons to create a clear set of clauses on compliance and monitoring within environment laws that can be implemented at local levels by administrative agencies. The results of the pilot study could also show the benefits of public participation in closing the enforcement gap rather than viewing affected communities as antagonistic to project proponents and government.

Shifting focus from environmental compliance of projects to rights of affected communities, CPR also initiated a project on Land Rights, Environment Protection, and Inclusive Sustainable Development in India. The project aims to understand how the legal regimes aiming to promote inclusive sustainable development, particularly with respect to the protection of the rights of Scheduled Tribes and other traditional communities, interact with the socio-political dynamics of the Indian federal system. During the year, the project team made several presentations and had discussions with various faculty on the project proposal both at the Centre for Policy Research and at the University of Bergen.

III. URBANISATION

CPR engages with the process of urban transition in India from a range of perspectives, analysing government structures, reviewing the delivery of public services, tracking spatial patterns, and exploring the processes of economic transition. In the past year, considerable progress has been achieved in a variety of projects, contributing towards the creation of new knowledge through CPR's publications, and bringing people together in its public events and workshops.

Special Economic Zones (SEZs)

The Special Economic Zones (SEZs) project SEZs regard to the fulfilment of economic objectives, selection of regions, regional imbalances, and the nature of resulting protest movements.

In February 2014, Oxford University Press released Partha Mukhopadhyay's co-edited volume on *Power, Policy and Protest: The Nature of India's Special Economic Zones*. The

multi-disciplinary work uncovers the social movements against the SEZs and the state response to them through detailed case studies in eleven Indian states.

Megacities Project

This project studies governance structures in five megacity regions – Bengaluru, Chennai, Hyderabad, Kolkata, and Mumbai – exploring issues ranging from legal constraints and possibilities of different governing institutions to new approaches to ecological and public goods-related problems.

In the past year, a variety of reports were produced in order to inform more effective policymaking. A variety of conferences and workshops were organised help spark a discussion and inspire effective policy making on megacity governance. A symposium on governing megacities was held with members of parliament in Delhi in December 2013, which sought their response to the questions relating to the governance of megacities. This symposium was an initial step towards the promotion of better metropolitan region governance. A book on the same topic, authored by K. C. Sivaramkrishnan, will be released in Fall 2014.

Cities of Delhi

As part of a larger project on urban transformation in India, Cities of Delhi seeks to carefully document the degree to which access to basic services varies across different types of settlement, and to better understand the nature of that variation. The project aims to examine how the residents of the city interact with their elected representatives, state agencies, and other agents in securing public services.

Over the course of the year, the project has been involved in undertaking extensive field work in fifteen informal settlements across Delhi, as well as reviewing legal and policy documents with regard to service provisioning for such settlements in Delhi. The outputs of the project have been organised in the form of three sets of reports, available on the project website, citiesofdelhi.cprindia.org. The first is a set of carefully selected case studies of slums, known as jhuggijhopri clusters (JJC)s in Delhi, unauthorised colonies, and resettlement colonies. The second set of studies explores a range of different processes through which the governing institutions of Delhi engage with residents. The third set of studies focuses on selected agencies of governance in Delhi. In addition to the reports, team members have also authored opinion pieces in Indian and international periodicals.

SCI-FI: Sanitation (Scaling Institutions for India: Sanitation)

In an effort to engage directly with urban public health policy, the Scaling Institutions for India: Sanitation (SCI-FI: Sanitation) initiative evaluates alternative sanitation technology and service delivery models. By collaborating with, informing and supporting union and state governments, the initiative aims to create safer and more sustainable sanitation in urban areas.

This year, a number of research papers and open editorials have been published, covering a variety of topics, ranging from monitoring the NUSP over local case studies to reports and recommendations for relevant stakeholders. The research has been accompanied by knowledge management and consultation activities, such as public talks, workshops, and policy consultations.

India's Middle Class

This new ICSSR-funded project seeks to unpack the Indian middle class by investigating its defining characteristics, socio-political identity, and economic behaviour.

Through careful examination of a large body of secondary sources, the project aims to explore the defining characteristics of the Indian middle class in order to arrive at a suitable definition of it. The study will also investigate the socio-political identity and influence, as well as the voting choices of the middle class, and how they have evolved over time. Issues surrounding the consumption choices and economic behaviour, especially regarding the private-public consumption mix will also be addressed.

Settlement Level Workforce Patterns

A new project has been initiated which studies the workforce patterns in settlements in order to unravel the dynamics of the Indian urban transformation at a more disaggregated level. Through an intensive study of the Primary Census Abstract data of 2001 and 2011, this study will investigate how the shift from predominantly agricultural to non-agricultural work force patterns, and vice versa, occurs at the settlement level.

City and Climate Change

This new project explores various aspects of the relationship between Indian cities, climate change, and public goods, with a vision of sustainable cities and equitable and ecologically friendly urban governance. The project also analyses possible climate change adaptation

strategies for Indian cities as well as issues surrounding India's negotiating position and possible strategies in the international and the domestic arena.

74th Amendment

This study illuminates the history of urban governance and the interplay between different governing bodies, with a focus on the recent experience after the introduction of the 74th Amendment in 1993. Detailed case studies from Chennai, Bengaluru, Mumbai, Kolkata, and Hyderabad shed light on the nature of and causes of the variation in the metropolitan governance structures between states. The project's findings will help to inform alternative pathways through which existing Indian urban reality can be aligned with the constitutional mandate and available legislative frameworks.

This project has produced a range of papers over the past year.

Urban Electoral Geography

The Urban Electoral Geography project is a comparative study between state assembly elections and municipal elections. The study aims to understand the new electoral geography that is emerging in the form of similarity in voting patterns, distribution of candidates in most local bodies, differences in election of mayor, and the role of 'citizen candidates'. The varied pattern of conduct of delimitation, reservation, and elections in Indian cities is also under study. The project hopes to shed light on the nature of the emerging urban political leadership.

As part of the project, two comparative studies were carried out in the past year. One was on the outcomes in the urban constituencies of the state assembly elections held in the erstwhile-undivided Andhra Pradesh, Karnataka, West Bengal, and Haryana. Another was a comparison of elections held in urban local bodies in West Bengal, Maharashtra, and Rajasthan.

JNNURM (Jawaharlal Nehru National Urban Renewal Mission)

The JNNURM study reviews and analyses the programme's rationale and performance. On the one hand, the project analyses the historical background of the JNNURM, tracing the evolution of public policy in India on urban growth. On the other hand, the study focuses on evaluating the JNNURM by investigating, inter alia, its rationale, its funding patterns, incentive structures, utilisation of funds, and the difficulties in the interplay between the different urban governance institutions.

In the past year two publicly accessible databases, one project based and the other reform based, have been prepared. The database on projects covers both submissions of JNNURM: Urban Infrastructure and Governance (UIG) and Basic Services to Urban Poor (BSUP). The database on reforms refers to the analysis of various reform progress reports. Furthermore, several papers were prepared and published in 2014.

SUBURBIN (Subaltern Urbanisation in India)

The SUBURBIN initiative explores the geographical patterns of urbanisation in India, focusing on subaltern areas outside the metropolitan shadow. These are settlement agglomerations, which are independent of the metropolis and autonomous in their interactions with other settlements. The project analyses conventional and new data sources 'against the grain', to study this type of urbanisation and help solve puzzles regarding their demographic and economic nature, administrative recognition, and interaction with cities and the economy at large.

In the past year the preparations for a comprehensive survey were undertaken. An edited volume on subaltern urbanisation in India is forthcoming.

SHRAMIC (Strengthen and Harmonise Research and Action on Migration in the Indian Context)

This initiative is a multi-stakeholder project, which brings together academic and non-governmental organisations to study migration-related issues. The goal of the initiative is to improve our understanding of the extent and nature of migration in India as well as to inform migration related policy.

In the past year, surveys were prepared and the collaboration has been deepened.

IV. INTERNATIONAL RELATIONS AND SECURITY

During the year of 2013-2014, the Centre for Policy Research remained committed to its goals of fostering in-depth, multidisciplinary research of high quality in the field of international relations, enhance the capacity of international relations scholarship in India, and meaningfully contribute to the national debates on various issues regarding national security and foreign policy. Through a team of eminent experts, CPR has been successful in meeting these objectives and setting the standard for other research institutes in South Asia. Over the year, CPR has been able to produce excellent academic research

as well as sensible policy recommendations, collaborated with international institutions to further intellectual activities in India, provided platforms for young scholars within and without CPR to develop their skills and seek new opportunities, and improved the quality of national discourse.

During the year, CPR faculty working in the field of International Relations and Security published three books. Moreover, four more book-length projects are near completion and are expected to be published in the coming year. This includes the ambitious *Oxford University Press Handbook of Indian Foreign Policy*, which will comprise contributions from 50 most eminent experts in the field. Through the year, the centre's faculty lectured, presented and participated in more than 120 intellectual events around the world. The faculty also produced close to 15 scholarly articles and more than 100 newspaper articles. The centre organised two large-scale workshops to mentor emerging scholars in the field and one big workshop for various scholars to exchange ideas and showcase research.

Research Team

Centre for Policy Research boasts of five permanent faculty members working in the field of International Relations – Professor Brahma Chellaney, Professor Bharat Karnad, Dr. Nimmi Kurian, Dr. Srinath Raghavan and Ambassador Shyam Saran. Additionally, the centre regularly hosts visiting experts from all over the world. The centre also fosters interdisciplinary research, with scholars from other fields regularly contributing to the field of International Relations.

Apart from senior scholars, CPR has been creating space for young scholars to be involved in larger projects as well as pursue their own research. Currently the International Relations research in the centre is supported by two exceptional Research Fellows – Dr. Geetanjali Chopra, who has received her doctorate from Jawaharlal Nehru University and Pallavi Raghavan, who has joined us recently after finishing her PhD from the University of Cambridge. Along with the CPR research projects, both fellows are also working to publish their doctoral theses as books. The research at CPR is also supported by bright scholars Sandeep Bhardwaj, who has received his Master's degree from the University of Chicago and Swetha Murali who has finished her undergraduate from FLAME University, Pune.

Additionally, the centre also mentored three students from International Relations departments of prestigious universities–Manavendra Gupta, pursuing from University of Massachusetts, Siddhant Sachdev from Cornell University and Vinayak Krishnan from Delhi University.

Research Activities

During the year the CPR faculty engaged in research projects on a variety of topics, but especially focusing on two broad themes – examining India’s geostrategic position in the South Asian region and within a global context, both from the prism of contemporary developments and from a historical perspective; and situating Indian aspirations within the narrative of emerging global governance order.

During the year, the CPR faculty pursued research through collaborative projects and individual research. Professor Bharat Karnad completed his book titled *India’s Rise: Why it’s not a Great Power (Yet)*, which is an assessment of India’s hard power capabilities. The book is slated to be published by Potomac Books in September, this year. Dr. Nimmi Kurian is currently finishing her book, *India China Borderlands: Conversations Beyond the Centre*, on the Sino-Indian border issues. It is expected to be published this year. Dr. Srinath Raghavan’s book – *1971: A Global History of the Creation of Bangladesh* – was published in November 2013 by Harvard University Press. Dr. Raghavan also edited a volume of papers written by the eminent diplomatic historian Sarvepalli Gopal entitled *Imperialists, Nationalists, Democrats: The Collected Essays* which was published by Permanent Black earlier in the year. He is currently working on his next book project on India’s role in the Second World War, which is expected to be completed by next year.

Additionally, CPR completed its collaborative project with the Centre on International Cooperation, New York University to publish an edited volume on the Indian approach to multilateral institutions, entitled *Shaping the Emerging World Order: India and Multilateralism*. The volume had contributions from twenty-two leading experts in the field, of which six papers were supplied by the CPR team on a wide variety of subjects ranging from the Indian view on multilateralism and regional power balance to the Indian role in climate negotiations and Internet governance deliberations.

The centre has also undertaken a collaborative project with the Oxford University Press to produce an edited volume entitled *Oxford Handbook on Indian Foreign Policy*. This volume is planned to carry contributions from over fifty leading experts in the field, providing a comprehensive analysis of Indian foreign policy. An author’s workshop for this volume was held in January 2014 in New Delhi, with the participation of more than 40 of the authors from around the world. Of these six authors were from the faculty of the centre, including Dr. Srinath Raghavan, who will be co-editing the volume. Over this three day workshop, there was wide-ranging discussion over several pertinent issues and exchange of ideas. It was universally accepted to be a key event in furthering the discipline within India.

During the year, CPR also hosted several eminent international scholars, who were offered a forum to present their ongoing and completed research. These included renowned experts like Peter J. Katzenstein of Cornell University, Prof. Maya Tudor of Oxford University and Prof. Shaun Breslin of University of Warwick. For these scholars, visiting India for a short duration, CPR offers a unique platform through which they intellectually engage with a wide spectrum of practitioners and academics based in New Delhi.

The CPR International Relations faculty contributed close to fifteen research papers and chapters in various peer-reviewed journals and edited volumes, on a variety of topics over the year. Dr. Srinath Raghavan contributed a chapter entitled 'United Nations and the Emergence of Independent India' in *The Making of the UN Charter*, edited by Ian Shapiro & Joseph Lampert. Professor Bharat Karnad's chapter on 'Scaring-up Scenarios: An Introduction' was published in *Pakistan's Tactical Nuclear Weapons: Conflict Redux* edited by Gurmeet Kanwal and Monika Chansoria. Dr. Nimmi Kurian wrote a chapter on China's water policy in *A Compendium of Water Conflicts in Northeast India* edited by Partha Das and KJ Joy.

The two Research Fellows – Dr. Geetanjali Chopra and Dr. Pallavi Raghavan – are also working to convert their theses into books. Dr. Chopra's book, which is slated to be published by Ashgate next year, will deal with the role of International Non-Governmental Organizations in the Peace-Building process. Dr. Raghavan's thesis focuses on India-Pakistan Relations during the period 1947-1957.

Policy Recommendation and Outreach

In addition to academic research, CPR is committed to contribute pragmatic policy recommendations to the national discourse. The Centre's Faculty pursues this objective through a variety of mediums from public lectures, television appearances and newspaper articles to organising conferences to provide a forum for policy-makers and scholars to interact.

Ambassador Shyam Saran, one of the most eminent commentators in Indian public discourse, continued to weigh in on a variety of topics through his speeches, writings and institutional involvements. Through the year, Amb Saran lectured and participated in 98 intellectual events around the world, including Track-II dialogues, keynote speeches, conferences and workshops. Along with his position in CPR and his chairmanship of the Research and Information System for Developing Countries (RIS), his positions in various governmental and non-governmental organizations – like chairmanship of the

National Security Advisory Board, his co-chairmanship on the Indian side of the India-Asean Eminent Persons' Group, his chancellorship of the Garhwal Central University – afford him many opportunities to shape the national debates and policies across India.

The Centre's other faculty members also continued to present their research as well as engage in policy discussions in various forums across the globe. The centre also participates in the policy-making process by directly advising the Government of India on several issues of national security and foreign policy through various advisory bodies. For instance, Ambassador Shyam Saran and Dr. Srinath Raghavan are members of the National Security Advisory Board.

During the year, the centre's faculty members wrote 102 editorials in various newspapers in India and abroad on the issues pertaining to international relations. Policy recommendations were also made through television appearances, panel discussions and public lectures.

Capacity Building

During the year, the centre initiated and was actively engaged in several capacity-building projects within India, aimed at enhancing the wherewithal of students, university faculty and government officials working in the field of international relations, by sharing its resources and expertise.

In December 2013, CPR held the second iteration of its Workshop on Strategic Studies, a two-week workshop for a group of advanced students from the fields of international relations, military studies, political science and policy planning and to introduce these upcoming scholars to strategic and military policy issues by equipping them with conceptual frameworks, methods of analysis, historical and contemporary case studies. Out of two hundred applications received for the workshop, twenty were selected from across the country and abroad. Four of the participants were junior faculty members, three were researchers in various think tanks and the rest were pursuing their doctorates in various universities. The students were provided in excess of two thousand pages of reading material and more than eighty hours of instruction by Srinath Raghavan and Rudra Chaudhri from King's College, London along with ten experts who provided lectures on a variety of contemporary issues. The next iteration of the workshop will be held in December 2014.

On 12 August 2013, CPR organised an International Symposium on "India China: Thinking, Doing, Relating" in collaboration with the India China Institute at The New

School, New York and the Yunnan University, University of Delhi and University of Calcutta. twenty students from six universities in India, China and the US presented papers on diverse topics ranging from women in higher education to economic stability and cultural identity in tourism.

In conclusion, throughout the year CPR continued to add to its international relations and security studies team—comprising both experienced and younger scholars—that is arguably the finest in the country. The programme has put in place a network of the highest intellectual calibre, producing high quality research material on a wide array of topics. CPR has also sought to new platforms for the dissemination of research and ideas. Lastly, and perhaps most importantly, the centre has been able to make a demonstrable impact on policy-makers in India and has shaped international debates on security in South Asia.

V. LAW, REGULATION AND THE STATE

CPR explores fundamental questions concerning the nature and character of constitutional law, the design and performance of public institutions, and the economics and politics of regulation. The Centre examines how laws and the Constitution structure the lives of Indian citizens in areas ranging from rights and access to services to political participation. CPR faculty produce studies on the behaviour of a range of institutions, including the judiciary, police, and local government, and practical engagement with policymakers is central to CPR's work on law, regulation, and the state.

Accountability Initiative: Under the leadership of Yamini Aiyar, the Accountability Initiative (AI) focuses on questions of state capacity and institutional transitions, and on government responses to pressure for transparency, accountability, and decentralised decision making. Under its flagship programme PAISA, AI produced a series of budget briefs where allocation, expenditure and output trends in key social sector programmes were analysed. PAISA also completed the second round of its in-depth education survey in eight districts across the country. Preliminary findings have already been shared with various state and district governments. Also, at the request of the MHRD, PAISA published a report based on a fund tracking survey of the Mid Day Meal scheme.

In early 2014, PAISA undertook to expand its work to five new districts spread across Rajasthan, Bihar, and Himachal Pradesh. A new cohort of field-based associates have been hired to facilitate work in these states.

Governance and Public Policy Initiative (GPPI): This initiative supports a strategic community that brings together Indian lawmakers, scholars, bureaucrats, and civil society leaders to discuss pressing issues of public policy. Under the leadership of Ramesh Chandran, GPPI focuses on key domestic issues through a series of interactive discussions with organizations such as the Friedrich Ebert Stiftung (FES). Keeping this in mind, during the year 2013, GPPI organised a series of academic programmes specially designed for Parliamentarians and interactive discussions involving Members of Parliament across party lines and noted civil society members. The programmes included the India-Yale Parliamentary Leadership Programme, held in June 2013, and the Chevening - Oxford - GPPI-CPR programme, held in April, 2013. The discussions included “Parliamentary Coalition on Child Survival”, held in August 2013 and chaired by Dr Sanjay Jaiswal and Dr Ajoy Kumar, and an interactive discussion on “Bridging the Trust Deficit: Perform or Perish”, held in February, 2014 and chaired by Justice Rajinder Sachar.

Land Rights, Environment Protection and Inclusive, Sustainable Development in India: Led at CPR by Namita Wahi, this project aims to understand how the legal regimes aiming to promote inclusive sustainable development, particularly with respect to the protection of the rights of Scheduled Tribes and other traditional communities, interact with the socio-political dynamics of the Indian federal system. The project investigates how this interaction shapes the opportunity structure of central development actors (policymakers, civil society and community groups, private investors) producing dissimilar decisions and social effects in different contexts. On 16-17 January 2014, a start up conference and workshop on the project were held. The all day conference on 16 January involved presentations by academics, activists, and government officials working on these issues from Andhra Pradesh, Gujarat, and Meghalaya, the states selected for the study. The conference was attended by over 70 people, including academics, journalists, policy makers, students, and other civil society actors. This also resulted in the creation of a network of scholars, activists and other actors engaged on these issues.

Additionally, some major studies dealing with a range of policies were produced by the faculty of CPR. Navroz Dubash co-edited a volume, titled *The Rise of the Regulatory State of the South: Infrastructure and Development in Emerging Economics*, which seeks to provoke such a discussion by empirically exploring the emergence of regulatory agencies of a range of developing countries across Asia, Africa, and Latin America. The cases in the volume focus on telecommunications, electricity, and water, sectors that have often been at the frontlines of this transition. Partha Mukhopadhyay co-edited a volume titled *Power, Policy, and Protest: The Politics of India's Special Economic Zones*, which is the first book to study the politics of India's Special Economic Zones (SEZs). In this volume,

differences in protest movements within and between eleven states where SEZs were proposed were examined. This volume provides a comprehensive picture of the politics behind one of the most controversial reform measures. Shylashri Shankar co-authored a book, titled *Battling Corruption: Has NREGA Reached India's Rural Poor?*, which uses quantitative and qualitative analysis to study the effectiveness of formal and informal mechanisms—political decentralisation, community social audits, access to information, membership in networks, political competition—in reducing corrupt practices and enhancing poor people's welfare.

CPR's faculty and research staff were very active in this area and engaged widely in the public sphere. They authored many opinion pieces in leading newspapers and journals and were lively contributors to global convergences on a range of issues relating to law and governance.

RESEARCH PUBLICATIONS

The following research publications were brought out during the year 2013-14:

A. Major Books Published

- i. *Economic Freedom of the States of India, 2012* by Bibek Debroy, Laveesh Bhandari, Swaminathan S. Anklesaria Aiyar, Ashok Gulati, Academic Foundation, 2013
- ii. *The Mahabharata VII*, translated from the Sanskrit, Bibkek Debroy, Penguin, 2013
- iii. *Battling Corruption: Has NREGA Reached India's Rural Poor?* Shylashri Shankar, Raghav Gaiha, Oxford University Press, 2013
- iv. *The Rise of the Regulatory State of the South: Infrastructure and Development in Emerging Economies*, Navroz Dubash, Bronwen Morgan, Oxford University Press, 2013
- v. *Shaping the Emerging World: India and the Multilateral Order*, Pratap Bhanu Mehta, Waheguru Pal Singh Sidhu, Bruce Jones, Brookings Institution Press, 2013
- vi. *Nonalignment 2.0: A Foreign and Strategic Policy for India in the 21st Century*, Pratap Bhanu Mehta, Sunil Khilnani, Rajiv Kumar, Prakash Menon, Nandan Nilekani, Srinath Raghavan, Shyam Saran, Siddharth Varadarajan, Viking by Penguin, 2013
- vii. *1971: A Global History of the Creation of Bangladesh*, Srinath Raghavan, Permanent Black, 2013
- viii. *Imperialists, Nationalists, Democrats: Collected Essays of Sarvepalli Gopal*, edited by Srinath Raghavan, Permanent Black, 2013

- ix. *Drumbeats to Ringtones: Gujarats Strategy for Empowering Tribals*, Bibek Debroy, Radhika Shah, Printworks, 2014
- x. *The Mahabharata VIII, translated from the Sanskrit*, Bibek Debroy, Penguin, 2014
- xi. *Post Haste: Quintessential India*, B.G. Verghese, Tranquebar-Westland, 2014

B. Articles Published by CPR Faculty

About 300 articles were also published by CPR Faculty Members in major national international dailies and popular journals during the year.

DISCUSSIONS, MEETINGS AND SEMINARS/WORKSHOPS

(Organised by CPR)

During the year under review, the CPR organised several seminars and special discussion meetings in addition to weekly faculty meetings with distinguished experts as also some Workshops-cum-Conferences. These are listed below:

ECONOMIC POLICY ANALYSIS

- i. Talk on “Electrifying India: Regional Political Economies of Development” by Prof. Sulila S. Kale, Jackson School of International Studies, University of Washington, USA on 8 April 2013 at CPR.
- ii. Talk on “Pakistan: The Emerging Political Scenario” by Mr. Haroon Sharif, Advisor, World Bank on Regional Cooperation in South Asia, Islamabad on 1 May 2013 at CPR.
- iii. Presentation on “Can Social Accountability solve India’s Service Delivery Problem” by Prof. Michael Walton, Kennedy School of Government, Harvard, and Visiting Fellow, Centre for Policy Research and Yamini Aiyar, Senior Fellow at CPR on 9 July 2013 at CPR
- iv. Presentation on “The Coexistence of Development Dynamism and Rent Extraction: The Case of Andhra Pradesh” by Prof. Michael Walton, Kennedy School of Government, Harvard, and Visiting Fellow, Centre for Policy Research on 11 July 2013 at CPR
- v. Talk on “Why Can’t we Govern the International Economy? Lesson from the Recent Financial Crisis and Beyond” by Prof. Richard Higgott, Vice Chancellor, Murdoch University, Australia on 19 August 2013 at CPR.
- vi. Seminar on “Regional Cooperation and Integration in Asia: New Pathways for South Asia” by Mr. Rajat Nag, Managing Director General, Asian Development Bank, Manila on 29 August 2013 at CPR.

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- vii. Visit of students of Lady Shri Ram College for Women on 13 September 2013
- viii. Seminar on “Trends, Motives and Case Studies in Indian Development Cooperation: Initial Insights” on 19 September 2013 at India International Centre, New Delhi
- ix. Talk on “Mid Year Review of the Economy” by Dr. Rajiv Kumar, Senior Fellow, CPR and Dr. Ramgopal Agarwala, Distinguished Fellow, RIS, Chaired by: Dr. Pratap Bhanu Mehta, President, CPR on 5 November 2013 at CPR
- x. Panel Discussion on “India and South Asian Economies” on 23 January 2014 at CPR. The following were the speakers:
Mr. T.N. Ninan, Chairman, *Business Standard*
Mr. Sunil Jain, Managing Editor, *Financial Express*
Moderated by: Dr. Rajiv Kumar, Senior Fellow, Centre for Policy Research
- xi. Talk on “Gram Vaani: Using Innovative Technology to Create Feedback Loops” by Mr. Aaditeshwar Seth, co-founder and CEO of Gram Vaani Community Media on 27 January 2014
- xii. Talk on “Does Politics have an Impact on Local Economic Outcomes?” by Mr. Sam Asher and Mr. Paul Novosad on 12 March 2014 at CPR
- xiii. Talk on “BP Energy Outlook 2035” by Dr. Kaushik Deb, Economists of British Petroleum on 12 March 2014 at CPR
- xiv. Orientation Workshop on “Open Data Development Initiative of the World Bank” on 14 March 2014 at CPR
- xv. Talk on “Greening India’s Growth: Costs, Valuations and Tradeoffs” by Dr. Muthukumara Maini, Senior Environmental Economists, World Bank on 14 March 2014 at CPR

ENVIRONMENTAL LAW AND GOVERNANCE

- i. Organised a Roundtable Dialogue on “State Action Plans on Climate Change” on 27 April 2013 at CPR

- ii. Talk on “The recent development of climate policy of China: Progress in 12th Five Year Plan” by Dr. Teng Fei, Associate Professor, Institute of Energy, Environment and Economy, Tsinghua University, China on 7 August 2013 at CPR
- iii. Talk on “Power Sector Reforms: The Journey of Improving Governance and Rural Supply” by Mr. Ashish Khanna, Lead Energy Specialist and India Energy Team Leader, The World Bank on 8 October 2013 at CPR.
- iv. Three-day Conference on “Water Sector in India: A Critical Engagement”, jointly organised by the Centre for Policy Research and Arghyam Trust, Bangalore in honour of Mr. Ramaswamy R. Iyer from 25-27 November 2013 at India International Centre, New Delhi.
- v. Panel Discussion on “Whither Climate Governance?: A Discussion of National, Regional and Global Developments” on 4 December 2013 at India International Centre, New Delhi. The discussion was facilitated by Dr.Navroz K Dubash, Senior Fellow, CPR and Dr. Lavanya Rajamani, Professor, CPR.
- vi. Three-day Conference on “Building the Hinge: Reinforcing National and Global Climate Governance Mechanisms”, jointly organised by the Centre for Policy Research, and the MAPS programme at the Energy Research Centre, Cape Town from 5-7 December 2013 at Neemrana Fort-Palace, Alwar.
- vii. Work in Progress Workshop of CPR Project on “Environmental Jurisprudence Analytical Lexicon of Principles Rules of Indian Environmental Law” on 13-14 December 2013 at CPR
- viii. Discussion on “The Warsaw Outcome and the 2015 Climate Agreement” by Dr. Lavanya Rajamani, Research Professor, Centre for Policy Research, jointly organised by CPR’s Climate Initiative and the Group of International Lawyers Delhi (GUILD) on 18 December 2013 at CPR.
- ix. Discussion on “The Crisis in Elementary Education: How Governance Matters: Findings from a Community Monitoring Pilot in 10 Indian States” on 6 February 2014 at CPR
- x. Discussion on “From Margins to Mainstream: State Climate Change Planning as a ‘Door Opener’ to a Sustainable Future” on 17 February 2014 at India International Centre, New Delhi.

LAW, REGULATION AND THE STATE

- i. Seminar on “India’s Growing Development Cooperation: Trends, Motives and Case Studies” on 6 May 2013 at CPR.
- ii. Talk on “Buying Votes Vs. Supplying Public Services: Political Incentives to Under-invest in Pro-poor Policies” by Dr. Stuti Khemani, Senior Economist in the Development Research Group of the World Bank on 19 August 2013 at CPR
- iii. Talk on “Election Reforms and the Discrimination of Politics” by Prof. Trilochan Sastry, Indian Institute of Management, Bangalore on 24 September 2013 at CPR
- iv. Roundtable discussion on “Research & Development: The Next Challenges” during the visit of Dr. Jean Lebel, President and IDRC Board of Governor Prof. Gordon Houlden to CPR on 6 December 2013 at CPR
- v. Presentation by Mr. Rajesh Jain of netCORE on “Elections” on 24 December 2013 at CPR.
- vi. Talk on “Measuring the Impact of Court Judgments: Evidence from the Indian Supreme Court” by Mr. Vinay Sitapati, Ph.D. candidate, Princeton University on 8 January 2014 at CPR
- vii. Conference on “Land Rights, Environmental Protection and Inclusive Development in India” on 16 January 2014 at India International Centre, New Delhi
- viii. Seminar on “Litigating the Right to Health: Can Court’s bring Justice to Health” by Dr. Siri Gloppen, Professor of Comparative Politics at the University of Bergen and Ms. Namita Wahi, Fellow, CPR on 30 January 2014 at CPR.
- ix. Seminar on “Reflections on the Supreme Court’s Judgement in *Kaushal v Naz Foundation*” on 7 February 2014 at CPR.

URBANISATION

- i. One of the Organisers of the Workshop on “Provincial Urbanization: Examining the Role of Small and Medium Towns and Cities in India” – Jt. National Level

Workshop by UIRF – Suburbin held on 23-24 April 2013 at School of Planning & Architecture, New Delhi.

- ii. Workshop on “The Technical Imagination in ‘City-Making’: The Dark Side of Urban Planning in Ahmedabad ” by Prof. Navdeep Mathur, Indian Institute of Management, Ahmedabad, as a part of CPR’s ongoing Urban Workshop Series on 30 April 2013 at CPR.
- iii. Workshop on “Unmaking Citizens: Urban Citizenship and the Impoverishment of Poverty” by Prof. Gautam Bhan, Institute for Human Settlements, Bangalore, as a part of CPR’s ongoing Urban Workshop Series on 28 May 2013 at CPR.
- iv. Workshop on “Incremental City: The Interconnectedness of Place, Experience and Engagement” by Ms. Julia King, an Architect and Ph.D. candidate within the Architecture of Rapid Change and Scarc Resources, London Metropolitan University, as a part of CPR’s ongoing Urban Workshop Series on 25 June 2013 at CPR.
- v. Discussion on “The Price of Land” jointly organised by the Centre for Policy Research, New Delhi and Oxford University Press, New Delhi on 19 July 2013 at India International Centre, New Delhi.
- vi. Workshop on “Changing End-Markets and the Transformation of India’s Organized Retail Networks: Evidence from the Garment Industry” by Prof. Meenu Tewari, University of North Carolina, USA as a part of CPR’s ongoing Urban Workshop Series on 30 July 2013 at CPR.
- vii. Workshop on “Remaking the Mohalla: Muslims in the Politics of Urban Transformation in Mumbai” by Ms. Qudsiya Contractor, Doctoral candidate and a Max Planck Institute Fellow at School of Development Studies, Tata Institute of Social Sciences, Mumbai as a part of CPR’s ongoing Urban Workshop Series on 27 August 2013 at CPR.
- viii. Workshop on “Urban Sanitation: Assessing Priorities and Options” by Prof. Meera Mehta, Centre for Environmental Planning and Technology University, Ahmedabad as a part of CPR’s ongoing Urban Workshop Series on 24 September 2013 at CPR

- ix. National Workshop on “Towards University Urban Sanitation: Smaller Cities a Priority Area for Policy Focus”, organised by the Centre for Policy Research with support of Ministry of Urban Development, Government of India on 28 October 2013 at ITC Maurya, New Delhi.
- x. Workshop on “More Access or Cleaner Energy: How did Private Participation affect Delhi’s Energy Transition?” by Dr. Marie – Hélène Zerah (with Ms. Laure Criqui and Mr. Gautier Kohler) of Institute of Research for Development, Paris as a part of CPR’s ongoing Urban Workshop Series on 29 October 2013 at CPR
- xi. Workshop on “*Investor Irrationality in Property Markets: An Analysis of Bengaluru*” by Prof. Piyush Tewari, University of Melbourne, Australia as a part of CPR’s ongoing Urban Workshop Series on 26 November 2013 at CPR
- xii. Workshop on “Public Space, Territorial Breaches and Emotions: Passengers in the Metro” by Mr. Martin Aranguren of EHESS, Paris as a part of CPR’s ongoing Urban Workshop Series on 17 December 2013 at CPR
- xiii. Talk on “Kathputli and Kalkaji: Two Stories of in-situ Slum Rehabilitation in Delhi” by Mr. Yashas Vaidya, doctoral student at Brown University, Ms. Subhadra Banda and Ms. Shahana Sheikh, Research Associates at CPR on 22 January 2014 at CPR.
- xiv. Workshop on “Rethinking Urban Land Use Planning in India” by Ms. Vaidehi Tandel, Doctoral candidate, Department of Economics, University of Mumbai as a part of CPR’s ongoing Urban Workshop Series on 28 January 2014 at CPR
- xv. Workshop on “New Towns in West Bengal” by Dr. Mahalaya Chatterjee, Director, Centre for Urban Economic Studies, University of Calcutta as a part of CPR’s ongoing Urban Workshop Series on 25 February 2014 at CPR
- xvi. Workshop on “The Meaningful City in India: A Discussion” by Dr. Himanshu, Jawaharlal Nehru University, New Delhi, Prof. Surinder S. Jodhka, Chair, Centre for the Study of Social Systems, School of Social Sciences, Jawaharlal Nehru University New Delhi, Mr. Chandrabhan Prasad, journalist and political commentator, Mr. Rajendra Ravi, urban transport researcher and activist, Dr. Awadhendra Sharan, Centre for the Study of Developing Societies, New Delhi as a part of CPR’s ongoing Urban Workshop Series on 25 March 2014 at CPR

INTERNATIONAL RELATIONS AND SECURITY

- i. Visit of the Taiwan Academic delegation to CPR
- ii. International Symposium on “Inclusive Growth and Development: Mirage, Promise or Reality?”, jointly organised by the India China Institute at The New School, New York and the Centre for Policy Research, New Delhi in collaboration with the Yunnan University, University of Delhi and University of Calcutta on 13 August 2013 at India International Centre, New Delhi.
- iii. Talk on “Global Swing States: Brazil, India, Indonesia, Turkey and the Future of International Order” by Prof. Dainel M. Kilman, Senior Advisor with the Asia Programme at the German Marshall Fund of the United States (GMF) on 19 September 2013 at CPR.
- iv. Talk on “American Imperium in a World of Regions” by Prof. Peter J. Katzenstein, Professor of International Studies, Cornell University, USA on 5 November 2013 at CPR
- v. Talk on “Rule by Law and Public Participation in China” by Dr. Stephanie Balme, Head of the Science Po Research Group Justice, Law and Society in China and visiting Professor at the Tsinghua University School of Law, Beijing on 20 November 2013 at CPR
- vi. Seminar on “Japan’s Role in South Asia and Growth Potential for Agriculture in India”, jointly organised by the Centre for Policy Research and Japan Center for Economic Research, Tokyo on 25 November 2013 at The Oberoi Hotel, New Delhi.
- vii. Second Summer Workshop on Strategic Studies – 2-11 December 2013 at CPR.
- viii. Talk on “The Promise of Power: The Origins of Democracy in India and Autocracy in Pakistan” by Prof. Maya Tudor, Lecturer in Government and Public Policy at the Blavatnik School of Government, University of Oxford on 16 December 2013 at CPR.
- ix. Talk on “Is there a China Model?” by Prof. Shaun Breslin, Director, Centre for the Study of Globalisation and Regionalisation at the University of Warwick, U.K on 15 January 2014 at CPR

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- x. Talk on “BCIM and Prospects for India-China Cooperation” by Prof. Zhu Cuiping, Deputy Director, Yunnan University of Finance and Economics, Yunnan, China. Chaired by Amb. Eric Gonsalves on 12 February 2014 at CPR.
- xi. Launch of the SARCist – South Asia Regional Cooperation – an initiative of the Centre for Policy Research on 13 February 2014 at CPR
- xii. Talk on “Globalization and the new entanglements of geopolitics with geoeconomics” by Dr. Matthew Sparke, Professor of Geography and International Studies, University of Washington, USA. Chaired by Dr. Nimmi Kurian of CPR, on 17 February 2014 at CPR.

Africa Forum

- i. Discussion on “Africa’s Recent Economic Growth” by Mr. Rick Rowden, Ph.D. candidate, Jawaharlal Nehru University, New Delhi on 23 July 2013 at CPR.
- ii. Talk on “Glorious Mud: The Living Heritage of Community Conservation, and the Mud Mosque of Djenné, Mali” by Mr Peeyush Sekhsariaan, Independent consultant in the domains of Disaster Management and Natural Resource Management on 27 August 2013 at Ambedkar University, New Delhi
- iii. Talk on “South African Policy and National Identity” by Mr. Vineet Thakur, doctoral candidate, Jawaharlal Nehru University, New Delhi on 25 October 2013 at CPR

CPR Discussion Group

- i. Talk on “India in the Cold War 1946-1954” by Mr. Sandeep Bhardwaj, Research Associate, CPR on 6 August 2013 at CPR.
- ii. Talk on “The Making of the India – Pakistan Dynamic: Nehru, Liaquat and the No War Pact Correspondence of 1950” by Dr. Pallavi Raghavan, Fellow, CPR on 3 September 2013 at CPR
- iii. Talk on “Agenc(y)ies for Democracy: National Akademies and the Administration of Arts in Independent India” by Ms. Malvika Maheshwari, Research Associate, CPR on 1 October 2013 at CPR

- iv. Talk on “The Changing Trends in India Development Cooperation Efforts” by Mr. Kailash K. Prasad, Mr. Hemant S. and Ms. Persis Taraporevala, Research Associates, Centre for Policy Research on 12 November 2013 at CPR
- v. Talk on “Urban Villages: A Comparison between India and China” by Ms. Arundhati Maiti, Research Associate, Centre for Policy Research on 12 December 2013 at CPR
- vi. Talk on “Creative Experimentation or Mechanical Duplication? A Study of Indian State Action Plans on Climate Change and their Implications for Climate Policy and Politics” by Ms. Anu Jogesh, Senior Research Associate, Centre for Policy Research on 29 January 2014 at CPR.
- vii. Talk on “Promise without Process: Loopholes in Delhi’s Slum Eviction & Rehabilitation Policy” by Ms. Subhadra Banda and Ms. Shahana Sheikh, Research Associates, Centre for Policy Research on 11 February 2014 at CPR
- viii. Talk on “Impact of Private Tutoring on Learning Levels: Evidence from India” by Mr. Vibhu Tewary, Research Analyst, Accountability Initiative, CPR on 11 March 2014 at CPR

CPR's INITIATIVES

I. Accountability Initiative

Set up in 2008 at the Centre for Policy Research, Accountability Initiative (AI) works towards deepening research, analysis and reform efforts to strengthen accountability for public service delivery in India. Research and activities initiated in 2013-2014 include:

1. PAISA

PAISA is AI's flagship research programme where we track and analyse planning, budgeting and decision-making structures in key social sector programmes. The bulk of our work under PAISA is focused on elementary education.

Key research activities undertaken in 2013-2014:

➤ *Budget Briefs*– The budget briefs are an annual series where we synthesise allocation, expenditure and output trends in key social sector programmes. In February 2013, we produced briefs on Sarva Shiksha Abhiyan, Mid Day Meal Scheme, Mahatma Gandhi National Rural Employment Guarantee Act, National Rural Health Mission, Total Sanitation Campaign, Social Pensions, and the Jawaharlal Nehru National Urban Renewal Mission. We also ran a series with the mint newspaper where we showcased data from 4 of our briefs. The briefs for 2014 will be published in July 2014 when the newly elected government will present its budget to Parliament.

➤ *PAISA District Studies* - In 2013, at the request of the MHRD, PAISA published a report based on a fund tracking survey of the Mid Day Meal scheme. This survey was undertaken at the request of the MHRD. In addition, PAISA received a request from the Bill and Melinda Gates Foundation to undertake a PAISA health survey in Uttar Pradesh. The survey is currently underway. We also published an analysis on the rural sanitation budget entitled "From Outlays to Outcomes: Understanding the Status of Rural Sanitation Data" in partnership with the Bangalore based Arghyam.

PAISA also completed the 2nd round of its in-depth education survey in 8 districts across

the country. Preliminary findings have already been shared with various state and district governments. The final report will be presented in the public domain in July 2014.

In early 2014, PAISA undertook to expand its work to 5 new districts spread across Rajasthan, Bihar and Himachal Pradesh. A new cohort of field based associates has been hired to facilitate work in these states.

➤ *Finance Commission study on Panchayat finances:* In 2013, AI received a grant from the 14th Finance Commission to undertake a study on local government finances in India. The study is being led by PAISA advisor Mr. T. R. Raghunandan and will be submitted to the Finance Commission by mid 2014.

2. Accountability Research

The focus of this programme is on studying the implementation of accountability experiments in the country. In 2014, we completed two working papers. The first paper studied the implementation of the social audits in Andhra Pradesh. The second paper is a synthesis paper exploring the evolution and implementation of the rights based welfare programmes in India since 2004. Both papers have been submitted for publication in edited volumes to be published in late 2014.

In addition, we initiated an exploratory project in partnership with the Centre for Global Development on taxation and accountability. Two working papers and a database on state financing for 3 states will be published through this project in July 2014.

In March 2-14, AI received a grant from the International Growth Center at the London School of Economics to undertake a study tracking the bureaucratic responses to reforms in education in Bihar. The study will be ready for dissemination in December 2014.

3. Citizen Engagement and Capacity Building

The emphasis of this programme is on providing structured capacity building courses on public administration and accountability. The course was piloted in 2011 as a two-year residency course for PAISA Associates (field level staff hired to implement the PAISA programme) and includes modules on basic public finance theory, federalism in India, how to read and understand budgets and designing accountability instruments. In March 2014, the second round of the PAISA course was initiated with the new cohort of PAISA Associates. In addition, the AI team has taken training sessions for IAS and

IES officers, MLAs and district level officers on invitation from various training institutes. The focus of these training sessions has been on accountability for public service delivery. In early 2014, AI also engaged with education departments in 3 state governments (Bihar, Maharashtra and Himachal Pradesh) to undertake trainings in financial planning for school management committees in these states. AI also participated in trainings at the block and cluster level in 5 blocks in Satara, Maharashtra.

II. The Indian Development Cooperation Research (IDCR) Initiative

Now in its second year of conducting research on India's growing development assistance programme, the Indian Development Cooperation Research (IDCR) initiative at the Centre for Policy Research continues to make great strides in putting together the first and only centralised database on Indian development assistance. IDCR also continues to disseminate narratives on India's broadening development partnerships through its website idcr.cprindia.org.

Research and activities undertaken by IDCR in 2013-14 include:

Seminars: Over the past year, IDCR has organised two seminars to share its findings on India's development assistance programme.

- i. *India's Growing Development Cooperation Programme, Centre for Policy Research, 6 May, 2013:* During this seminar, IDCR gave an overall analysis of India's development cooperation efforts. IDCR also detailed the methodology it was employing to collect data for its database. The seminar was attended by Neeta Bhushan, then Director of Development Partnership Administration (DPA) - II, Ministry of External Affairs (MEA), Government of India, various researchers and academics.
- ii. *Trends, Motives, and Case Studies in Indian Development Cooperation: Initial Insights, September 19, 2013, India International Centre.* At this seminar IDCR shared some of its key findings on India's development cooperation programme. IDCR also distributed its Fall 2013 report on *The State of Indian Development Cooperation*. The presentation was followed by a lengthy discussion with the audience, which included the head of USAID in India, John Beed, several representatives from DPA, and various researchers and academics.

Database on India development assistance: IDCR has now finished entering data from all publically available sources into its database. IDCR has also begun to address

the discrepancies in the publically available data by meeting officials at the MEA, as well as by meeting officials at foreign missions in India. Once completed, IDCR's database will be made publically available.

IDCR website Revamp: To allow the IDCR initiative to publicise its research in a more comprehensive and engaging manner, a website revamp was undertaken. Besides the brand new look, the new website (accessible at idcr.cprindia.org) features a development cooperation news ticker, an easy navigation structure and a news page that consists of brief write-ups that analyse important events in India's development cooperation efforts. IDCR has also begun creating interactive maps on its new website, to more comprehensively analyse spatial trends in India's development assistance programme.

IDCR is also using social media outlets such as Twitter to more widely publicise its research on Indian development cooperation.

Reports/Articles: In the past year IDCR has published nearly 15 articles analysing various aspects of India's growing development cooperation programme. IDCR also published two reports on the state of Indian development cooperation. The articles and the latest report on *The State of Indian Development Cooperation* can be accessed at idcr.cprindia.org.

The Indian Development Cooperation Research (IDCR) initiative is supported by The Asia Foundation.

III. India China Institute

The India China Institute (ICI) -CPR partnership is an important part of CPR's initiatives to facilitate research on China. As the academic partner and representative of the ICI in India, CPR supports all ICI activities in India. In 2013-14, Dr Nimmi Kurian, Associate Professor and Dr Geetanjali Chopra, Fellow with CPR, worked with the ICI team to organise four major events in New Delhi and Kolkata.

- i. CPR and ICI in collaboration with the Yunnan University, University of Delhi and University of Calcutta organised an international symposium on *India China: Thinking, Doing, Relating* on the 12th of August 2013 in New Delhi. Funded by the Ford Foundation, the symposium was a part of the India China Knowledge and Capacity Building Initiative (ICKCBI), where 20 students from six universities in India, China and the US presented papers on diverse topics ranging from women in higher education to economic stability and cultural identity in tourism.

- ii. CPR and ICI in collaboration with the Yunnan University, University of Delhi and University of Calcutta organised an international symposium on *Inclusive Growth and Development: Mirage, Promise or Reality?*, on the 13th of August, 2013 in New Delhi. As a part of the India China Knowledge and Capacity Building Initiative (ICKCBI) and with the support of the Ford Foundation, the symposium focused on the patterns and casual underpinnings of inequality and poverty in India and China.
- iii. CPR and ICI in collaboration with the Calcutta University and the Maulana Abul Kalam Azad Institute of Asian Studies organised the *Third Emerging Scholars Symposium on China India Studies* on the 7th of November 2013. A part of the ICI-CPR's continuing commitment to build a community of scholars who are engaged in research that focuses on new and innovative approaches to understanding India-China relations, the Emerging Scholars forum provides a platform for both advanced level graduate students in professional fields as well as current and recent PhD scholars to present their ongoing research and to discuss recent advances and new trends in research on India and China. Accordingly ten scholars from all over India were invited to present papers at the symposium.
- iv. CPR and ICI in collaboration with the Calcutta University and the Maulana Abul Kalam Azad Institute of Asian Studies organised a *Round Table discussion on India China Studies* on the 8th of November 2013. The discussion focussed on the challenges and opportunities for scholars engaged in India-China studies, the state of China studies in India and measures for strengthening individual and institutional networks in this field.

IV. Climate Initiative

Set up in 2009, the Climate Initiative seeks to generate research and analysis on national climate policies and institutions in India, global climate negotiations, and on the links between the two. It also seeks to create a platform from which scholars and activists can engage in policy and academic debates on climate change.

1. Research on Domestic Climate Strategies

The Climate Initiative has focussed on analysing national and sub national climate policies, and contributing to the dissemination of these ideas and approaches to other rapidly industrialising countries.

The Climate Initiative completed its first comprehensive report in India on state plans on climate change titled, *'From Margins to Mainstream? State Climate Change Planning in India as a 'Door Opener' to a Sustainable Future'*. The study is one of the few studies on sub-national planning in any developing country with clear findings and recommendations aimed at governments, donors and civil society. Work on the study was based on visits including interviews and documentary analysis in a sample of five states (Himachal Pradesh, Karnataka, Madhya Pradesh, Sikkim and Odisha).

Another broad area of Climate Initiative's work is building a *policy framework for climate change organised around the idea of co-benefits*, with policies that contribute to both development and climate outcomes. This approach has been recognised in the Twelfth Five-year plan and the Planning Commission's report on Low Carbon Strategies for Inclusive Growth.

Over the year, Dr Navroz K. Dubash has continued his engagement with the Intergovernmental Panel on Climate Change (IPCC) Fifth Assessment Report (AR5) process, taking primary responsibility for drafting the section on Institutions and Governance in the chapter on National and Sub-national Policies and Institutions. In addition, he was invited to join the Technical Summary and Summary for Policy Makers for Working Group 3 author team, as well as to join the author group for the Synthesis Report across all three working groups.

As a complement to the IPCC work, CPR, in collaboration with Ecofys, produced a *paper on national climate mitigation legislation and strategy*, in the journal *Climate Policy*. The paper summarizes information on legislation and strategies in 192 countries in 2007 and 2012, and makes the important point that many countries are now embarking on formal climate planning. Indeed, a headline finding is that 67% of global GHG emissions are under national climate legislation or strategy in 2012 compared to 45% in 2007.

As part of our agenda to provide a platform for scholars and practitioners to engage in a broad array of climate relevant discussions, the Climate Initiative also organised talks with experts on a number of areas such as recent developments in China's climate policy, regional political economies of electricity in India, global trade and environmental conflicts, costs, valuations and tradeoffs of greening India's growth, and the outcome of the Warsaw climate talks with respect to the 2015 Climate Agreement.

2. Research on International Climate Law and Governance

CPR continues to be a key place for information in India on the international negotiations. The Climate Initiative is focused on contributing to progress toward an effective and just post-2012 global climate regime through engagement with the global climate negotiation process and the international research and advocacy community.

This year, the focus was on climate equity and differentiation in the climate regime. Dr. Lavanya Rajamani has been contracted by the United Nations Framework Convention on Climate Change (UNFCCC) to provide legal advice to the Chairs of the Ad Hoc Working Group on the Durban Platform for Enhanced Action (ADP). She is participating in all the climate negotiations in 2013-2014 as part of the ADP Chairs' team. Dr. Rajamani also made an expert presentation for climate negotiators, at the invitation of the UNFCCC, on 'Differential Treatment in International Environmental Law' at the *Workshop on scope, structure and design of the 2015 agreement* organised by the UNFCCC in April 2013 at Bonn, Germany as part of the ADP.

Both Dr. Dubash and Dr. Rajamani attended a workshop on operationalising Equitable Access to Sustainable Development (EASD) organised by the Mitigation Action Plans and Scenarios (MAPS) Programme at the Energy Research Centre, University of Cape Town.

Looking forward, the Climate Initiative is actively expanding its collaboration with the MAPS programme to flesh out the idea of an "Equity Reference Framework" and to stimulate more discussion of this idea in India. This is likely to be a central idea through which equity, in turn a critical issue for the 2015 agreement, could be internalised in the UNFCCC process. With our collaboration, we are hoping to expand on a southern led idea spanning Asia and Africa, to take to the UNFCCC process.

Dr Rajamani has also been involved, as a Rapporteur, with the International Law Association (ILA) *committee on drafting the legal principles relating to Climate Change*. The ILA report, submitted in May 2014, represents six years of work by over 30 international lawyers and has 'the potential to shape and influence the evolution of the climate change regime.'

3. Multilevel Governance of Climate Change

Apart from research that independently focuses on domestic and international aspects of climate change, the Climate Initiative is also engaged in examining synergies and

linkages between the two in the context of framing effective climate policy that co-opts domestic bottom-up approaches with top-down nudges through an overarching international framework.

In collaboration with the MAPS Programme at the Energy Research Centre, the Climate Initiative hosted a workshop on *Building the Hinge: Reinforcing National and Global Climate Governance Mechanisms* to explore the scope for productive dialogue beyond the binary view that the choice is between 'top down' or 'bottom up' approaches to climate change. The objective was to focus on the linkages between the two and the ways in which each can be harnessed towards a climate regime that is both equitable and effective.

During the year, the Climate Initiative also forged a collaboration with the Overseas Development Institute (ODI), which sought our input on a climate finance-related study titled 'Coordination in accessing and using climate finance well'. Since October 2013, we have been actively working with ODI on this project. The primary objective of the study will be to deepen our understanding of what drives existing arrangements for coordination around climate change related policy and climate finance, in order to identify good practices and options for strengthening arrangements.

V. Governance & Public Policy Initiative (GPPI)

During the year under report, the Governance & Public Policy Initiative (GPPI)-CPR organised a series of academic programmes specially designed for Parliamentarians and interactive discussions involving Members of Parliament across party lines and noted civil society members.

Interactive Discussions

The primary focus of GPPI-CPR is on key domestic issues through a series of interactive discussions with organisations such as the Friedrich Ebert Stiftung (FES). Keeping this objective in mind, GPPI-CPR organised interactive discussions of select Parliamentarians with noted civil society members and experts such as **Aruna Roy, Late Justice J S Verma, Justice Rajinder Sachar and J M Lyngdoh**, etc on a range of issues such as –

1. *"Illiberal trends in India- discussion on the rising intolerance"; 18 March, 2013:* Roundtable discussion on a growing trend of intolerance in the country: limits on speech, banning of artists, censorship of movies, etc.

Dr. Pratap Bhanu Mehta presided over the session. Ms Karuna Nundy, Advocate, Supreme Court of India who specialises in human rights litigation, besides 21 multi-party group of Members of Parliament also attended the session.

2. ***“The SC Verdict: Will it Help Cleanse Politics?”*, 22 August, 2013:** Roundtable discussion on the ruling by the Supreme Court that will compel the state and federal lawmakers to quit politics and be barred from contesting future elections if they are convicted of a crime that carries a sentence of at least two years in jail is evoking nation-wide interest.

Ms. Aruna Roy, noted political & social activist and Nikihil Dey had an in-depth discussion on the issue with a multi-party gathering of Members of Parliament.

3. ***Parliamentary Coalition on Child Survival*, 13 August, 2013:** In consultation with the Global Health Strategies (GHS), GPPI-CPR helped create a Parliamentary Coalition on Child Survival with a group of Parliamentarians that could work towards bringing down child mortality rates. Such a Forum is aimed to spearhead campaigns within the constituencies of the legislators to increase access and demand for comprehensive child survival interventions for diseases that kill a large percentage of children in the country; create awareness and utilise platforms to raise the profile of child survival.

The Chair and Co-chair of this Parliamentary Coalition are Dr. Sanjay Jaiswal, BJP and Dr. Ajoy Kumar, JVM.

4. **Symposium on “Governing India’s Megacities” on 9 December, 2013:** CPR and GPPI organised this symposium which was chaired by **Mr. Ajay Maken** and **Mr. K. C. Sivaramakrishnan** and attended by a prominent multi-party group of Parliamentarians.
5. **Interactive Discussion on “Calibre and Merits of the Current Political Discourse in India”, 16 December, 2013:** A three-segment discussion on the following issues that enlivens the present political landscape - *“Calibre and Merits of our Political Discourse; Questions Raised on Campaign Finance of Political Parties; and Impact & Relevance Opinion Polls”*

Mr. J M Lyngdoh, Former Chief Election Commissioner participated in the discussion along with 15 multiparty MPs.

6. **Interactive Discussion on “Bridging the Trust Deficit: Perform or Perish”, 10 February, 2014:** In our final discussion for the 15th Lok Sabha session – GPPI-CPR and FES in their ongoing series of roundtables addressed several issues under the broad theme “Bridging the Trust Deficit: Perform or Perish”, that had a direct relevance with the 16th general elections in April-May 2014.

Justice Rajinder Sachar, former Chief Justice of the Delhi High Court who is a former member of the United Nations Sub-Commission on Human Rights and chaired the Sachar Committee that submitted a much debated report on the social, economic and educational status of Muslims in India presided over the discussion.

Academic Programmes

The *India-Yale Parliamentary Leadership Programme*, a collaborative programme between Yale University and GPPI-CPR designed to provide Indian Parliamentarians with opportunities to critically think about the current global issues and leadership challenges in a neutral environment was held from 19-27 June, 2013.

Some of the topics which dominated the discussions included- *The Changing Role of Business, Negotiation & Strategy, Perspectives on the Arab Uprising & Prospects of Democracy, China's Rise amongst Rising Tension, Women, Work & Politics, Constitutional Theory of Campaign Finance Reform and Leadership & Control: Paradoxes & Practices of Leadership.*

Over the last six years the sessions at Yale led by distinguished scholars have explored various significant topics pertaining to rural health, education, poverty and issues relating to MDGs besides bilateral and strategic issues. So far 75 Members of Parliament have participated in the Yale programme over a span of seven years.

Other such academic programmes tailor made for the Parliamentarians are *the Chevening - Oxford - GPPI-CPR programme* which was held from 13-21 April, 2013. The focus of the programme is to expose Parliamentarians to the UK's top opinion formers and decision-makers in a wide range of fields.

The new UK-Chevening programme will be in collaboration with King's College, London from 2014 onwards.

The *Princeton-CPR Strategic Affairs Programme* co-sponsored by Princeton University and GPPI-CPR, a specially designed programme for Parliamentarians at the prestigious

Woodrow Wilson School of Public & International Affairs at Princeton University focuses exclusively on strategic and non-proliferation issues and was held in February 2013.

Delegation Visits

GPPI-CPR also organised a series of delegation visits of the Indian MPs to Australia, Germany and Israel respectively. **The Delegation visit to Australia (May 29 - June 6, 2013)**, organised in collaboration with the Australian High Commission was interspersed with a roundtable meeting hosted by the renowned Australia India Institute with Melbourne-based academics, policy makers and business leaders. A wide range of issues were discussed during the meeting on themes such as India's Education Challenges and engagement with Australia, India's Health Challenges and opportunities for collaboration in this sector, Australian Perspective on Energy Security Challenges in India, and Indian Ocean & Maritime Security.

The **Delegation's visit to Germany (8-13 September, 2013)**, led by Mr. Yashwant Sinha, former Finance Minister was in collaboration with the Friedrich Ebert Stiftung (FES). The delegation had a packed six-day visit which included interactive discussions with experts on topics ranging from political, economic and bilateral relations. *"Social Democratic Foreign Policy"*; *"Sustainability & Decent Capitalism"*; and *"Perceptions & Opportunities of German-India Relations"* are a few other topics they touched upon during the various discussion sessions with experts.

FUNDED RESEARCH PROJECTS

I. CPR-Namati Collaborative Programme on Environmental Justice

During the year 2013-14, the Namati-Centre for Policy Research Environment Justice Programme was engaged in a range of research activities many of which are expected to be completed by December 2014.

- i. **Study on Coastal Zone Management Authorities (CZMAs):** The EJ programme carried out a detailed study to understand the institutional structures for coastal governance as prescribed by the CRZ Notification, 2011 (earlier 1991) in India. As per this notification, CZMAs have been set up in all the coastal states of India and one at the national level housed in the MoEF. In the previous year, interviews were carried out with current and former CZMA members as well as civil society representatives engaged with the CRZ process. Additional information was sought through Right to Information and through the websites of state governments and the MoEF and analysed thereafter. The report was expected to be finalised sooner, but the information on meeting minutes from various states were made available only in the last few months. As a result, the report is now in the final stage of preparation.
- ii. **Declaration of Ecologically Sensitive Area (ESA) for coastal conservation and governance:** The EJ programme, along with local partner groups and fishing communities in Kutch and Uttara Kannada, have undertaken the exercise of putting together a proposal for the declaration of two sites as Critically Vulnerable Coastal Areas under the CRZ notification. The methodology involved combining technical information in the proposal largely from secondary sources along with data from several meetings with local groups and community members. The conservation functions of the CRZ are the least attended to even though there is a great need for coastal stretches to be conserved for their existing characteristics such as mangrove cover, sand dunes or productive estuaries or for the development impacts they are already facing, as in the case of Kutch. These proposals will be submitted to the respective state Coastal Zone Management Authorities for finalisation.

- iii. **Compliance crowdsourcing web based tool:** The team in collaboration with a student and faculty member of IIT, Gandhinagar designed a crowdsourcing platform to collect information on the impacts of non compliance of environmental clearance conditions imposed on projects. The platform is being piloted for Kutch district in Gujarat. The data collected through this will help to identify common areas/themes of non compliance and this will be useful for those engaged in environment policy and planning both in government and outside.
- iv. **Paralegal effort to close the enforcement gap in environment compliance:** The core area of the EJ programme has been to reduce the rates of non-compliance of environment clearance conditions. For this, a team of eight community paralegals has been trained in issues of environmental compliance and laws. They are engaged in identifying issues of non-compliance in Gujarat and North Karnataka and bringing them to the attention of local administrators. They also aim to strengthen the decentralised institutions of governance to be able to address these issues.
- v. **Study on Compensatory Afforestation:** Compensatory Afforestation is a primary method by which infrastructure impacts on forest areas are mitigated or offset. The forest departments under state government's responsible for the collection of funds from companies for whose projects forest land has been diverted and for the use of these funds in CA either on degraded forests or non forest land as per the clauses of the Forest Conservation Act. However, many studies have revealed the problems with accounting, collection and disbursement of funds in the name of CA. There are no ground level studies to show how local forest department offices deal with the challenges of having to undertake CA. To fill this gap, our team has embarked on a study of CA in Kutch district and will soon be publishing a paper on the findings.
- vi. **Status report of the Uttara Kannada coast:** Uttara Kannada's forests have been studied a great deal both in academics and through popular writing, but for the first time, a status report of the coastal region of this district will be presented. Our team put together this report based on the secondary literature survey as well as primary field observations. This report will provide the basis for engaging the local government and institutions in a detailed conservation planning exercise to protect the district's productive estuary network as well as coastal lands that support vibrant fisheries and allied enterprises. Ecologically this coastal stretch is very critical as it is sandwiched

between the full developed and populous coasts of Mangalore and Goa. Pressures on this coast for residential space, industry and tourism are growing at a rapid pace.

II. Land Rights, Environment Protection and Inclusive, Sustainable Development in India

The Project aims to understand how the legal regimes aiming to promote inclusive sustainable development, particularly with respect to the protection of the rights of Scheduled Tribes and other traditional communities, interact with the socio-political dynamics of the Indian federal system. The Project investigates how this interaction shapes the opportunity structure of central development actors (policymakers, civil society and community groups, private investors) producing dissimilar decisions and social effects in different contexts. The project explores this question in the context of the Fifth and Sixth schedules in the Indian Constitution with a view to answering four related questions. First, how have state institutions in India managed the tension between the individual's and community's rights to property and the state's power to acquire property for the purposes of economic development and social redistribution? Second, what is the structure and functioning of the legal and institutional apparatus governing the rights of traditional communities in different contexts? Third, how does the development and implementation of environmental law protections interact with property rights and other rights of traditional communities? Fourth, how are the legal strategies adopted to deal with the challenges of inclusive, sustainable development impacted by political and institutional factors such as federal coalition politics, asymmetric federalism, and the role of regional states in the liberalised decentralised Indian State?

The Project has an interdisciplinary research team that is investigating these questions through a series of comparative case studies drawn from three Indian states, Andhra Pradesh, Gujarat and Meghalaya. These states have been chosen based on geographical, political and constitutional diversity. All three states show variation with regard to levels of social and economic development, displacement and environmental degradation and in their socio political differences and federal dynamics. The goal of the Project is to contribute to academic research in this field, empirically and in terms of theoretical development. Moreover, the Project seeks to provide a knowledge base for practitioners, and offer constructive suggestions on how to better negotiate these conflicting concerns between development and the rights of vulnerable groups.

The goal of the Project is to create and disseminate knowledge on these questions through academic publications as well as publications in newspapers and magazines, presentations at conferences and seminars and engagement with government and civil society actors. The Project started in July 2014. During the first year, the project team made several presentations and had discussions with various faculty on the project proposal both at the Centre for Policy Research and at the University of Bergen.

On 17 October, 2014, we held a seminar on the project at the Chr. Michelsen Institute in Bergen, which involved presentations by experts working on the state of Meghalaya. On 16-17 January, 2014, we held a start up conference and workshop on the project covering all the three states. The all day conference on 16 January involved presentations by academics, activists and government officials working on these issues from all the three states. The conference was attended by over 70 people, including academics, journalists, policy makers, students, and other civil society actors. This also resulted in the creation of a network of scholars, activists and other actors engaged on these issues. The conference and the issues that came out of it were covered by *Frontline* Magazine and mylaw.net. The *Frontline* article can be accessed at the following link. <http://www.frontline.in/cover-story/vanishing-commons/article5652209.ece#>.

On 17 January, 2014, in a closed workshop, the project team presented their research proposal to the expert speakers who gave their feedback on the issues and methodology proposed in the project.

Following the conference and the workshop, the project team also conducted a series of meetings with the government, including Mr. Jairam Ramesh, the Minister for Rural Development, and with other organisations working on similar issues, including the Centre for Equity Studies.

Finally, as part of the dissemination strategies, we created a web page and Facebook pages for the project. The videos of the conference were uploaded and made accessible to the public.

III. Scaling City Institutions for India (SCI-FI): Sanitation

Through research, SCI-FI:Sanitation aims to inform and support the formulation and implementation of the Government of India's urban sanitation programmes and investments. The research programme will study to understand the reasons

for poor sanitation and inform and support the state and city governments in modifying their urban sanitation programmes so that they are supportive of alternative technology and service delivery models, with the goal of increasing access to safe and sustainable sanitation in urban areas.

The project is structured into four parts: Bottom-up review, Top-down programme analysis, Understanding sector-specific issues, Knowledge management and dissemination.

The project is supported by the Ministry of Urban Development, Government of India; and the Bill and Melinda Gates Foundation. Two cities- Udaipur in Rajasthan and Balasore in Odisha have been identified as the two project cities.

Under the project, the following activities are currently underway or have been completed:

- i. Perception studies through surveys and key informant interviews in the project states and cities
- ii. GIS surveys of urban infrastructure and hotspots including water supply, sanitation, solid waste management, stormwater drains, and health services in the project cities
- iii. Mapping institutional and legal arrangements in urban sanitation at three tiers of government namely central, state and local
- iv. Analysis of secondary datasets such as the Joint Monitoring Programme on Water and Sanitation dataset, Census 2011, Service level benchmarking data, National Health and Family Planning Survey etc.
- v. Documenting international experiences of improving urban sanitation
- vi. Analysis of successful initiatives of improving urban sanitation in two states other than project states, and two cities other than the project cities
- vii. Analysis of central government policies, programmes and schemes with respect to urban sanitation

- viii. Mapping wastewater and septage flow streams in urban India and developing a theoretical framework for assessing relevant sanitation technologies
- ix. Initiating and hosting “Community of Research and Practice (CoRP) on urban sanitation in India” - a series of thematic seminars

SCI-FI initiative has conducted many workshops/meetings/policy roundtables as part of the knowledge dissemination exercise and city-level activities:

- i. National workshop, “Towards Universal Urban Sanitation: Smaller Cities a Priority Area for Policy Focus”
- ii. National workshop on Financing Urban Sanitation
- iii. Policy roundtable, “Achieving the NUSP Vision: The Importance of Decentralised Options”
- iv. City workshop on Sanitation in Balasore
- v. Presentation to the National Advisory Group on Urban Sanitation (NAGUS), Government of India
- vi. Presentation at Senior Officers Meeting, Ministry of Urban Development (MoUD), Government of India
- vii. Presentation to ACS and senior officers at the Housing and Urban Development Department, Government of Odisha

IV. SARCist

The SARCist—South Asia Regional Cooperation, is an initiative of the Centre for Policy Research, New Delhi and funded by The Asia Foundation. It is an online knowledge portal on issues related to South Asia Regional Cooperation with special focus on trade and investment.

The rationale behind this initiative stems from the view that South Asia boasts of several world class think tanks and high quality research and analysis is available. As the world moves towards regional economic blocs, South Asia is strategically placed to play a critical role in emerging as a stable economic bloc. Yet, South Asia

is one of the least integrated economic regions in the world, marked by decades of political instability, lack of political will for cooperation among the South Asian countries, and massive logistical and transactional costs to engage in trade.

The SARCist attempts to create a space for dialogue and discussion on shaping the future of South Asia as an integrated, prosperous economic and regional entity and move the numerous Track II dialogues on to the Track I platform. The SARCist therefore acts as a virtual knowledge portal that brings together the diverse discussions across numerous think tanks, universities and among the political class under a common platform. In the process, The SARCist aims to generate new discourse on constructing South Asia.

The SARCist serves two purposes—as a monthly newsletter, bringing together a review of activities undertaken by think tanks in South Asia. Second, it provides a web-based knowledge and information resource platform for easy access to all interested in issues of regional cooperation in South Asia.

The SARCist endeavours to be demand-driven and participatory; owned by the South Asian countries to ensure equal opportunity for all countries in the region to showcase their work and latest developments. To ensure regional perspectives, each monthly issue of The SARCist newsletter contains expert articles from different countries. The weekly news update, South Asia This Week, provides headline news from main newspapers from each of the SAARC countries. Country-specific reports and publications are also available on The SARCist website: www.thesarcist.org

In August 2013, The Asia Foundation signed a grant agreement with the Centre for Policy Research, New Delhi to develop a bi-monthly e-Newsletter on South Asia Regional Cooperation with special focus on trade and investment; which will review the activities undertaken by key think tanks and research institutes in promoting intraregional trade, as this is one of the principle drivers of regional cooperation.

The purpose of this activity was that greater regional economic cooperation and improved intraregional trade will contribute directly to making the region more prosperous, stable, peaceful, and better integrated into the global economy. Subsequently an internet based virtual knowledge sharing platform was developed, inaugurated in February 2014. Until March 2014, two monthly newsletters were published:

February 2014: <http://thesarcist.org/4>

March 2014: <http://thesarcist.org/5>

An inaugural panel discussion participated by all the Advisory Board members of The SARCist will be held on 15 May 2014.

V. Mobilising the State: Indian Economic Diplomacy in the 21st Century

The project on “Mobilizing the State: Indian Economic Diplomacy in the 21st Century” at the Centre for Policy Research (CPR) evaluates how the Indian state has deepened its economic engagements abroad since the turn of the 21st century. This first-ever rigorous and systematic study of Indian economic diplomacy seeks both to analyse policy and offer suggestions to the central government on its economic interventions abroad.

By bringing together leading thinkers on the current state of Indian economic diplomacy, the project will contribute to a better and more nuanced understanding of how the Indian state’s diplomatic engagements have fostered its economic agenda by improving trade, outward foreign direct investment, and opportunities for Indian businesses abroad.

The project will organise two workshops and would ultimately produce an edited volume on Indian economic diplomacy as well as publicise its findings through a seminar. It is supported by a grant from The Asia Foundation.

VI. India’s Middle Class

Over the last one year, progress has been made on the ICSSR funded project, titled “India’s Middle Class” which is looking at the definitional, economic and political questions relating to the middle class in India. On the definitional front, a detailed literature review was done on the existing definitions of the middle class in India and around the world, and a preliminary definition has been decided after intense discussions amongst researchers at CPR.

On the political aspect of the questions, we are currently working on the question whether the middle class votes differently from richer and poorer sections of society. In this regard we are undertaking the hard task of matching polling booth level election data to the property circle data that we obtained from the MCD. The

latter acts as a proxy of wealth and will help us answer important questions relating to the voting patterns and preferences of the middle class.

The other important questions that we are currently looking at are “privatisation” of the middle class and the consumption pattern of the middle class vis-à-vis other classes. For this, we’re looking at unit level data released by the NSSO for various years in order to see the trends in the consumption patterns.

VII. Design and implementation of research in India (Plus Kenya and South Africa) on the Human Right to Safe Drinking Water and Sanitation

About the project

This project focuses on one of the most important development challenges in India – sanitation. The project examines the law and policy framework in India for the realisation of the right to sanitation. It proposes an assessment of the implementation of the right to sanitation through an analysis of the law and policy framework followed by fieldwork in three states (Rajasthan, Uttar Pradesh and Kerala). The underlying purpose is to understand the conceptual framework, identify implementation challenges, highlight best practices and propose policy suggestions to ensure better realisation of the right to sanitation. The project also seeks to directly engage with policy makers and other stakeholders through learning events and workshops.

Project activities (September 2013 – March 2014)

Activities during the report period consisted of a desk based review of law and policy framework on the right to sanitation. This included a review of the law and policy framework at the national level (India) as well as in the South Asian region. A desk-based review of the law and policy frameworks in Kenya and South Africa was also done to facilitate comparative analysis to be completed at a later stage of the project. Law and policy instruments in India, the South Asian region as well as in Kenya and South Africa were identified and collected. Laws, policies, programmes and schemes on sanitation in the State of Rajasthan were identified and a desk-based review of the sanitation framework in the State of Rajasthan was done as a background for the fieldwork to be conducted in the next phase of the project. A draft of the chapter for the Handbook for Realising the Rights to Water and Sanitation by the UN Special Rapporteur on the human right to safe drinking water and sanitation was prepared and sent for review.

VIII. Analytical Lexicon of Principles and Rules of Indian Environmental Law

In view of this, the Centre for Policy Research (CPR) initiated research in environmental jurisprudence, which was funded by the Indian Council of Social Science Research (ICSSR) in March 2013. The outcome of this research project is an *Analytical Lexicon of principles and rules of Indian environmental law* which would include six long-form academic papers on various rules and principles of Indian environmental law.

Four papers have been commissioned, each on the four principles of Indian environmental law that are most commonly cited: the principle of sustainable development, the precautionary principle, the polluter pays principle, and the public trust doctrine. Each of these principles has a unique context in which they were initially introduced by the Supreme Court of India in environmental law cases and the manner in which they have developed over the years. The fifth paper is on the implementation of orders of the courts in environmental cases; and the sixth is on the principles of review that the courts have adopted in such cases.

At CPR, the project is being coordinated by Shibani Ghosh, Senior Research Associate, under the guidance of Dr. Lavanya Rajamani, Professor, CPR and Dr. Navroz K. Dubash, Senior Fellow, CPR. Based on their prior academic and professional work, six individuals were approached with the research proposal and requested to write papers on different topics.

CPR also approached several persons with rich experience in environmental law, regulation, and litigation and requested them to review draft papers submitted by the authors.

An initial planning meeting for the project was organised on 7 May 2013 at CPR. The meeting was attended by four authors, who are based in Delhi, Dr. Pratap Bhanu Mehta, President, CPR and Ms. Manju Menon, Programme Director, Environmental Justice Programme, Namati. Three other authors who were not in Delhi at that time joined the meeting through Skype calls. During the meeting, the authors discussed the themes of their papers in brief – the relevance/ significance of the research; any particular issue/s, hypothesis and/or cases they would like to highlight in the paper; and what the likely challenges are to their research work. The group also discussed the options for disseminating the research outputs in a manner that has maximum impact.

By the beginning of June 2013, authors sent a one-page abstract for their papers. Authors were then put in touch with their respective reviewers (decided on the basis of the reviewers' interest areas) and their abstracts were shared with the reviewers for preliminary comments.

The authors submitted the first drafts of their papers by the first week of December 2013. These were substantive drafts covering most of the issues and arguments that the final papers are likely to include. These drafts were sent to the reviewers for comments.

A full day workshop was held at CPR on 13 December 2013 as part of the project. The workshop was organised with the aim of providing a platform to the authors to present their first drafts on the papers. Other than the authors and reviewers, CPR invited persons working in the area of environmental jurisprudence and regulation including legal practitioners, law teachers, and researchers. The format for the workshop was designed to maximise discussion and to give authors the opportunity to get useful feedback on their work. Each author was given 15-20 minutes to present his or her work followed by 40-45 minutes of discussion. For some authors, the discussion was led by their assigned reviewers who were present. Although the discussion after every presentation was focused on the particular legal principle/ theme, there were certain cross-cutting issues which emerged from the discussion. Firstly, several participants highlighted the fact that the four legal principles do not function in a vacuum and there are distinct linkages with disciplines other than law and natural resources. So for instance, invoking the polluter pays principle would necessarily require the judges and lawyers in a case to undertake an economic evaluation of the environmental degradation caused. Secondly, there was a detailed discussion on whether there was value in maintaining some form of inconsistency and vagueness in the application and understanding of the principles. This was suggested to allow the courts the flexibility which is often required while dealing with environmental problems which are inextricably linked with social, economic and political considerations. The toolbox metaphor was referred to a number of times – whether legal practitioners and judges should have as many tools (i.e. principles) as possible, even if blunt (because of unclear parameters), or would it be preferable to have sharper tools which can be used with a greater degree of predictability and clarity. Thirdly, on the methodology, suggestions were made to triangulate the data regarding the implementation of the four principles by speaking to lawyers and parties to the case, besides reading the case law. Some of the authors thought that the objective of the current project – to provide analytical academic literature on Indian

environmental jurisprudence based on close reading of judgments – was inherently valuable, and undertaking field work of the nature suggested was not an imperative.

A brief follow-up meeting with the authors was held on 3 January 2014 to discuss possible avenues for dissemination of the final project outcomes. Authors are expected to submit their second drafts which will be sent for a second round of review to the reviewers. The final papers should be ready by April 2014.

FACULTY NEWS

1. During the year under review, the President, **Dr. Pratap Bhanu Mehta** was involved in the following research and allied activities:

Books

- i. *Shaping the Emerging World: India and the Multilateral Order*, Pratap Bhanu Mehta, Waheguru Pal Singh Sidhu, Bruce Jones, Brookings Institution Press, 2013
- ii. *Nonalignment 2.0: A Foreign and Strategic Policy for India in the 21st Century*, Pratap Bhanu Mehta, Sunil Khilnani, Rajiv Kumar, Prakash Menon, Nandan Nilekani, Srinath Raghavan, Shyam Saran, Siddharth Varadarajan, Viking by Penguin, 2013

Conferences/Seminars/Talks

- i. Acted as a Panelist in a Book Discussion on “Ambedkar Speaks” by Narendra Jadhav at India International Centre, New Delhi on 10 May 2013
- ii. Visited London, United Kingdom from 2-6 June 2013 to attend the following events:
 - i) A Dialogue on The University in a Globalised Era on 3 June 2013, in the Starr Auditorium at Tate Modern, London, as a part of their inaugural cultural Forum, *Crossing Borders: Global Citizenship*.
 - ii) UDHR Philosophers’ Committee Meeting scheduled for 5-6 June 2013
- iii. Attended the **World Bank Champions Meeting** organized by South Asian Regional Integration, The World Bank, Bangkok on 12-13 June 2013 at Jumeirah Emirates Towers, Dubai.
- iv. Made a Presentation on “From Vertical States to Horizontal State” at the 5th International Risk Assessment and Horizon Scanning Symposium (IRAHSS) 2013 on Strategic Foresight Actionable Policies on 16-17 July 2013 at Raffles City Convention Centre, Singapore.

- v. Participated in a Conference at Rockefeller Center, Bellagio, Italy from 22-25 July 2013. This conference was part of a project to do a new book in collaboration with Center for Advanced Study of India, University of Pennsylvania, Philadelphia, USA Carnegie Endowment, Washington, D.C. and University of Pennsylvania Institute of Advanced Study of India, New Delhi.
- vi. Spoke at the session on “Local Accountability and Empowerment” at a Conference on “Innovations in Urban Governance” on 26 August 2013
- vii. Delivered a lecture on “Embattled Liberalism in South Asia” at UNESCO Madanjeet Singh Institute of Kashmir University on 23 September 2013
- viii. Delivered a keynote opening address at the 27th Australian Education International Conference (AIEC) on the theme “Global Imperatives – Local Realities” from 8-11 October 2013 in Canberra, Australia
- ix. Attended and participated in South Asia Vision Group Meeting held on 28-29 October 2013 at Taj Palace Hotel, New Delhi.
- x. Attended and participated in the 2nd Europe-India Leader’s Conclave 13-14 July 2013 in Delhi
- xi. Moderator at a Panel Discussion on “Catch up: Developing Countries in the World Economy” by Prof. Deepak Nayyar at India Habitat Centre, New Delhi on 14 November 2013
- xii. Spoke on “Development Trends in Asia and the Challenge of Institutional Change” at DFID Asia Professional Development Conference at Hyatt, New Delhi on 18 November 2013
- xiii. Discussion on India & Multilateralism jointly organised by the Brookings Institution and CPR on 19 November 2013 at 18 Friends Colony, New Delhi
- xiv. Acted as a Panelist in the Panel Discussion of the book entitled “The New Bihar: Redeclining Governance and Development” edited by N.K. Singh and Nicholas Stern, organised by Harper Collins India at International Management Institute, Kolkata on 21 December 2013

Articles in National and International Peer Reviewed Journals

- i. Stories Indian Politics Like to Tell, *New York Times*, 2013

Book Launch

Launch of Non Alignment 2.0 on 18 October 2013 at Teen Murti Bhawan, New Delhi.

2. During the year under review, **Mr. K C Sivaramakrishnan**, Honorary Research Professor was involved in the following research and allied activities:

Conferences/Seminars

- i. Participated in an International Conference on “The Social Dynamics of the Urban” jointly organised by the Indian Institute of Advanced Studies Shimla, School of Advanced Studies, University of London and South Asian Studies Council, Macmillan Centre, Yale University in Shimla on 10-11 June 2013 and presented a paper. This paper will be a part of the Conference publication.
- ii. Participated in a Round Table on Decentralization in the Indian Polity organised by ORF on 23/10/2013.
- iii. Participated in the 35th Indian Geographers’ Meet & International Conference organised by the University of Burdwan, West Bengal on 12th – 14th November 2013, Kolkata.
- iv. Participated in a conference on The Challenges of Mumbai as a Mega-city – A Way Forward with the EU organised by Mumbai First on 18th to 19th November, 2013 at Taj Lands End, Mumbai.
- v. Participated in an International workshop organised by the Ministry of Urban Development (MoUD), Government of India in collaboration with the National Institute of Urban Affairs (NIUA), World Bank Institute and Cities Alliance on ‘Resource Institutions in Support of Efficient Urban Planning and Management’ at Gulmohar Hall, India Habitat Centre, New Delhi on December 16, 2013.
- vi. Participated in the First Seminar on Transport Infrastructure Development for Regional Connectivity in and around South Asia organised by the Japan International Cooperation Agency (JICA), Delhi on 16-1-2014 at Claridges Hotel Delhi.

- vii. Participated and presented a paper at an International Conference on Deepening Democracy through Participatory Local Governance organised by the Government of Kerala on 19-21 January 2014 at Trivandrum.
 - viii. Participated and gave a lecture at S P Chatterjee Memorial Lecture: Geographical Society of India, Kolkata 6 March 2014.
 - ix. Participated and chaired the Sixth Annual Lecture series on Sustainable Transport jointly organized by the Indian Institute of Technology, Delhi and Volvo Research and Educational Foundation at IIT, Delhi on 13 March 2014.
3. During the year under review, **Mr. Bibek Debroy**, Research Professor was involved in the following research and allied activities:

Books

- i. *Economic Freedom of the States of India, 2012* by Bibek Debroy, Laveesh Bhandari, Swaminathan S. Anklesaria Aiyar, Ashok Gulati, Academic Foundation, 2013
- ii. *Drumbeats to Ringtones: Gujarat's Strategy for Empowering Tribals*, Bibek Debroy, Radhika Shah, Printworks, 2014
- iii. *Mahabharata VII*, translated from the Sanskrit, Bibek Debroy, Penguin, 2013
- iv. *Mahabharata VIII*, translated from the Sanskrit, Bibek Debroy, Penguin, 2014

Chapters in Edited Volumes

- i. The State of Economic Freedom in India, Bibek Debroy and Laveesh Bhandari, in *Economic Freedom of the States of India 2012*, edited by Laveesh Bhandari, Swaminathan S. Anklesaria Aiyar, Ashok Gulati, Academic Foundation, 2013
- ii. India's Segmented Labour Markets, Inter-State Differences, and the Scope for Labour Reforms, Bibek Debroy, Laveesh Bhandari, Swaminathan S. Anklesaria Aiyar, Ashok Gulati, in *Economic Freedom of the States of India 2012*, edited by Laveesh Bhandari, Swaminathan S. Anklesaria Aiyar, Ashok Gulati, Academic Foundation, 2013

- iii. Injecting Competition in Agriculture in *India Commodity Year Book 2012, Focus on Agriculture*, edited by Sanjay Kaul, Ane Books Pvt Ltd, 2013
- iv. Reforms, FDI in Retail in *Manorama Yearbook 2013*
- v. Corruption in the Delivery of Public Services in *Fighting Corruption: The Way Forward*, edited by Samuel Paul, Public Affairs Centre, 2013
- vi. The Indian Health Sector – Providing Choice, Competition, Efficiency and Finance in *Growth & Equity, Essays in honour of Pradeep Mehta*, edited by Nitin Desai, Rajeev D. Mathur, Academic Foundation, 2013
- vii. The Ill-Fare State in India in *After the Welfare State*, edited by Tom Palmer, Centre for Civil Society, 2013
- viii. The State of Economic Freedom in India, Laveesh Bhandari in *Economic Freedom of the States of India 2013*, edited by Laveesh Bhandari, Swaminathan S. Anklesaria Aiyar, Ashok Gulati, Academic Foundation, 2013
- ix. The Centre and the States: Excessive Centralisation Hampers Economic Freedom in *Economic Freedom of the States of India 2013*, edited by Laveesh Bhandari, Swaminathan S. Anklesaria Aiyar, Ashok Gulati, Academic Foundation, 2013

Policy and Advisory Committees

Member, Rajasthan State Planning Board, October 2013 onwards

Awards and Other Honours and Accomplishments

Shriram Sanlam Award for Financial Journalism in 2013, presented in March 2013.

- 4. During the year under review, **Mr. Bharat Karnad**, Research Professor was involved in the following research and allied activities:

Conferences/Seminars/Talks

Made a presentation on “An Indian Perspective on China in South Asia” at a seminar on ‘China and South Asia’ hosted by the National Defense University, Fort McNair, Washington, DC, 12-13 March, 2014.

Chapters in edited Volumes

- i. 'Scaring-up Scenarios: An Introduction' in Gurmeet Kanwal and Monika Chansoria, eds., *Pakistan's Tactical Nuclear Weapons: Conflict Redux* [New Delhi: Centre for Land Warfare Studies and KW Publishers, 2014]
 - ii. 'An Elephant with a Small "Footprint": The Realist Roots of India's Strategic Thought and Policies' in Kanti Bajpai, Saira Basit, V. Krishnappa, eds. *India's Grand Strategy: History, Theory, Cases* [New Delhi and Abingdon, U.K.: Routledge, 2014]
5. During the year under review, **Dr. Lavanya Rajamani**, Research Professor was involved in the following research and allied activities:

Meetings/Seminars/Conferences

'Differential Treatment in International Environmental Law', Expert presentation during a session on 'Applying the principles of the Convention in the 2015 agreement', at the Ad Hoc Working Group on the Durban Platform for Enhanced Action (ADP): Workshop on scope, structure and design of the 2015 agreement, Bonn Climate Change Conference 2012, organised by United Nations Framework Convention on Climate Change (UNFCCC) on 29 April 2013 in Bonn, Germany.

Chapters in Edited Volumes

"International Legal Regimes for Subsurface Activities with Catherine Redgwell" in "The Law of Energy Underground: Understanding New Developments in Subsurface Production, Transmission, and Storage" edited by Donald N. Zillman, Aileen McHarg, Adrian Bradbrook, and Lila Barrera-Hernandez, Oxford University Press, 2014

Articles in National and International peer-reviewed journals with citation

- i. Climate Change and International Environmental Law: Musings on a Journey to Somewhere, Duncan French, *Journal of Environmental Law*, 25(3), p. 437-461, 2013
- ii. CBDR&RC in a regime applicable to all, Harald Winkler, *Climate Policy*, 14(1), p. 102-121, 2014

Policy Briefs and Other Reports

- i. Rights based Climate Litigation in the Indian Courts: Potential, Prospects & Potential Problems, CPR *Climate Initiative Working Paper*, May 2013
- ii. Report on Legal Principles relating to Climate Change for the International Law Association, 2014

Policy and Advisory Committees

International Law Association, Committee on The Legal Principles relating to Climate Change, Rapporteur, 2008-2014

6. During the year under review, **Dr. Nimmi Kurian**, Associate Research Professor was engaged in the following research and allied activities:

Conferences/Seminars/Talks/Presentations

- i. “Constructing the Idea of China: The Competing Pulls of Assimilation and Autonomy”, presented at the International Conference on *Transitions: State, Society and Culture in China*, organised by the University of Delhi, Indian Council of Social Science Research and Indian Council for World Affairs, New Delhi, 10-11 January 2014.
- ii. “Uncharted Waters: Negotiating Norms of Benefit-Sharing on the Brahmaputra” organised by Indian Society for Ecological Economics from 5-7 December 2013 in Guwahati.
- iii. “The Curious Case of the Missing Mountain: Tracing Ecological Footprints to Tibet” organised by Foundation for Non-Violent Alternatives and Rajiv Gandhi University from 25-27 October 2013 in Itanagar, Arunachal Pradesh
- iv. “An Uneven Flow? International Rivers, Sovereignty and Benefit-Sharing” organised by Arghyam Trust, Bangalore and Centre for Policy Research, New Delhi from 25-27 November 2013 at India International Centre/India Habitat Centre, New Delhi.
- v. “China’s Water Policy and Challenges to Transboundary Water Governance” organised by Water Beyond Borders on 14 February 2014 in New Delhi

- vi. “Governing Regional Public Goods: Prospects for Institution Building on the Brahmaputra” organised by Forum for Public Dialogue on Water Conflicts, Pune and Aaranyak, Guwahati from 10-11 June 2013 in Guwahati.

Chapters in Edited Volumes

Uncharted Waters: Navigating the Downstream Debate on China’s Water Policy in *A Compendium of Water Conflicts in NE India*, edited by Partha Das, K.J. Joy, Chandan Mahanta, Forum for Policy Dialogue on Water Conflicts in India, Pune, 2013.

Articles in Non-Reviewed Periodicals

- i. Proposed BCIM Economic Corridor Could Build Trust Between India and China, *World Politics Review*, November 2013
- ii. Illogic of Circular Reasoning, *The Book Review*, May 2013

Accomplishments

Organised the Third Annual Interdisciplinary Emerging Scholars Symposium on India China Studies, jointly organised by India China Institute, New York, USA and Centre for Policy Research, New Delhi on 7-8 November 2013 in Kolkata

7. During the year under review, **Dr. Shylashri Shankar**, Senior Fellow was engaged in the following research and allied activities:

Book

Battling Corruption: Has NREGA Reached India’s Rural Poor? with Raghav Gaiha, Oxford University Press, 2013

Conferences/Seminars/Talks/Presentations

Asian Law Association Conference, Shanghai, March 2013: Presented a paper titled ‘Judicialization of Politics in India’ organised by Asian Law Association Conference, March 2013 in Shanghai.

Chapters in Edited Volumes

- i. The Embedded Negotiators: India's Higher Judiciary and Social Rights in *Constitutionalism of the Global South: The Activist Tribunals of India, South Africa, and Colombia*, edited by Daniel Bonilla, Cambridge University Press, 2013
- ii. Overview of the Indian Constitution and the Supreme Court of India in *Transformative Constitutionalism: Comparing the Apex Courts of Brazil, India and South Africa*, edited by Oscar Vilhena, Upendra Baxi and Frans Viljoen, Pretoria University Press, 2013
- iii. Right to Religious Recognition in India: A comment in *Transformative constitutionalism: Comparing the apex courts of Brazil, India and South Africa*, edited by Oscar Vilhena, Upendra Baxi and Frans Viljoen, Pretoria University Press, 2013
- iv. Constitutional Borrowing in South Asia: India, Sri Lanka, and Secular Constitutional Identity with Gary Jacobsohn in *Comparative Constitutionalism in South Asia*, Sunil Khilnani et al., Oxford University Press, 2013

Working Papers, Policy Briefs and Other Reports

- i. Switches into and out of NREGA with Raghbendra Jha, Raghav Gaiha, Manoj K. Pandey, ASARC Working Paper, 2013
- ii. The Promises and Perils of Adopting Ambiguity Constitutional Framing of Religion-State Relations in India, Sri Lanka and Pakistan for an edited volume on *Constitution Making, Human Rights and Religion*.

Articles in National and International Peer Reviewed Journals

Targeting Accuracy of the NREG: Evidence from Madhya Pradesh and Tamil Nadu, Raghbendra Jha, Raghav Gaiha, Manoj Pandey, *European Journal of Development Research*, Vol.25, 2013

Awards and Other Honours and Accomplishments

ZiF Collaborative Research Grant at Bielefeld University, Germany (co-applicants are Profs. Mirjam Kunkler(Princeton) and Hanna Lerner (Tel Aviv) to invite a set of scholars

to Bielefeld in the summer and Fall of 2014 to participate in workshops and seminars on Balancing Religious Accommodation and Human Rights in Constitution Writing.

8. During the year under review, **Dr. Navroz K. Dubash**, Senior Fellow was involved in the following research and allied activities:

Books

The Rise of the Regulatory State of the South: Infrastructure and Development in Emerging Economics, Navroz Dubash, Bronwen Morgan, Oxford University Press, 2013

Conferences/Seminars/Workshops

- i. Co-Benefits and their Discontents in a “Consultative Workshop on Assessing Co-Benefits from Economic Development and Emission Reductions Projects”, organised by World Bank, Shakti, Climate Works on 29 July 2013 in New Delhi
- ii. Indian Climate Policy: Engaging a Complex New Context in “Climate Change Conclave”, organised by MoEF and CII on 2 September 2013 in New Delhi
- iii. Independent Regulatory Agencies: Promise and Reality, organised by ICRIER/KAS/FICCI on 25 September 2013 in Kolkata
- iv. Participated in Mitigation Development Forum, organised by Energy Research Centre, University of Cape Town, February 2014 in Cape town, South Africa
- v. Reflections on Indian Energy Governance, Presented at a meeting on “Indian Energy Governance in Global Context”, organised by the ORF and Asia Centre on 10 February 2014 in New Delhi
- vi. A Co-Benefits Approach to Low Carbon Development, Presented at a Meeting on Low Carbon Development in BRICSAM countries, hosted by Oxfam on 17 February 2014 in New Delhi

Chapters in Edited Volumes

Of Maps and Compasses: India in Multilateral Climate Negotiations in *Shaping the Emerging World: India and the Multilateral Order*, edited by Waheguru Pal Singh Sidhu, Pratap Bhanu Mehta Bruce Jones, *Brookings Institution Press*, 2013

Articles in National and International Peer Reviewed Journals

- i. The Politics of Climate Change in India: Narratives of Equity and Cobenefits, *WIREs Climate Change*, 4:191-201, 2013
- ii. Indian Climate Change Policy: Exploring a Co-benefits Based Approach, D. Raghunandan, Girish Sant, Ashok Srinivas, *Economic & Political Weekly*, June 2013
- iii. Developments in National Climate Change Mitigation Legislation and Strategy, Markus Hagemann, Niklas Hohne, Prabhat Upadhyaya, *Climate Policy*, Vol. 13, Issue 6, 2013

Policy Briefs and Other Reports

From Margins to Mainstream: State Climate Planning in India as a 'Door Opener' to a Sustainable Future, Anu Jogesh, January 2014

Policy and Advisory Committees

Intergovernmental Panel on Climate Change, Lead Author, WG III and Lead Author Synthesis Report, 2010 onwards

9. During the year under review, **Dr. Srinath Raghavan**, Senior Fellow, was involved in the following research and allied activities:

Books

- i. *1971: A Global History of the Creation of Bangladesh*, Srinath Raghavan, Permanent Black, 2013
- ii. *Imperialists, Nationalists, Democrats: Collected Essays of Sarvepalli Gopal*, edited by Srinath Raghavan, Permanent Black, 2013

Conferences/Seminars/Talks

- i. Presented a paper on The Chronicle of a Birth Foretold? The Emergence of Bangladesh, 1971 on 29 August 2014 at Azim Premji University, Bangalore.

- ii. Spoke on 1971: A Global History of the Creation of Bangladesh on 17 September 2013 in IIT Madras, Chennai.
- iii. Presented a paper on The Indo-Soviet Treaty, 1971 on 15 December 2013 at a Conference hosted by Indo-Soviet Histories, RANEP, Moscow.
- iv. Presented a paper on 'At the Cusp of Transformation: The Rajiv Gandhi Years, 1984-1989' at the Conference on OUP Handbook on Indian Foreign Policy on 11 January 2014 at New Delhi.

Chapter in Edited Volumes

- i. India as a Regional Power in Shaping the Emerging World: India and the Multilateral Order, edited by Pratap Bhanu Mehta, Waheguru Pal Singh Sidhu, Bruce Jones, Brookings Institution Press, 2013.
- ii. United Nations and Emergence of Independent India in The Making of UN Charter, edited by Ian Shapiro, Joseph Lampert, Yale University Press, 2014
- iii. Liberal Thought and Colonial Military Institutions in India's Grand Strategic Traditions, edited by Kanti Bajpai, V. Krishnappa, Routledge, 2014

Articles in Peer Reviewed Journals

A Widening Divide: Civil Military Relations in India, *Seminar*, 2014

Policy and Advisory Committees

Member, National Security Advisory Board (NSAB), January 2013 to December 2014

- 10. During the year under review, **Dr. Anjali Chikeral**, Senior Fellow was involved in the following research and allied activities:

Meetings/Seminars/Conferences

- i. Made presentation on "Urban Sanitation in India: Overview and Impacts" at the National Workshop on "Towards Universal Urban Sanitation: Smaller Cities - a Priority Area for Policy Focus", organised by Ministry of Urban Development/ SCI-FI Project, CPR on 28 October 2013 at Maurya Sheraton, New Delhi

- ii. Made presentation on “Scaling City Institutions for India: Sanitation” at the International “Reinvent the Toilet Fair”, organised by Bill & Melinda Gates Foundation on 18 March 2013 in New Delhi

Articles in National and International Peer Reviewed Journals

Developing a Public Health Cadre in 21st Century India: Addressing Gaps in Technical, Administrative and Social Dimensions of Public Health Services with Ritu Priya, *Indian Journal of Public Health*, Oct-Dec 2013, Vol 57, Issue 4, December 2013

Digital Media (Blogs, Twitter, Facebook)

Television Programme Discussion on Sanitation in India- ‘Nirmal Bharat’ on 22nd November 2013, Doordarshan National, All India

Policy and Advisory Committees

Member, Working Group on “Technical Resource Group on National Urban Health Mission”, Ministry of Health and Family Welfare. Task is to undertake field visits, analysing current urban health services in medium and small towns of India and their convergence with health related services. Submission of reports to TRG. Co-writing chapter on Convergence in final report of TRG, October 2013 to February 2014.

11. During the year under review, **Dr. Philippe Cullet**, Senior Visiting Fellow, was involved in the following research and allied activities:

Meetings/Seminars/Conferences

- i. Inaugural Address - XII ISIL Summer Course on International Law organised by Indian Society of International Law in May 2013 in Delhi
- ii. Public lecture on Managing Biodiversity in a sustainable and equitable way organised by University of Lausanne in May 2013 in Lausanne
- iii. The interactions between international law and domestic law: the case of India organised by United Nations Economic Commission for Europe (UNECE) in December 2013 in Geneva

Chapters in Edited Volumes

- i. The Human Right to Water in Rural India – Promises and Challenges in The Human Rights Paradox - Universality and Its Discontents, edited by Steve J. Stern and Scott Straus, Madison: University of Wisconsin Press, 2014
- ii. A Meandering Jurisprudence of the Court - The Evolving Case Law Related to Water in The Shifting Scales of Justice: The Supreme Court in Neo-liberal India edited by Mayur Suresh & Siddharth Narrain, Hyderabad: Orient Blackswan, 2014

Articles in National and International Peer Reviewed Journals

- i. Governing the Environment without CoPs – The Case of Water, 15 *International Community Law Review*, p. 123-35, 2013
- ii. Right to Water in India – Plugging Conceptual and Practical Gaps, 17/1 *International Journal of Human Rights*, p 56-78, 2013
- iii. Proposed Union Water Laws: Need to Rethink the Premises, 1/1 *The Asian Journal (Journal of Transport and Infrastructure)*, p 55-63, 2013
- iv. Groundwater Law in India - Towards a Framework Ensuring Equitable Access and Aquifer Protection, 26/1 *Journal of Environmental Law*, p.55-81, 2013

Articles in Non-Reviewed Periodicals

Water as a Human Right and Economic Good: Policy Challenges for Europe, Government Gazette, March 2014, p. 22

12. During the year under review, **Ms. Manju Menon**, Project Director (Namati-CPR Environmental Justice Programme), was involved in the following research and allied activities:

Conferences/Seminars/Talks

- i. Made presentation at the Dialogue on Environmental Governance in the Context of Sustainable Development in India: The Case of Plateaus and Hills (Manju Menon and Meenakshi Kapoor), organised by TERI from 6-8 October 2013 in Bengaluru

- ii. Made presentation at the training programme on “Environment Audit”. (Kanchi Kohli) organised by International Centre for Information Systems and Audit on 4 September 2013 in New Delhi
- iii. Made presentations at the Civil Society Workshop on Land Rights and Carbon Markets in India (Manju Menon) organised by Nature Code from 20-22 February 2014 in Pune
- iv. Roundtable on Cost-Benefit Analysis Project of MoEF (Manju Menon) organised by Indian Institute of Forest Management on 2 August 2013 in Bhopal

Policy Briefs/Discussion Papers/Reports

- i. Closing the Enforcement Gap: Ground Truthing of Environmental Violations in Mundra, Kutch, Mundra Hitrakshak Manch, Machimar Adhikar Sangharsh Sangathan, Ujjas Mahila Sangathan, 2013
- ii. Coastal Regulation Zones (bilingual booklet in Gujarati and English, 2013)
- 13. During the year under review, **Mr. D. Shyam Babu**, Senior Fellow, was involved in the following research and allied activities:

Seminars/Workshops/Conferences/Talks

- i. Markets and Spatial Mobility in the Emancipation of Dalits: Evidence from the Hindi Heartland organised by Delhi School of Economics & University of Copenhagen on 7 November 2013 in Delhi
- ii. Public Lecture, “How We Failed Social Justice: The Mismatch of Constitutional Provisions and Institutional Arrangements” organised by Nehru Memorial Museum and Library, Teen Murti House, New Delhi on 6 December 2013 in Delhi
- iii. Dr. Ambedkar Memorial Lecture, “Mainstreaming the Lower Classes: Opportunities and Hurdles” organised by Spoorthidhama, Bangalore on 14 April 2013 in Bangalore

14. During the year under review, **Mr. Shri B G Verghese**, Visiting Professor, was involved in the following research and allied activities:

Book

Post Haste: Quintessential India, *Tranquebar-Westland*, 2014

Chapters in Edited Volumes

- i. Nehru's Tribal Panchshila Vision. For Nehru Centre Mumbai, February 2014
- ii. Sharing the Indus for Asia-Pacific University, Japan and Rajaratnam Institute for International Affairs, Nanyang Technical University, Singapore, February 16, 2014,

Policy and Advisory Committees

Was with three World Bank study groups on resolving conflicts in the Indus, Ganges and Barhamaputra basins with the international basins partners – Pakistan, China, Nepal, BD and Bhutan.

Awards and Other Honours and Accomplishments

Awarded by the UN Brahma Soldier of Humanity Award, 2013 by the (Bodo) UN Brahma Trust in Guwahati, July 13, 2013.

15. During the year under review, **Mr. Ramaswamy Iyer**, Honorary Research Professor, was involved in the following research and allied activities:

Conferences/Seminars/Talks

- i. Papers on India-Pakistan Water Relations presented at (i) a Conference at Bangkok organised by the Atlantic Council, Washington DC, 23-27 August 2013, and
- ii. Attended a meeting of Parliamentary Delegations, Islamabad, 31 Aug - 5 Sep 2013.
- iii. Lecture on the Evolution of the National Water Policy, TERI university, 24 October 2013

- iv. Contribution to a Curriculum Development programme for a proposed M Tech programme at TERI U, 24 January 2014.

Chapters in Edited Volumes

- i. 'Multiple Perspectives on Water: A Synthesis' in *Natural Resources: Technology, Economics and Policy*, ed. U. Aswathanarayana, CRC Press, Taylor and Francis Group, London, 2012, pp.56 – 65.(actually received in 2013).
- ii. 'Perspectives in Sharing Inter-State and International Water' in *Inter-State & International Water Disputes*, ed. P. Ishwara Bhat, Eastern Book Company, Lucknow, 2013, pp. 3-14.
- iii. 'Virtual Water: Some Reservations' in *Global Water: Issues and Insights*, ed. R. Quentin Grafton, Paul Wyrwoll, Chris White and David Allendes, Australian National University Press, 2014, pp 183-188.

Articles in peer-reviewed journals

- i. 'Language and Grammar: Some Reflections on Chomskyan Linguistics' in the *Journal of the Indian Council of Philolosophical Research*, New Delhi, July-Sept 2011, Vol. XXVIII, No. 3, 55-88. (actually received in 2013)
- ii. 'Chomsky and Wittgenstein: A Short Reflection', *Economic and Political Weekly*, 2 Nov. 2013, Vol. XLVIII, No. 44, pp 23-25. (I don't know whether EPW is regarded as a peer-reviewed journal, but in this case, my article was actually peer-reviewed before publication.)
- iii. 'The Story of a Troubled Relationship', *Water Alternatives*, Vol. 6, Issue 2, pp 168 – 176.

Other Articles

- i. 'Cauvery Dispute: A Lament and a Proposal', *EPW*, March 30, 2013, Vol XLVIII, No 13, pp. 48-53.
- ii. 'Mullapperiyar: Missing The Point', *EPW*, Vol. XLIX, No. 7, 15 February 2014, p. 78.

Policy and Advisory Committees

- i. Member of the Planning Commission's Steering Committee on Water for the 12th plan (completed);
- ii. Member, UNSGAB High Level Expert Panel on Water and Disaster (2008-2013);
- iii. Member, Advisory Council, India International Centre;
- iv. Member, National Advisory Committee, Hindustan Unilever Foundaton.

Awards and other honours and accomplishments

- i. Felicitation Function and Seminar in honour of Prof. Ramaswamy R. Iyer, organised by CPR, Water Conflict Forum, Pune, and ARGHYAM, Bangalore, 25 - 27 November 2013.
- ii. Awarded Padma Shri by the Government of India, 26 January 2014, Investiture 31 March 2014
16. During the year under review, **Dr. Rajiv Kumar**, Senior Fellow was involved in the following research and allied activities:

- i. Contributed to more than 40 columns in newspapers and periodicals

Macro-Economic Updates

- i. "Is the Fiscal Consolidation Achievable?" - 21 February 2014
- ii. "Growth yet to Bottom Out and Remains at Sub-5%" - 6 March 2014
- iii. "CPI Inflation Eased to 8.1% in February. 14" - 18 March 2014

Other Work Done

- i. Research project on "India and her Neighbours"-Funded by the World Bank
- ii. "The SARCist"- Funded by the Asia Foundation

- iii. “China Studies Centre” – Proposal submitted to (and approved in principle) Ministry of Commerce and Industry, Government of India.
- iv. Member of World Bank Vision Group for South Asia

Honorary Appointments

- i. Nominated by Government of India to Board of Governors – Economic Research Institute of ASEAN and ASIA, (ERIA), Jakarta
 - ii. Nominated by Government of India to Central Board of Directors of the State Bank of India, Mumbai
 - iii. Nominated by Government of India to Board of Directors – Indian Institute of Foreign Trade, New Delhi
17. During the year under review, **Mr. Shyam Saran**, Senior Fellow, was involved in the following research and allied activities:

Conferences/Seminars/Workshops

- i. Chief Guest and delivered an Inaugural and Keynote Address at the National Seminar on Sustainability: Issues, Challenges and Path Forward at Tagore Chamber, New Delhi on 15 March, 2013.
- ii. Participated in Track-II Discussion – India, USA & China organised by the Centre for Policy Research, New Delhi at Villa Medici, Hotel Taj Mahal, Mansingh Road on 29-30 March 2013.
- iii. Participated in the Panel Discussion on Security India’s Neighbourhood, in Symbiosis IRGAmag (Indian Review of Global Affairs – web magazine) at Hotel Ashoka, New Delhi, on 1 April 2013.
- iv. Delivered a lecture on India’s Perspective on Afghanistan-Pak Post 2014 in the Conference on Afghanistan held in Boston from 4-12 April 2013.
- v. Panelist on Leadership Perspective: Policy Transformation and the Transition to Clean Energy in Global Summit: Emerging Market Leadership for Global Green

Growth at Ashok Hotel, New Delhi organised by Confederation of Indian Industry & B4E on 16 April 2013.

- vi. Delivered a Guest Keynote lecture on “Statecraft and Strategy to Takshashila public policy students”, organised by the Takshashila Institution on 24 April 2013.
- vii. Delivered a lecture on “Is India’s Nuclear Deterrent Credible” in Gulmohar Hall, India Habitat Centre, New Delhi on 24 April 2013.
- viii. Talk on Non-Alignment 2.0 – Reasserting Strategic Autonomy in India’s Foreign Policy Options at National Defence College, on 25 April 2013
- ix. Chaired the lecture by H.E. Mr. Pushpa Kamal Dahal, former Prime Minister of Nepal on India-Nepal Relations: Vision for the Next Decade at Sapru House, organised by ICWA on 29 April 2013
- x. Panelist in the panel on “Change of Perspectives: Opportunities and Challenges in East Africa – seen from Asian, African and European Viewpoints” in the Conference – “Perspectives of China, India and Germany in East Africa” held in Berlin from 23-25 June 2013.
- xi. Delivered a talk on From Dhyana to Chan to Zen: An Incredible Spiritual Journey to be chaired by Mr. Rajiv Mehrotra, Author, Filmmaker & Secy/Trustee Foundation for Universal Responsibility of HH The Dalai Lama at IHC on 27 July 2013.
- xii. Delivered 18th Prem Bhatia Memorial Lecture, 2013 on “Navigating an Altered Landscape: Finding India’s Place in a Changing World” at IIC, on 11 August 2013.
- xiii. Delivered an address on Ideational Drivers of India’s Look East Policy on the occasion of Launch of Report “ Asia’s Arc of Advantage: India, ASEAN and the US: Shaping Asian Architecture” organised by ICRIER at IHC, Lodhi Road on 30 August 2013
- xiv. Delivered a keynote address and chaired the DGC Security Conclave “Security Challenges confronting re-emerging India” by Delhi Gymkhana Club on 3 September 2013.

- xv. Delivered a lecture on India's world view through the ages, at Independence and Today, at FSI on 10 October 2013.
- xvi. Delivered a lecture on Towards an Indian Ocean Community: Creating a Platform for Cooperation in a transforming global landscape in the Mahatma Gandhi Memorial Lecture held at Mauritius from 13-16 October 2013.
- xvii. Delivered a talk on Nalanda ... Past and Future The Inner Science Curriculum by Prof. Robert Thurman at Seminar Room 2 & 3, Kamladevi Block, IIC jointly org. by Ahimsa Trust and Buddhapath and IIC on 26 October 2013.
- xviii. Made presentation at the Roundtable on Bangladesh at IIC organised by Commodore Uday Bhaskar on 7 November 2013.
- xix. Delivered an address at the eleventh HT Leadership Summit, Session on *Catching Up With The Dragon* at Taj Palace Hotel on 8 December 2013.
- xx. Made presentation at the Roundtable on "Whither Non-Alignment?" organised by School of International Studies, JNU at JNU Convention Centre, Auditorium II on 11 December 2013.
- xxi. Delivered an address in the first session on "A Thematic Overview" in Conference on Development Partnership organised by the Symbiosis Institute of International Studies, Pune on 12-16 December 2013.
- xxii. Delivered an Inaugural Address on National Seminar on "India's Nuclear Strategy" organised by Centre for Air Power Studies at Airforce Auditorium, Centre for Air Power Studies, on 19 December 2013.
- xxiii. Panelist at the launch of book "Punjabi Parmesan: Dispatches from a European in Crisis" hosted jointly by Penguin and CII, at Nilgiri Hall, The Oberoi on 20 December 2013.
- xxiv. Lead Panelist at a Brookings India panel discussion on South Asia's Role in the Emerging Global Order at Friends Colony West on 14 January 2014.
- xxv. Panelist in XIX US India Strategic Dialogue and the IV US India Track II Dialogue on Climate Change and Energy org. by Ananta Aspen Centre at Harvard held

in Boston on February 15-16, 2014 jointly organised by the Harvard Business School and the Harvard Kennedy School of Government.

- xxvi. Panelist in Panel One: “China-India Bilateral Relations: What Does the Future Hold” in the 3rd Regional Security Roundtable organized by National University of Singapore held in Singapore from 23 February – 2 March 2014.
- xxvii. Moderator in Session 2: Role of North East India in India’s Look-East Policy: Growing Significance of India’s Northeast in the New Phase of India’s Look East Policy organised by IDSA on 7 March 2014.
- xxviii. Delivered the Friday Forum Lecture on Climate Change: Why Should Bhutan Worry, at the Royal Institute for Governance & Strategic Studies at Bhutan organised by the Bhutanese Embassy on 28-29 March 2014.

Chapter in edited volumes

“India and Multilateralism: A Practitioner’s Perspective”, *Shaping The Emerging World: India and the Multilateral Order*, edited by Pratap Bhanu Mehta, Waheguru Pal Singh Sidhu, Bruce Jones, Brookings Institution Press, 2013

Articles in peer-reviewed journals

- i. “Is India’s Nuclear Deterrent Credible-I” , in *Bulletin*, Vol. 17, No. 5, June 2 2013.
- ii. “Quantitative Easing: Impact on Emerging and Developing Economies”, in *Inter Press Service*, June 2013.
- iii. “Help Shariff to help India”, *The Week*, May 2013
- iv. “Situation at Line of Control and Relations with Pakistan”, in *Halchal*, March 2013.

Policy and Advisory Committees

Chairman, National Security Advisory Board
Independent Director, WIPRO, Indian Oil and ONGC (V)
Member, Board of Trustees, WWF (India)

Co-Chair, ASEAN-India Eminent Persons' Group
Member, Aspen Group on India-US Relations
Member, India-China-US Trilateral
Member, Steering Committee on Clean Cookstove
Member, National Civil Service Awards Committee
Member, PSA's Group on the National Clean Coal Mission
Member, Global Zero (on nuclear disarmament).

Interviews

Interview by Dr. Shekhar Shah, DG, NCAER at RIS on 25 March 2014.
Interview by Mr. Artem Sanzhiev, Chief of Delhi Bureau, Rossiyskaya Gazeta Russian Govt. Delhi, on G-20.
Interview by Ms. Elizabeth Roche, Mint Newspaper at RIS on 5 June 2013
Interview on understanding the geo-strategic impact of the growing international stature of various Indian enterprises by Mr. Tanmoy Goswami, Senior Editor, *Fortune India*

18. During the year under review, **Dr. Geetanjali Chopra, Fellow**, was involved in the following research and allied activities:

Seminars/Workshops/Conferences

- i. Training module on Conduct of Peace Support Operations organised by International Institute of Humanitarian Law from 17-21 June 2013 in Italy
 - ii. Asia Development Dialogue organised by Oxfam on 30 August 2013 in Thailand
19. During the year under review, **Ms. Namita Wahi, Fellow**, was involved in the following research and allied activities:

Seminars/Workshops/Conferences

- i. The Right to Property and Economic Development in India organised by Chr. Michelsen Institute, Post Mote on 20 August 2013 in Bergen
- ii. Recorded a talk on the new land acquisition law organised by mylaw.net on 26 September 2013 (online)

- iii. The Right to Property and Economic Development in India organised by Chr. Michelsen Institute, Rights Cluster Meeting on 2 October 2013 in Bergen
- iv. Presented research project on “Land Rights and Inclusive Development in India” at University of Bergen on 8 October 2014
- v. The Right to Property and Economic Development in India organised by Norwegian Centre for Human Rights on 10 October 2013 in Bergen
- vi. Organised and moderated a panel discussion on “Land Rights, Environment Protection and Inclusive Development in India” in Meghalaya organised by Chr. Michelsen Institute, Bergen on 17 October 2013 in Bergen
- vii. Organised a two day conference + workshop for the project on “Land Rights, Environment Protection and Inclusive Development in India” on the states of Gujarat, Andhra Pradesh and Meghalaya 16-17 January 2014 at India International Centre, New Delhi
- viii. Litigating the Right to Health: Can Courts Bring Justice to Health? (With Professor Siri Gloppen) organised by the Centre for Policy Research, New Delhi on 30 January 2014
- ix. Agrarian Reform and the First Amendment organised by University of Pennsylvania Law School on 18-19 April 2014 in Philadelphia
- 20. During the year under review, **Dr. Ambrish Dongre, Fellow**, was involved in the following research and allied activities:

Conferences/Seminars/Workshops

- i. Can Conditional Cash Transfers Impact Institutional Deliveries?, organised by Dept. of Economics, Shiv Nadar University on 13 April 2013 at Shiv Nadar University, Uttar Pradesh
- ii. Taught a module on ‘Education Financing’ in the course ‘Economics of Education’ for Masters level student, alongwith Avanai Kapur organised by Azim Premji University, Bangalore from 23-25 April 2014 at Azim Premji University, Bangalore

- iii. How Much Does India Spend on Elementary Education? organised by Indian Association for Research in National Income and Wealth on 6 March 2014 Mahatma Gandhi Labour Institute, Ahmedabad
- iv. Do Private Tutions Improve Learning Outcomes? Evidence from India, organised by Research & Publications Dept., Indian Institute of Management, Ahmedabad on 7 March 2014 at Indian Institute of Management (Ahmedabad)

Articles in Peer Reviewed Journals

How is Janani Suraksha Yojana performing in Backward Districts of India? with Avani Kapur, *Economic and Political Weekly*, Vol. XLVIII; No. 42, October 2013

Articles in Non-Reviewed Periodicals (Newspapers, Magazines, Blogs etc.)

- i. DLHS 4 won't include 9 Low Performing States, Accountability Initiative Blog, May 2013
- ii. Trends in Maternal Mortality, Accountability Initiative Blog, June 2013
- iii. Is the Ban on Private Tutoring by School Teachers justified?, Accountability Initiative Blog, September 2013
- iv. Conditionally Yours: Cash Transfers and School Attendance in Bihar with Shailey Tuckar, Accountability Initiative Blog, January 2014
- v. Do Private Tutions Improve Learning Outcomes? With Vibhu Tewary, Accountability Initiative Blog, February 2014

Policy Brief & Other Reports

PAISA District Surveys: Mid Day Meal Scheme (2012), Accountability Initiative Team, June 2013

ACTIVITIES OF RESEARCH ASSOCIATES

The Research Associates of CPR were involved in the following research and allied activities during the year 2013-14

1. Ms. Anu Jogesh, Senior Research Associate

Workshops/Seminars/Conferences

- i. State Action Plans on Climate Change in India: Framing, processes, and drivers: Roundtable Workshop organised by the CPR on 27 April 2013 at CPR.
- ii. Made presentation of draft report titled, 'A study of State Action Plans on Climate Change in India and their implications for mainstreaming climate and sustainable development policies at the sub-national level.' at the Thematic Workshop of Indo-European Multilevel Climate Governance Research Network organised by Jadavpur University from 20-22 November 2013 in Kolkata
- iii. Made presentation on experiences of climate plans in five Indian states at the State Consultation on Bihar State Action Plan on Climate Change organised by Pairavi on 14 December 2013 in Patna
- iv. From Margins to Mainstream? State Climate Change Planning as a 'Door Opener' to a Sustainable Future: panel discussion and Release Event organised by the Centre for Policy Research on 17 February 2014 at IIC, New Delhi
- v. Presentation on, 'Raising Ambition in sub national Policies (SAPCCs); Role for CSOs.' at the strategic meeting of the climate group Beyond Copenhagen organised by Pairavi on 21 February 2014 in New Delhi
- vi. Presentation of paper titled, 'State-led experimentation or centrally-motivated replication? A study of State Action Plans on Climate Change in India' at Indian-European Climate Governance Research Network: Berlin Publication Workshop organised by Freie Universität Berlin on 12-13 April 2013 in Berlin

Chapters in Edited Volumes

Country Studies: India with James Painter in *Climate Change in the Media: Reporting Risk and Uncertainty* edited by James Painter, I.B.Tauris & Co. Ltd in association with the Reuters Institute for the Study of Journalism, University of Oxford, 2013

Articles in Non-Reviewed Periodicals

Time to Dust of the Climate Plan?, *Economic and Political Weekly*, December 2013

Policy Briefs and Other Reports

- i. Mainstreaming Climate Change in State Development Planning: An Analysis of the State Strategy and Action Plan on Climate Change, Himachal Pradesh with Navroz K. Dubash, February 2014
- ii. Mainstreaming Climate Change in State Development Planning: An Analysis of Karnataka's Action Plan on Climate Change with Navroz K. Dubash, February 2014
- iii. Mainstreaming Climate Change in State Development Planning: An Analysis of the Madhya Pradesh State Action Plan on Climate Change with Navroz K. Dubash, February 2014
- iv. Mainstreaming Climate Change in State Development Planning: An Analysis of the Odisha Climate Change Action Plan with Navroz K. Dubash, February 2014
- v. Mainstreaming Climate Change in State Development Planning: An Analysis of the Sikkim Action Plan on Climate Change with Navroz K. Dubash, February 2014
- vi. From Margins to Mainstream? State Climate Change Planning in India as a 'door-opener' to a sustainable future with Navroz K. Dubash, February 2014

Policy and Advisory Committees

Green Growth Best Practice (GGBP) Synthesis Report as Chapter Reviewer, September to December 2013

2. Mr. Prakhar Jain, Research Associate

Seminars/Workshops/Conferences

“Addressing Delhi’s Water Supply Problems: Taking Lessons from Singapore’s Water Management Experience” at the India Water Week, New Delhi, 2013 organised by Ministry of Water Resources, Government of India, April 2013, New Delhi

Articles in Peer-Reviewed Journals

Assessing the Potential Role of Inland Water Navigation for Green Economy with Nidhi Nagabhatla, *Journal of Environmental Professionals Sri Lanka*, Vol. 2 Num. 1, 2013

Policy Briefs and Other Reports

- i. Indonesia’s Urban Sanitation Approach: Lessons for India with Shubhagato Dasgupta, Policy Brief Series, March 2014
- ii. India in the World: Benchmarking Progress in Urban Sanitation Performance with Shubhagato Dasgupta, Policy Brief Series, March 2014
- iii. Case Study of Sanitation in Satara Nagar Palika with Anjali Chikersal, Issues for Discussion Series, March 2014

3. Ms. Shahana Sheikh, Research Associate

Articles in Peer-Reviewed Journals

“Glaring Loopholes: Delhi Government’s Guidelines for Rehabilitation/ Resettlement of Slum-Dwellers” with Subhadra Banda, *Economic and Political Weekly*, Web Exclusive, Vol - XLIX No. 4, 25 January 2014

4. Ms. Shibani Ghosh

Chapter in Edited Volumes

The Central Information Commission: A ‘Nuts and Bolt’ Analysis in Right to Information: for Inclusion & Empowerment, RTI Fellows’ Reports, Department of Personnel and Training Ministry of Personnel, Public Grievances & Pensions Government of India, 2013

Articles in Peer-Reviewed Journals

Case Note: Access to Information as Ruled by the Indian Environmental Tribunal: Save Mon Region Federation v. Union of India, *Review of European Community and International Environmental Law*, 22 (2), p. 202-206, 2013

5. Ms. Vyoma Jha, Research Associate

Seminars/Workshops/Conferences

- i. Tracing the Vertical Inter-Relations between National and International Institutions within the Climate and Trade Regime Complex, Ninth Viterbo Global Administrative Law Seminar on 14 June 2013 in Viterbo, Italy
- ii. India's Twin Concerns of Energy Security and Climate Change through the Investment Treaty Lens, Fourth Biennial Conference of the Asian Society of International Law on 15 November 2013 in New Delhi
- iii. Revisiting India's Investment Treaties through a Sustainable Development Lens, 4th Winter Course on Trade and Investment Laws organised by the Indian Society of International Law on 20 December 2013 in New Delhi

Articles in Peer-Reviewed Journals

- i. International Investment Treaty Implications for the Indian Position on Nuclear Liability, *Journal of Risk Research*, Vol.17 Issue 1, 81-95, 2014
- ii. Keeping up with the changing climate: The WTO's evolutive approach to answer the trade and climate conundrum, *Journal of World Investment and Trade*, Vol. 15, Issue 1-2, 245-271, 2014

Articles in Non-Reviewed Periodicals

India's Twin Concerns over Energy Security and Climate Change: Revisiting India's Investment Treaties through a Sustainable Development Lens, *Trade, Law and Development* [Vol. 5, No. 1, 109-149], 2013

6. Ms. Subhadra Banda, Research Associate

Articles in Peer-Reviewed Journals

“Glaring Loopholes: Delhi Government’s Guidelines for Rehabilitation/ Resettlement of Slum-Dwellers” with Shahana Sheikh, *Economic and Political Weekly*, Web Exclusive, Vol - XLIX No. 4, 25 January 2014

7. Mr. Sandeep Bhardwaj

Chapter in Edited Volumes

- i. Security in Cyberspace: India’s Multilateral Efforts in Shaping the Emerging World: India and the Multilateral Order, edited by Pratap Bhanu Mehta, Waheguru Pal Singh Sidhu, Bruce Jones, Brookings Institution Press, 2013.

Seminars/Workshops/Conferences

Made a presentation on Revisiting IPKF: Decisions Points at Kings College, London on 14 March 2014 under UKERI Fellowship.

8. Ms. Sonum Gaytri Malhotra, Research Assistant

Articles in Peer-Reviewed Journals

The Tribal Autonomy Undermined, *The Economic & Political Weekly*, March 2014

Articles in Non-Reviewed Periodicals

- i. Book Review - When a billion Chinese jump, *Governance Now*, 18 February 2013
- ii. ‘Tribals turn extremists because states are too busy making money from land’, *Down to Earth*, June 2013

LIBRARY AND INFORMATION & DISSEMINATION SERVICES

During the year 2013-14, 246 books were added to the library of the Centre. The acquisition programme of the library was mainly restricted to books relating to subjects such as Policy Sciences, Economic Policy, Urbanisation, Political Science, Futurology, Social Indicators, Foreign Policy, Defence and other fields of relevance to Research Programmes of the Centre.

The CPR library has a collection of a total of 10702 books after weeding a few old books. The library subscribed to 44 journals, and received gratis 40 periodicals during the year. These cover major policy fields of concern to scholars at CPR. In addition to these, 18 daily newspapers are being received in the library.

The library continued to be a member of the Developing Library Network (DELNET), New Delhi. One Samsung SCX 4521F multifunctional fax machine and email service are being used extensively for communication and information retrieval purposes. One HP Elite 8300 having latest configuration is being used by CPR faculty/researchers.

With the help of RICOH AFICIO MP 4000 B Digital Plain Paper Copier with Reverse Automatic Document Feeder, Duplexing for Automatic Back to Back copying, Set making, Sorting, Rotate sorting, 25%- 400% Zoom with A-3 Size Network Laser Printer and Scanner, and 40 GB Hard Disc for document server, better and efficient reprographic facilities were provided to researchers and other staff of the Centre.

For other material and publications, the CPR library depends upon the services of 20 libraries of various academic and other research institutions in Delhi which have been generous enough to lend their books and journals for the Centre's use on the principle of reciprocity.

COMPUTER UNIT'S ACTIVITIES

During the year under report the following activities were undertaken by the Computer Centre.

1. Hardware and Software systems procured and installed
 - i. To prevent unauthorized access to the Centre's Wi-Fi, a wi-fi access controlling device of Ruckus, Zone Director 1100 was installed. Now, after its installation only registered users can logon to the network. Three wi-fi access points, zone flex 7343 were upgraded with zone flex 7982 access points, which are faster and have wider reach.
 - ii. To manage and prevent undesirable content filtering into the network, license of Sonicwall firewall NSA240 was renewed for one year.
 - iii. To provide higher internet speed the internet bandwidth from Airtel was upgraded from 4 mbps to 8 mbps.
 - iv. To continue with mass mailing facility, the subscription of QLC Pvt Ltd. was renewed for one more year.
 - v. Six desktop computers of HP make, twenty nine notebooks of different makes and configurations and one HP laser printer was purchased.
 - vi. Four Academic licenses of acrobat professional 11 were purchased.
2. Programmes were developed/modified as per requirement and data processing (including scanning of 2179 answer sheets) of post examination work of one admission test of ET&PPR Unit was conducted and result finalised.
3. Maintenance of CPR website including content uploading and modifications was looked after. System support services were provided for presentations related to seminars and conferences held at the centre during the year. Maintenance and up gradation of hardware such as networking switches, wi-fi access points, computers, printers & uninterrupted power supplies and software of the Centre's computers were carried out as per requirement. Obsolete hardware (17 PC's, 12 Printers, 7 laptops, & 8 UPS) was disposed of.

RESEARCH AND ADVISORY SERVICES

Educational Testing and Personnel Policy Research (ET & PPR) Unit

During the year under review, **Dr. K.P. Garg**, Consultant undertook the activities for the Educational Testing and Personnel Policy Research (ET&PPR) Unit by developing the selection test papers of Junior Assistant on behalf of Government of India organization and the entrance test papers on behalf of educational institution for their PG Course.

GRANTS

The CPR received the following grants from the ICSSR during the financial year 2013-14 (in lakhs):

1.	Recurring grant (Non Plan)	Rs. 67.00
2.	Recurring grant (Plan)	Rs. 40.00
	Total	<u>Rs.107.00</u>

The CPR gross corpus fund now stands at Rs.810.82 lakh. CPR's gross receipts (including specific project receipts) during the year were Rs. 1480.18 lakh. ICSSR recurring grant is 7.23% of CPR's gross receipts during the year.

A number of agencies and Government departments as named below continued their support to the Centre during the year:

List of Project Grantors

1. IDRC, Canada
2. Bill and Melinda Gates Foundation, USA
3. William & Flora Hewlett Foundation, USA
4. Ford Foundation, USA
5. Oak Foundation
6. The Asia Foundation, USA
7. Centre for International Governance Innovation
8. NAMATI Inc., USA
9. The New School, USA
10. Economic & Social Research Council, UK
11. Ministry of Urban Development, Government of India,
12. CH. Michelsen Institute, Bergen, Norway
13. Japan Centre for Economic Research, Japan
14. UNDP
15. UNOPS
16. Indian Council of Social Science Research, New Delhi

Tax Exemption for Donations to CPR

CPR has been approved u/s 35(1)(iii) of the Income Tax Act 1961 w.e.f. April 1 ,2005 which entitles the Donor under the present Income Tax Laws a weighted deduction @ 125% of the amount of donation. CPR has also been approved u/s 80G(5) (vi) of the Income Tax Act, 1961 for the period from 1.4.2011 onwards which entitles the donor 50% tax deduction of the net qualifying amount.

CPR FACULTY AND STAFF

(As on 31 March 2014)

FACULTY

Professors

- | | |
|---|----------------|
| 1. Pratap Bhanu Mehta
Ph.D.(Princeton University) | August 2004 |
| 2. Brahma Chellaney
Ph.D. (Jawaharlal Nehru University) | July 1993 |
| 3. Bharat Karnad
M.A. (University of California) | April 1996 |
| 4. Bibek Debroy
M.Sc (Trinity College - University of Cambridge) | March 2007 |
| 5. Lavanya Rajamani
D.Phil (University of Oxford) | September 2006 |

Professor Emeritus

- | | |
|--------------------------------------|----------------|
| 6. Charan Wadhva
Ph.D (Yale, USA) | September 2005 |
|--------------------------------------|----------------|

Honorary Research/Visiting Professors

- | | |
|--|--|
| 7. K.C. Sivaramakrishnan, IAS (Retd)
M.A., BL (Law) (Madras) | |
| 8. Ramaswamy R. Iyer, IAAS (Retd)
M.A (University of Bombay) | |
| 9. Subhash C Kashyap
M.A., LLB., Ph.D. (Allahabad University) | |
| 10. Ved Marwah, IPS (Retd) | |

CENTRE FOR POLICY RESEARCH

11. Ajit Mozoomdar, IAS (Retd)
D.Phil (Oxon), Bar-at-Law
12. K R G Nair
Ph. D. (Delhi School of Economics)
University of Delhi
13. V.K. Nayar Lt. Gen. (Retd)
M.Sc. (Defence Studies, University of Madras)
14. R. Rangachari
B.E. (Hons), Anna University
15. B.N. Saxena
M.D (Lucknow University); F.A.M.S.
16. Sanjib Baruah
Ph.D.(University of Chicago)
17. Sanjoy Hazarika
Diploma in Journalism (London School of Journalism)
18. G. Parthasarathy
B.E. (University of Madras)
19. B.G. Verghese
BA (Hons.) (Delhi and Cambridge Universities)

Associate Professors

20. Nimmi Kurian
Ph.D. (Jawaharlal Nehru University)

Senior Fellows/Senior Visiting Fellows/Fellows

21. Partha Mukhopadhyay
Ph.D. (New York University)
Senior Fellow
February 2006
22. Shylashri Shankar
Ph.D. (Columbia University, New York)
Senior Fellow
February 2006

CENTRE FOR POLICY RESEARCH

- | | | |
|-----|--|----------------|
| 23. | Yamini Aiyar
Senior Fellow | May 2008 |
| 24. | Srinath Raghavan
Senior Fellow | August 2009 |
| 25. | Rajiv Kumar
Senior Fellow | January 2013 |
| 26. | Ramesh Chandran
Senior Fellow | November 2012 |
| 27. | Shubhagato Dasgupta
Senior Fellow | March 2013 |
| 28. | Manju Menon
Project Director | June 2012 |
| 29. | Jishnu Das
Ph.D. (Harvard University)
Sr. Visiting Fellow | January 2007 |
| 30. | Navroz Dubash
Ph.D. (University of California, Berkeley)
Senior Fellow | July 2009 |
| 31. | Shyam Saran
Senior Fellow | May 2010 |
| 32. | Michael Walton
Sr. Visiting Fellow | September 2007 |
| 33. | Phillipe Cullet
Sr. Visiting Fellow | |
| 34. | Rani Mullen
Senior Visiting Fellow | |
| 35. | Shyam Babu
Senior Fellow | March 2012 |
| 36. | Anjali Chikersal
Senior Fellow | May 2013 |

37. Sushil Aaron
Director (PR and Communication) July 2013
38. Rajshree Chandra
Post Doctoral Fellow
39. Ambrish Dongre
Fellow
40. Geetanjali Chopra
Fellow
41. Namita Wahi
Fellow
42. Radhika Khosla
Fellow

Sr. Research Associates/Research Associates/ Analysts /Coordinators

43. Geetima Das Krishna
Senior Researcher
44. Amee Mishra
Senior Research Analyst
45. Srinivas Chokkakula
Senior Research Associate
46. Shibani Ghosh
Senior Research Associate
47. Anu Jogesh
Senior Research Associate
48. Arundhati Maiti
Research Associate
49. Kanhu Charan Pradhan
Research Associate
50. Avani Kapur
Research & Program Analyst

51. Shailey Tucker
Research Associate
52. Subhadra Banda
Research Associate
53. Sandeep Bhardwaj
Research Associate
54. R Seetharaman
Research Associate
55. Aishwarya Panicker
Research Analyst
56. Varsha Bhaik
Research Associate
57. Vibhu Tiwari
Research Analyst
58. Mehjabeen Jagmag
Research Analyst
59. Pranav Sidhwani
Research Associate
60. Sanskriti Jain
Research Associate
61. Sukanya Natarajan
Research Associate
62. Stanzin Yumchen
Consultant
63. Shahana Sheikh
Research Associate
64. Sama Khan
Technical Associate
65. Hemant Shivakumar
Research Associate

- 66. Kailash Prasad
Research Associate
- 67. Varun Srikanth
Research Associate
- 68. Vyoma Jha
Research Associate
- 69. Diya Dutta
Research Associate
- 70. Neha Joseph
Research Associate
- 71. Preeti Venkatram
Research Associate
- 72. Sushrita Roy
Research Associate
- 73. Meenakshi Kapoor
Program Manager
- 74. Mahabaleshwar Hegde
Program Manager
- 75. Pallav Shukla
Researcher (PT)

Research Assistants etc.

- 76. Bhanu Joshi
Research Assistant
- 77. Bijendra Kumar Jha (PT)
Research Assistant
- 78. Ram Pravesh Shahi
Research Assistant (PT)
- 79. Sonam Gayatri Malhotra
Research Assistant

80. Vimal Kalavadiya
Field Coordinator
81. Amandeep Singh
Research Assistant
82. Swetha Murali
Research Assistant
83. Jessy Thomas
Project Assistant (PT)

Administration, Accounts, IT, Communications & Other Services

1. L. Ravi
B.Sc. (Nagarjuna University)
PGDIRPM (Bharatiya Vidya Bhawan)
HDSM (NIIT)
Chief,
Administrative Services
2. Ajay Nayyar
M.Sc., PGD in Computer Science
(Kurukshetra University)
Senior System Analyst
3. Ben Mandelkern
Chief of Communications
4. Jagmohan Chander
B.Sc (University of Agra)
Administrative
Officer (Admn. & Public
Relations)
5. Pradeep Khanna
B.Com (University of Delhi)
Chief Accounts Officer
6. Vivek Bhargava
B.A. (University of Delhi)
Assistant Administrative
Officer
7. M.C. Bhatt
B.Com (Kumaon University)
Accounts Officer
8. Ramesh Kumar
B.Com. (University of Delhi)
Accounts Assistant
9. V.K. Tanwar
M.Sc. (Kurukshetra University)
Assistant System Analyst

CENTRE FOR POLICY RESEARCH

- | | | |
|-----|---|--------------------------------|
| 10. | Dinesh Chandra | Senior Supervisor |
| 11. | Shiv Charan | Senior Supervisor |
| 12. | Y.G.S. Chauhan
Certificate Course in Library Science | Assistant Librarian |
| 13. | Sunil Kumar
B.A. (Hons.) (University of Delhi)
PGDPR (Bharatiya Vidya Bhawan) | Associate to President |
| 14. | Pramod Kumar Malik
B.A. (University of Delhi) | Associate to President |
| 15. | Sonia Bhutani Gulati
B.Com (University of Delhi)
MBA (University of Pune)
B.LIS (Annamalai University) | Public Relations Associate |
| 16. | Vinod Kumar
M.A. (Meerut University) | Deputy Supervisor |
| 17. | Sarala Gopinathan | Secretarial Assistant |
| 18. | Sumit Chaudhary | Administrative Assistant |
| 19. | Satnam Kaur | Finance and
Admn. Associate |
| 20. | Pranika Kaur Khurana | Personal Secretary |
| 21. | Ajit Kumar Misra | Finance and
Admn. Associate |

Other Supporting Staff

- 22. Ram Bahadur
- 23. Ranjit Singh
- 24. Poona Ram
- 25. Gajendra Sahu

CENTRE FOR POLICY RESEARCH
BALANCE SHEET AS AT 31ST MARCH, 2014

Amount in Rs.

FUNDS AND LIABILITIES	Sch	As on 31.3.2014	As on 31.3.2013
CORPUS FUND	1	8,03,82,405.10	8,03,82,405
CAPITAL FUND (ASSETS)	2	1,66,83,315.17	1,45,44,720
CAPITAL RESERVE		44,08,025.46	44,08,025
ENDOWMENT FUND		7,00,000.00	7,00,000
RESERVE FOR CONTINGENCIES		1,02,00,000.00	1,02,00,000
UNSPENT BALANCES IN SPECIFIED PURPOSES/ PROJECTS	3	16,28,82,053.80	16,06,24,257
GRANT - NATIONAL KNOWLEDGE COMMISSION	4	9,91,895.02	9,09,713
PROVISIONS	5	1,25,22,451.00	1,62,51,076
INCOME AND EXPENDITURE ACCOUNT		1,68,66,102.15	1,39,04,033
CURRENT LIABILITIES	9	5,40,392.00	16,89,994
TOTAL		30,61,76,639.70	30,36,14,223
PROPERTY & ASSETS			
FIXED ASSETS	6		
Gross Block		3,00,88,149.31	2,91,67,193
Less: Accumulated Depreciation		<u>2,18,00,046.38</u>	<u>82,88,102.93</u>
			2,18,63,002
INVESTMENTS	7	27,86,38,031.00	27,47,31,821
(including Corpus Fund Investments)			
CURRENT ASSETS, LOANS AND ADVANCES:	8		
Stock of Special Stationery	8(a)	2,80,818.00	2,80,818
Cash and Bank Balances	8(b)	1,42,13,397.76	1,16,10,338
Advances recoverable/ adjustable	8(c)	<u>47,56,290.01</u>	<u>1,92,50,505.77</u>
			96,87,055
			2,15,78,211
TOTAL		30,61,76,639.70	30,36,14,223

Accounting policies and notes on accounts 10

For and on behalf of
CENTRE FOR POLICY RESEARCH

AS PER OUR REPORT OF EVEN DATE.

FOR V.SANKAR AIYAR & CO.

CHARTERED ACCOUNTANTS

(Firm's Registration No. 109208W)

Sd/-

(M.S.BALACHANDRAN)

PARTNER (M.No. 24282)

Sd/-

(PRATAP BHANU MEHTA)

PRESIDENT

Sd/-

(PRADEEP KHANNA)

CHIEF ACCOUNTS OFFICER

Sd/-

(L RAVI)

CHIEF - ADMINISTRATIVE SERVICES

PLACE: NEW DELHI

DATED: 03-09-2014

CENTRE FOR POLICY RESEARCH
INCOME AND EXPENDITURE ACCOUNT FOR THE YEAR ENDED 31ST MARCH, 2014

		<i>Amount in Rs.</i>	
INCOME	2013-14	2012-13	
Educational Testing & Exam Contribution (Net)	996.00	1,88,11,331	
Grant-in-Aid from ICSSR	1,07,00,000.00	1,54,00,000	
Interest on Investments:			
Endowment and corpus Interest	28,55,418.00	17,64,809	
Dividend income on Corpus	1,44,488.40	93,020	
Interest on Income Tax Refunds	50,526.00	-	
Other interest income	52,89,265.00	83,39,697.40	39,98,471
Miscellaneous Income	64,250.00	1,67,839	
Transfer from Grants	1,25,94,840.99	71,48,387	
Rental receipts	6,86,487.00	9,10,458	
Completed Projects - Balances written back (Net)	28,324.73	7,59,463	
Profit on sale of assets	30,360.00	1,08,313	
TOTAL	3,24,44,956.12	4,91,62,091	
EXPENDITURE	2013-14	2012-13	
SALARIES, WAGES & BENEFITS TO STAFF			
Salaries and wages	1,55,10,872.00	1,37,98,158	
Contribution to Provident Fund	10,15,938.00	9,53,423	
Contribution to Gratuity Fund (LIC)	13,00,000.00	10,44,436	
Contribution to / payment of Leave Encashment Benefits	8,00,000.00	7,00,000	
Medical Insurance & other Staff Welfare	4,26,174.00	1,90,52,984.00	3,93,709
Travel and conveyance	2,11,359.00	2,66,262	
Rates and taxes	7,50,168.00	7,50,286	
Printing, stationery, office supplies	2,84,197.00	1,24,854	
Communication expenses	2,69,431.00	3,30,361	
Electricity and water	3,23,826.00	3,52,587	
Office maintenance and repairs	6,97,592.00	9,64,300	
Hospitality and common courtesies	1,78,099.00	1,70,045	
Insurance	35,604.00	28,701	
Library books, newspapers and periodicals	3,66,416.00	3,43,949	
Audit and other fee	2,30,338.00	1,79,776	
Miscellaneous expenses	57,787.00	57,108	
Membership and subscriptions	51,312.00	22,472	
Bank charges	12,277.00	11,628	
Conference and Programmes	2,53,855.00	3,83,274	
Advertisement	5,420.00	-	
Publication	34,125.00	9,860	
Vehicle maintenance	98,239.00	1,19,004	
Legal and professional	1,01,500.00	44,350	
I T support	21,573.00	22,774	
Loss on sale/write off of assets	-	37,500	
Depreciation	19,46,785.00	14,31,410	
Total C.O.	2,49,82,887.00	2,25,40,227	

EXPENDITURE	2013-14	2012-13
Total B.F.	2,49,82,887.00	2,25,40,227
Provisions/ Appropriations:		
CPR Building Repairs and Maintenance	-	1,00,00,000
Pay revision	45,00,000.00	64,00,000
Corpus Fund	-	50,00,000
	45,00,000.00	2,14,00,000
	<u>2,94,82,887.00</u>	<u>4,39,40,227</u>
Surplus for the year after appropriations	29,62,069.12	52,21,864
Surplus brought forward	1,39,04,033.03	86,82,169
Accumulated surplus carried to Balance Sheet	1,68,66,102.15	1,39,04,033

AS PER OUR REPORT OF EVEN DATE.
FOR V.SANKAR AIYAR & CO.
 CHARTERED ACCOUNTANTS
 (Firm's Registration No. 109208W)

Sd/-
(M.S.BALACHANDRAN)
 PARTNER (M.No. 24282)

PLACE: NEW DELHI
 DATED: 03-09-2014

For and on behalf of
CENTRE FOR POLICY RESEARCH

Sd/-
(PRATAP BHANU MEHTA)
 PRESIDENT

Sd/-
(PRADEEP KHANNA)
 CHIEF ACCOUNTS OFFICER

Sd/-
(L RAVI)
 CHIEF-ADMINISTRATIVE SERVICES

CENTRE FOR POLICY RESEARCH
SCHEDULES TO STATEMENT OF ACCOUNTS FOR THE YEAR ENDED 31ST MARCH, 2014

		<i>Amount in Rs.</i>	
PARTICULARS	As on 31.3.2014	As on 31.3.2013	
<u>CORPUS</u>		<u>Schedule - 1</u>	
As per last Balance Sheet	8,03,82,405.10	7,53,82,405	
Add: Corpus received during the year	-	-	
Add: Transfer from Income and Expenditure A/c	-	50,00,000	
Total	8,03,82,405.10	8,03,82,405	
<u>CAPITAL FUND (ASSETS)</u>		<u>Schedule - 2</u>	
As per last Balance Sheet	1,45,44,720.17	1,39,83,363	
Add: Assets purchased out of Specific purpose/ Project Fund	21,38,595.00	5,61,357	
Total	1,66,83,315.17	1,45,44,720	
<u>NATIONAL KNOWLEDGE COMMISSION BALANCE OF UNUTILISED GRANT</u>		<u>Schedule - 4</u>	
As per last Balance sheet	9,09,713.02	8,33,727	
Interest on Investments	82,182.00	76,098	
	9,91,895.02	9,09,825	
Less: amounts utilised			
Office expenses	-	112	
Total	9,91,895.02	9,09,713	
Represented by:			
Fixed Deposit with Canara Bank	9,56,736.00	8,82,872	
Canara Bank C/A NO 5827	11,010.02	11,010	
TDS Recoverable	24,149.00	15,831	
Total	9,91,895.02	9,09,713	
<u>PROVISIONS</u>		<u>Schedule - 5</u>	
<u>Pay Revision:</u>			
As per last Balance Sheet	62,51,076.00	68,55,092	
Add: Transfer from Income and Expenditure A/c	45,00,000.00	64,00,000	
Less: Paid during the year	71,73,818.00	35,77,258.00	70,04,016
			62,51,076
<u>Provision for Repairs and Maintenance</u>			
As per last Balance Sheet	1,00,00,000.00		
Less: Paid during the year	10,54,807.00	89,45,193.00	1,00,00,000
Total	1,25,22,451.00		1,62,51,076

CENTRE FOR POLICY RESEARCH										
BALANCE OF CONTRIBUTION FOR SPECIFIED PURPOSES/PROJECTS AS ON 31st MARCH, 2014										
S. No.	NAME OF SPONSOR AND PROJECT	Opening Balance (01.04.2013)		Receipts during the year	Interest/ Dividend	Transfer to Income and Exp A/c	Disbursements during the year	Completed projects written off/ back	Closing Balance (31.3.2014)	
		DR.	CR.						DR.	CR.
1	THE ASIA FOUNDATION- CREATING A COMPREHENSIVE INDIAN DEVELOPMENT COOPERATION DATABASE-PHASE-I	-	7,76,315.00	7,39,200.00	-	3,68,500.00	11,55,318.00	(8,303.00)	-	-
2	THE ASIA FOUNDATION- CREATING A COMPREHENSIVE INDIAN DEVELOPMENT COOPERATION DATABASE-PHASE-II	-	-	14,48,400.00	-	2,67,638.00	12,27,357.00	-	46,595.00	-
3	THE ASIA FOUNDATION- MOBILIZING THE STATE INDIA'S ECONOMIC DIPLOMACY IN THE 21ST CENTURY	-	-	9,27,000.00	-	-	67,600.00	-	-	8,59,400.00
4	THE ASIA FOUNDATION- THE SOUTH ASIA INTERREGIONAL TRADE NEWS LETTER	-	-	14,13,360.00	-	88,212.00	8,11,663.00	-	-	5,13,485.00
5	THE ASIA FOUNDATION-EXPLORING NEW AVENUES FOR TRADE AND INVESTMENT-INDIA,PAKISTAN, SRI LANKA	-	-	11,45,880.00	-	-	16,802.00	-	-	11,29,078.00
6	BILL MILINDA GATES FOUNDATION- DEVELOP A SUSTAINABLE FRAMEWORK FOR SCALING UP SANITATION FACILITIES FOR THE URBAN POOR AND WOMEN IN INDIA	-	3,60,10,543.00	2,95,16,850.00	27,91,170.00	15,29,000.00	1,02,25,248.20	-	-	5,65,64,314.80
7	THE FORD FOUNDATION CORPUS INCOME FOR TRACK II DIALOGUES	-	86,27,341.22	-	15,78,221.00	-	40,49,192.48	-	-	61,56,369.74
8	THE FORD FOUNDATION- FOREIGN CURRENCY CORPUS INCOME	-	27,89,264.02	-	6,48,211.00	-	3,65,903.00	-	-	30,71,572.02
9	FORD FOUNDATION - PERPETUITY CHAIR-SARC	-	76,79,538.78	-	10,13,046.00	-	(1,601.00)	-	-	86,94,185.78
10	SEPHIS-NEETHERLANDS	-	7,84,827.00	-	84,795.00	-	-	-	-	8,69,622.00
11	STANFORD UNIVERSITY- HEALTH POLICY ROUNDTABLE CONFERENCE AT CPR 14-15/01/2014	-	-	-	-	-	1,18,795.60	-	1,18,795.60	-
12	NAMATI- ENVIRONMENTAL JUSTICE	-	66,80,612.00	36,31,121.00	3,36,602.00	22,44,683.00	71,89,847.00	-	-	12,13,805.00
13	AUSTRALIAN NATIONAL UNIVERSITY- AUSTRALIA SOCIAL SAFETY NETS IN INDIA	-	5,50,739.00	-	-	-	1,402.00	-	-	5,49,337.00
14	GOOGLE.ORG.- URBAN LOCAL GOVERNANCE	-	1,88,31,942.78	-	20,17,167.00	-	23,12,239.40	-	-	1,85,36,870.38
15	CEBRAP BRAZIL - POLICY PROCESS IN INDIA:RIGHT TO INFORMATION,SOCIAL AUDIT AND PARTICIPATORY IRRIGATION MANAGEMENT	-	5,26,020.00	-	-	-	34,153.00	-	-	4,91,867.00
16	THE FORD FOUNDATION - ACCOUNTABILITY INITIATIVE	-	5,03,137.00	-	-	5,03,137.00	-	-	-	-
17	THE FORD FOUNDATION - ACCOUNTABILITY INITIATIVE (NEW)	-	-	62,30,000.00	-	-	14,05,942.00	-	-	48,24,058.00
18	DFID - ACCOUNTABILITY INITIATIVE	-	-	-	-	-	12,79,613.00	-	12,79,613.00	-

S. No.	NAME OF SPONSOR AND PROJECT	Opening Balance (01.04.2013)		Receipts during the year	Interest/ Dividend	Transfer to Income and Exp A/c	Disbursements during the year	Completed projects written off/ back	Closing Balance (31.3.2014)	
		DR.	CR.						DR.	CR.
19	CENTRE DE SCIENCES HUMAINES - THE POLITICS OF INDIA'S SPECIAL ECONOMIC ZONES	-	4,72,738.44	-	-	4,72,738.44	-			-
20	GOOGLE.ORG-ACCOUNTABILITY INSTITUTE IN INDIA	-	8,27,124.00	-	-	8,25,214.00	1,910.00			-
21	THE NEW SCHOOL UNIVERSITY- NEW YORK- INDIA CHINA INSTITUTE STUDY	-	19,82,370.00	9,86,400.00	1,52,877.00	-	30,97,244.00		-	24,403.00
22	BROWN UNIVERSITY -MAPPING CITIZENSHIP IN DELHI-QUALITY AND SCOPE OF SERVICE DELIVERY OF WATER,SANITATION,ELECTRICITY,PUBLIC AMENITIES ETC	-	-	8,16,546.98	-	1,06,485.00	6,93,671.00	16,390.98	-	-
23	CENTRE FOR INTERNATIONAL GOVERNANCE INNOVATION (CIGI)-COMPILING SCHOLARLY MATERIAL ON INDIA'S FOREIGN POLICY: HISTORY, INSTITUTIONS AND RELATIONS	-	-	56,96,520.00	-	-	33,95,101.00	-		23,01,419.00
24	CMI- LAND RIGHTS, ENVIRONMENTAL PROTECTION	-	-	15,45,818.72	-	-	20,97,025.00		5,51,206.28	-
25	INTERNATIONAL DEVELOPMENT RESEARCH CENTRE-(IDRC)INNOVATION SYSTEMS FOR INCLUSIVE DEVELOPMENT-LESSONS FROM RURAL CHINA AND INDIA	-	4,00,507.05			4,00,507.05	-	-	-	-
26	SUSTAINABLE LIVELIHOODS FOUNDATION-SOUTH AFRICA-WORKSHOP ON COOPERATION FOR RESEARCH ON DEMOCRACY (CORD), DEC.2012	91,000.00	-	1,00,250.75	-	-	-	9,250.75	-	-
27	INSTITUTE FRANCIS DE PONDICHERRY - SUBLATERN URBANISATION IN INDIA "SUBURBIN"	-	3,24,675.00	-	-	3,19,214.00	5,461.00	-	-	-
28	INNOVATION FOR POVERTY ACTION- GLOBAL HEALTH PROGRAM TO ACCESS THE AVAILABILITY AND DELIVERY HEALTH SERVICES IN INDIA AND INDONESIA	-	45,89,396.90	-	94,601.00	-	27,569.00	-	-	46,56,428.90
29	JAPAN CENTRE FOR ECONOMIC RESEARCH- JAPAN'S ROLE IN SOUTH ASIA AND GROWTH POTENTIAL OF AGRICULTURE IN INDIA	-	-	9,32,269.00	-	-	7,51,183.00	-	-	1,81,086.00
30	UNIVERSIDAD DE LOS ANDES- GAL NETWORKS	-	8,17,293.00	7,16,826.00	-	1,71,698.00	9,08,678.00		-	4,53,743.00
31	JOHN D. AND CATHERINE T. MAC ARTHUR FOUNDATION-OBSTACLES TO A REVOLUTION:THE PROSPECTS OF REGIONAL CO-OP IN S.ASIA	-	2,11,41,022.00	-	11,56,142.00	-	46,94,939.00		-	1,76,02,225.00
32	ROCKFELLER FOUNDATION - MEGACITIES STUDY	-	13,37,144.00	-	-	-	13,37,642.00	(498.00)	-	-
33	ECONOMIC AND SOCIAL RESEARCH COUNCIL- UK-CITIZENS AND THE STATE IN URBAN INDIA: PUBLIC GOODS PROVISION	6,71,778.50	-	10,62,943.00	-	3,90,940.00	224.50	-	-	-
34	WILLIAM AND FLORA HEWLETT FOUNDATION- STRATEGIC PLANNING FOR PAISA STUDY	-	9,22,898.00	-	-	-	9,22,898.00	-	-	-
35	WARBURG PINCUS LLC -NEW YORK-USA- RESEARCH ON DRINKING WATER IN INDIA	-	29,49,994.00	-	93,699.00	-	12,63,820.15			17,79,872.85
36	MRS CHANDRIKA PATHAK AND MR DALIP PATHAK- RESEARCH ON DRINKING WATER IN INDIA	-	5,24,230.00	-	21,747.00					5,45,977.00
37	WILLIAM & FLORA HEWLETT FOUNDATION- ACCOUNTABILITY INITIATIVE	-	6,28,627.00		3,35,356.00	9,63,983.00	-	-	-	-

S. No.	NAME OF SPONSOR AND PROJECT	Opening Balance (01.04.2013)		Receipts during the year	Interest/ Dividend	Transfer to Income and Exp A/c	Disbursements during the year	Completed projects written off/ back	Closing Balance (31.3.2014)	
		DR.	CR.						DR.	CR.
38	WILLIAM & FLORA HEWLETT FOUNDATION- ACCOUNTABILITY INITIATIVE- STAPEE IN INDIA (GRANT NO. 2012-7948)	14,12,850.00	-	2,00,96,480.00	2,59,546.00	12,91,365.00	1,35,76,073.00	-	-	40,75,738.00
39	I D R C- COOPERATION FOR RESEARCH ON DEMOCRACY (CORD)	-	-	33,63,228.70	-	-	13,24,780.30	-	-	20,38,448.40
40	INTERNATIONAL DEVELOPMENT RESEARCH CENTRE- THINK TANK INITIATIVE	-	2,12,24,639.84	1,13,34,536.08	19,15,498.00	-	2,86,87,760.00	-	-	57,86,913.92
41	INTERNATIONAL DEVELOPMENT RESEARCH CENTRE- WORKSHOP ON COLLABORATION FOR RESEARCH ON DEMOCRACY (CORD) , DEC.2012	1,69,169.35	-	1,27,103.37	-	-	(42,065.98)	-	-	-
42	OAK FOUNDATION- UNRESTRICTED SUPPORT - CLIMATE INITIATIVE	-	17,69,109.00	69,93,518.00	1,48,529.00	9,60,973.00	67,70,949.50	-	-	11,79,233.50
43	HARVARD LAW SCHOOL, USA - SUPPORT FOR RESEARCH ON LEGAL PROFESSION	-	4,25,451.00	-	-	59,222.00	3,66,229.00	-	-	-
44	THE NEW YORK UNIVERSITY- USA - REIMBURSEMENT OF TRAVEL EXPS	3,30,047.00	-	3,24,112.00	-	-	853.00	(6,788.00)	-	-
45	IDRC-CANADA-COMPILING SCHOLARY MATERIAL ON INDIA'S FOREIGN POLICY,HISTORY,INSTITUTIONS AND RELATIONS-BILATERAL AND MULTILATERAL RELATIONS- STUDY	-	31,39,717.43	-	-	1,35,039.00	20,34,372.00	-	-	9,70,306.43
46	IDRC-CANADA-CONFLICTS AROUND WATER USE IN RAINED AGRICULTURE	-	3,64,963.50	-	-	9,502.00	1,82,732.00	-	-	1,72,729.50
47	UNIVERSITY OF MANCHESTER-STATES DELIVERING FOR POOR PEOPLE IMPROVING OUTCOMES THROUGH STRONGER EVIDENCE	-	-	5,18,169.50	-	65,481.00	4,52,688.50	-	-	-
48	UNIVERSITY OF CALIFORNIA, BERKLEY-USA - THE TWENTY FIRST CENTURY INDIAN CITY:WORKING TOWARDS BEING SLUM FREE	90,191.00	-	1,26,268.00	-	-	17,805.00	18,272.00	-	-
49	CENTRAL EUROPEAN UNIVERSITY, BUDAPEST- HUNGARY-THE FUTURE OF PUBLIC POLICY SCHOOLS IN THE 21st CENTURY	32,292.00	-	-	-	-	(32,292.00)	-	-	-
50	INDIAN COUNCIL OF MEDICAL RESEARCH-PPP MATERNAL CARE	-	19,940.00	-	-	-	-	-	-	19,940.00
51	INDIAN COUNCIL OF MEDICAL RESEARCH-CENTRE HEALTH POLICIES RESEARCH WITH EMPHASIS ON REPRODUCTIVE HEALTH MATTERS	-	9,523.00	-	-	-	-	-	-	9,523.00
52	ICSSR-SECULARISM & SOCIAL CAPITAL AMONG THE MARGINALISED	-	85,265.00	44,000.00	-	-	82,000.00	-	-	47,265.00
53	ICSSR-INDIA'S MIDDLE CLASS	-	-	15,00,000.00	-	-	2,50,090.00	-	-	12,49,910.00
54	ICSSR- URBAN TRANSFORMATION IN INDIA	-	-	15,00,000.00	-	-	12,29,932.00	-	-	2,70,068.00
55	ICSSR- AGRICULTURAL BIOTECHNOLOGY	-	-	8,20,924.00	-	-	4,05,462.00	-	-	4,15,462.00
56	ICSSR- ENVIRONMENTAL JURISPRUDENCE	-	8,00,000.00	-	-	-	5,63,377.00	-	-	2,36,623.00

S. No.	NAME OF SPONSOR AND PROJECT	Opening Balance (01.04.2013)		Receipts during the year	Interest/ Dividend	Transfer to Income and Exp A/c	Disbursements during the year	Completed projects written off/ back	Closing Balance (31.3.2014)	
		DR.	CR.						DR.	CR.
57	ICSSR ORIENTATION PROGRAMME- FOR RESEARCH SCHOLARS AND FACULTY MEMBERS BELONGING TO SC ST AND OTHER MARGINALISED GROUPS UNDER SC COMPONENT	-	-	12,00,000.00	-	-	-		-	12,00,000.00
58	MINISTRY OF EXTERNAL AFFAIRS- BCIM 10TH DIALOGUE 18-19 FEB 12 AND BCIM 11TH DIALOGUE 23-24 FEB 2013	-	1,12,699.00	-	-	-	-		-	1,12,699.00
59	NATIONAL COMMISSION ON POPULATION - CORPUS INCOME	-	89,23,272.80	-	10,54,064.00	-	-		-	99,77,336.80
60	MINISTRY OF EXTERNAL AFFAIRS, GOVERNMENT OF INDIA-CORPUS INCOME FOR TRACK II DIALOGUES	-	8,59,414.78	-	76,000.00	-	-		-	9,35,414.78
61	MINISTRY OF FINANCE-GOVERNMENT OF INDIA-CORPUS INCOME	-	5,439.00	-	4,00,000.00	4,00,000.00	₹		-	5,439.00
62	MINISTRY OF FINANCE- GOVERNMENT OF INDIA- NATURAL RESOURCES AND RESEARCH BRIEFS	-	7,31,736.00	-	-	7,04,664.00	27,072.00	-	-	-
63	MINISTRY OF URBAN DEVELOPMENT- HOW TO GOVERN INDIA'S MEGA CITIES- TOWARDS THE NEEDED TRANSFORMATION	22,02,801.00	-	22,02,801.00	-	-	-		-	-
64	UNDP- POLICY AND ADVOCACY STUDY (RIGHT TO EDUCATION)	-	-	25,59,600.00	-	2,53,994.00	23,05,606.00	-	-	-
65	UNOPS/WSGCC -DESIGN AND IMPLEMENTATION OF RESEARCH IN INDIA ON THE HUMAN RIGHTS TO SAFE DRINKING WATER AND SANITATION	-	-	33,29,500.00	-	-	2,99,677.00	-	-	30,29,823.00
66	ASIAN DEVELOPMENT BANK- DEVELOPING A FRAMEWORK AND ANALYSIS OF THE IMPLEMENTATION OF INTEGRATED URBAN WATER AND SANITATION PROJECTS IN INDIA	-	13,56,337.00	-	-	1,60,909.00	11,95,428.00		-	-
67	WORLD BANK- (INTERNATIONAL BANK FOR RECONSTRUCTION) STATISTICAL ANALYSIS OF PAISA STUDY	-	-	6,38,410.50	-	3,01,742.50	3,36,668.00	-	-	-
68	WORLD BANK-RIGHT TO INFORMATION - ACCOUNTABILITY	2,14,946.00	-	-	-	-	(2,14,946.00)		-	-
69	ARGHYAM TRUST- CREATING STATE PROFILES ON SANITATION DATA	-	1,18,450.00	1,18,450.00	-	-	1,06,839.00		-	1,30,061.00
70	SHRAMIC- REIMBURSEMENT OF TRAVEL EXPENSES	15,139.00	-	-	-	-	(15,139.00)		-	-
71	ED CIL'S NATIONAL SUPPORT GROUP- MID DAY MEAL PAISA STUDY	5,67,688.00	-	5,67,688.00	-	-	-		-	-
	TOTAL	57,97,901.85	16,06,24,256.54	11,42,74,174.60	1,41,77,271.00	1,29,94,840.99	10,93,68,790.65	28,324.73	19,96,209.88	16,28,82,053.80
		NOTE: ⊕ TRANSFERRED TO ENDOWMENT INTEREST INCOME A/C								

CENTRE FOR POLICY RESEARCH											
SCHEDULE OF FIXED ASSETS AS ON 31.03.2014											
										(Amount in Rs)	SCHEDULE-6
PARTICULARS	RATE OF DEP	COST			DEPRECIATION			WRITTEN DOWN VALUE			
		As on 01.04.2013	Additions	Deletions	As on 31.3.2014	Upto 01.04.2013	Additions	Deletions	Upto 31.3.2014	As on 31.03.2014	
LAND		85,220.80	-	-	85,220.80		-	-	-	85,220.80	85,220.80
BUILDING	5%	96,43,470.63	-	-	96,43,470.63	59,80,841.01	1,83,131.00	-	61,63,972.01	34,79,498.62	36,62,629.62
FURNITURE & FIXTURE	15%	31,32,144.97	1,55,395.00	64,358.00	32,23,181.97	24,46,279.72	1,26,189.00	52,444.00	25,20,024.72	7,03,157.25	6,85,865.25
OFFICE EQUIPMENT	15%	46,32,843.44	3,40,130.00	1,34,549.00	48,38,424.44	33,57,311.88	2,41,586.00	1,23,674.00	34,75,223.88	13,63,200.56	12,75,531.56
ELECTRIC INSTALLATIONS	15%	3,21,533.13	-	2,202.00	3,19,331.13	3,15,495.71	906.00	2,192.00	3,14,209.71	5,121.42	6,037.42
VEHICLES	20%	5,15,992.36	7,68,933.00	4,85,257.00	7,99,668.36	4,33,791.45	1,53,944.00	4,03,844.00	1,83,891.45	6,15,776.91	82,200.91
AIR COOLING SYSTEM	15%	56,789.18	-	-	56,789.18	56,633.55	23.00	-	56,656.55	132.63	155.63
OFFICE MACHINERY	40%	79,42,043.22	17,84,600.00	14,27,736.00	82,98,907.22	71,75,218.24	10,05,239.00	13,88,396.00	67,92,061.24	15,06,845.98	7,66,824.98
LIFT	15%	4,84,605.58	-	-	4,84,605.58	4,23,117.83	9,223.00	-	4,32,340.83	52,264.75	61,487.75
FIRE FIGHTING EQUIPMENT	15%	14,64,694.00	-	40,000.00	14,24,694.00	13,03,540.99	24,173.00	39,191.00	12,88,522.99	1,36,171.01	1,61,153.01
OPTICAL MARK SCANNER	40%	7,40,000.00	-	-	7,40,000.00	2,96,000.00	1,77,600.00	-	4,73,600.00	2,66,400.00	4,44,000.00
INTANGIBLE ASSETS	25%	1,47,856.00	26,000.00	-	1,73,856.00	74,772.00	24,771.00	-	99,543.00	74,313.00	73,084.00
TOTAL		2,91,67,193.31	30,75,058.00	21,54,102.00	3,00,88,149.31	2,18,63,002.38	19,46,785.00	20,09,741.00	2,18,00,046.38	82,88,102.93	73,04,190.93
PREVIOUS YEAR		2,97,97,524.31	15,97,629.00	22,27,960.00	2,91,67,193.31	2,23,87,939.38	14,31,410.00	19,56,347.00	2,18,63,002.38	73,04,190.93	74,09,584.93

CENTRE FOR POLICY RESEARCH
SCHEDULES TO STATEMENT OF ACCOUNTS FOR THE YEAR ENDED 31ST MARCH, 2013

		<i>Amount in Rs.</i>
PARTICULARS	As on 31.3.2014	As on 31.3.2013
INVESTMENTS (At cost)		Schedule - 7
(including Corpus Fund Investments)		
GOI 8 % Savings (Taxable) Bonds	2,16,90,000.00	2,16,90,000
Fixed Deposits with Banks	16,43,10,107.00	17,76,69,897
Fixed Deposits with HDFC Ltd	3,75,47,175.00	3,42,81,175
Fixed Deposits with PNB Housing Finance Ltd	4,33,13,859.00	3,43,13,859
Fixed Deposits with LIC Housing Finance Ltd	50,00,000.00	-
Fixed Deposits with HUDCO	41,80,000.00	41,80,000
Units of UTI	20,96,890.00	20,96,890
Units of Canara Robeco Mutual Fund	5,00,000.00	5,00,000
Total	27,86,38,031.00	27,47,31,821
CURRENT ASSETS, LOANS AND ADVANCES:		Schedule - 8
a) STOCK OF SPECIAL STATIONERY FOR PROJECTS	2,80,818.00	2,80,818
(Chargeable against reimbursable fee)		
At cost (As certified by the Management)		
Sub-total	2,80,818.00	2,80,818
b) CASH AND BANK BALANCES		
In current Accounts with:		
Canara Bank C/A NO-0157201000348 - F/C	23,46,901.22	63,67,818
Canara Bank - C/A NO-0157201004775	1,14,66,478.52	47,53,034
Canara Bank -C/A 0157201005222	5,439.00	5,439
Punjab National Bank - C/A 1736002100011174	3,58,159.00	4,61,190
Canara Bank -C/A 0157201005827 (NKC)	11,010.02	11,010
Cash in hand - FC	10,882.00	7,965
Cash in hand - Non-FC	14,528.00	3,882
Sub-total	1,42,13,397.76	1,16,10,338
c) ADVANCES RECOVERABLE/ ADJUSTABLE		
(Unsecured - considered good and recoverable)		
Staff Imprest and Advances to others	12,10,789.85	5,95,459
Advance payments for CTAs and others	4,200.00	9,77,977
Security Deposits	68,745.00	64,745
Tax Deducted at Source	14,76,345.28	22,50,972
Debit balances in Specified Grants/ Projects	19,96,209.88	57,97,902
(excess spent in anticipation of Grant) (Refer Schedule - 3)		
Sub-total	47,56,290.01	96,87,055
Total	1,92,50,505.77	2,15,78,211
CURRENT LIABILITIES		Schedule - 9
Outstanding liabilities	4,88,550.00	6,58,921
Advance payment against examination	51,842.00	10,31,073
(Reimbursable fee)		
Total	5,40,392.00	16,89,994

CENTRE FOR POLICY RESEARCH

Schedule - 10

Significant Accounting Policies and Notes on Accounts

1 BASIS OF ACCOUNTING

The financial statements have been prepared under historical cost convention and on a going concern basis. For recognition of Income and Expenses, the Centre follows cash basis of accounting.

The primary objects and activities of the Society are in the field of Research and education. The Society has not carried on any activity this year in the nature of commercial, industrial and business and consequently the Accounting Standards issued by the Institute of Chartered Accountants of India are not mandatory. However, the Standards are followed to the extent relevant and practical.

2 FIXED ASSETS

Fixed Assets are recorded at cost less depreciation. Fixed Assets purchased out of specific grants, an equal amount is transferred to capital fund.

3 DEPRECIATION

Depreciation is charged on written down value method. In respect of additions, depreciation is charged for the full year. It is ignored on the deletion of assets.

4 INVENTORY: The stock of special stationery is valued at cost.

5 INVESTMENTS: Investments are valued at cost.

6 EMPLOYEE BENEFITS

The Centre makes regular contributions to duly constituted fund in respect of Provident fund and Gratuity. The Centre has taken up policies under the Group Gratuity and Leave Encashment Schemes of LIC for meeting the liability. It is accounted for, when paid. The accruing liability for future payment is ascertained.

7 FOREIGN CURRENCY TRANSACTIONS

Foreign currency transactions are generally recorded at the exchange rate prevailing on the date of transaction.

8 Income Tax:

(i) CPR is registered u/s 12 A (a) of the Income Tax Act, 1961 bearing registration No.DLI (C) (I 682) dt. 15.04.1976.

(ii) The Permanent Account No. (PAN) allotted under the Act is **AAATC0180H**.

(iii) CPR is regular in filing the income tax returns, the last one filed being for the asst. year 201314 (relating to FY 201213). There are no demands in respect of income tax.

(iv) CPR is also approved u/s 80G of the Act covering the period A.Y. 2012-13 vide letter of the Office of Director of Income Tax (Exemption) dt. 15.09.2011.

9 CPR is registered under the Foreign Contribution (Regulation) Act, 1976 bearing Registration No. 231650007 and is regular in filing the annual return, the last one filed being for the financial year 2012-13.

10 The Planning Commission [as a nodal agency for providing services to the National Knowledge Commission (NKC)] issued an order dt. 3.10.2005, which provided for CPR to act as a secretariat of NKC. The Order further stated that CPR shall maintain a separate account of the expenses of the Secretariat, to be met out of the grant in aid to NKC and would furnish an audited statement of accounts to the Planning Commission. Accordingly, the grant and expenses thereon are reflected separately.

11 Claims against the society, not acknowledged as debts. Rs NIL

12 Estimated amount of outstanding Capital Contracts - Rs. NIL

13 The Office of the Director General of Audit, Central Revenues, New Delhi. carried out inspection of the accounts of CPR for the period 2004-2005 to 2006-2007. In their observations they have expressed their view that as per the terms and conditions stipulated in the sanction letters for grant issued by the ICSSR, the CPR has received excess grant of Rs.110.75 lakhs during the years 2005-06 and 2006-07, which is refundable. CPR has not accepted the conclusion reached by the government audit. Their report is under examination.

SIGNATURES TO SCHEDULES 1 TO 10

FOR V.SANKAR AIYAR & CO.
CHARTERED ACCOUNTANTS
(Firm's Registration No. 109208W)

Sd/-

(M.S.BALACHANDRAN)
PARTNER (M.No. 24282)

PLACE: NEW DELHI
DATED: 03-09-2014

For and on behalf of
CENTRE FOR POLICY RESEARCH

Sd/-

(PRATAP BHANU MEHTA)
PRESIDENT

Sd/-

(PRADEEP KHANNA)
CHIEF ACCOUNTS OFFICER

Sd/-

(L RAVI)
CHIEF - ADMINISTRATIVE SERVICES

